

Registered & Corporate Office:

Plot No. 19-111, Road No. 71, Opp. Bharatiya Vidya Bhavan Public School, Jubilee Hills Hyderabad - 500 096.Telangana. INDIA, Tel: +91-40-6628 8888, Fax: +91-40-2355 1402

CIN: L24239AP1987PLC008066

Email: info@smspharma.com, www.smspharma.com

Date: 14th April, 2016

To,
The Managers,
Corporate Filings Department,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001

Security Code: 532815

The Managers, Listing Compliance Department, National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

**Symbol: SMSPHARMA** 

Subject: Statement of Investor Complaint for the Quarter ended on 31st March, 2016.

Dear Sir/Madam,

Pursuant to the Regulation 13(2) & 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are submitting undermentioned statement towards Investor Complaint for the Quarter ended on 31st March, 2016.

SCORE Registration ID	s00403
No. of investor complaints pending at the beginning of quarter	NIL
No. of investor complaints received during the quarter	1
No. of investor complaints disposed off during the quarter	1
No. of investor complaints those remaining unresolved at the end of the quarter	NIL

Please note that these complaints pertain to the following heads:

- Non receipt of Dividend Warrants / Annual Reports / Refund Order / Share Certificates.
- Complaint from Stock Exchange and/or SEBI

Hyderabad

Legal Notice

Please take the same on your records.

Yours Faithfully,

For SMS Pharmaceuticals Limited

Saurav Roy Company Secretary

Spurar hoy



## AARTHI CONSULTANTS PVT. LTD

(SEBI Approved Category – I Registrar & Share Transfer Agent SEBI Registration No.INR000000379
1-2-285. Domalguda, Hyderabad – 500 029
Phone: 040- 2763 8111, 2763 4445: Fax: 040- 2763 2184
Email: info@aarthiconsultants.com

April 14, 2016

## TO WHOMSOEVER IT MAY CONCERN

THIS IS TO CERTIFY THAT THERE ARE NO COMPLAINTS PENDING WITH US OF THE SHAREHOLDERS OF **SMS PHARMACEUTICALS LIMITED** FOR THE PERIOD FROM 01/01/2016 TO 31/03/2016

Sl no	COMPANY NAME	OPENING	RECEIVED	RESOLVED	PENDING
1	SMS PHARMACEUTICALS LIMITED	NIL	01	01	NIL

for AARTHI CONSULTANTS PVT LIMITED

AUTHORISED SIGNATORY

SMS PHARMACEUTICALS LIMITE			04.6						
INVESTOR REQUESTS/GRIEVANCES REPORT FOR THE PERIOD 01.01.2016 to 31.03.2016  SL Particulars Opening Receipt Resolved Pending									
Sl. Particulars	Opening	Receipt	Resolved	Pending					
A REQUESTS			-						
a Change/Correction of address	0	1	1	0					
b Change / Correction of Bank Mandate	0	0	0	0					
c Change of company name stickers	0	0	0	0					
d Receipt of D/W for revalidation/correction of Bank mandate	0	1	1	0					
e Registration of Power of Attorney	0	0	0	0					
f Request for ECS facility (Electronic Clearance Services)	0	0	0	0					
g Loss of securities and request for issue of duplicate s/c	0	1	1	0					
h Receipt of I/B and affidavit for issue of duplicate securities	0	0	0	C					
i Request for status of shares/refund	0	0	0	0					
j Request for consolidation / split of securities/ Exchange of S/c	0	0	0	(					
k Request for issue of demand drafts against D/W	0	0	0	(					
I Request for correction of name	0	0	0	(					
m Request for transmission	0	0	0	. (					
n Request for transposition	0	0	0	(					
o Specimen Sign change/not mentioned in Appln&intimation of the same	0	0	0	(					
Request for registration of e-mail Id under Green Initiative	0		0	(					
q Request in receiving the notice/documents in physical form only	0		0	(					
TOTAL	0	3	3	(					
B COMPLAINTS									
a Non receipt of dividend warrants	0	0	0	(					
b Non receipt of Annual reports	0	0	0	(					
c Complaints from Stock Exchanges	0	0	0	(					
d Complaints frm SEBI	0	1	1	(					
e Legal Notice	0	0	0	(					
f Non receipt of refund order	0	0	0	(					
g Non receipt of Share certificates	0	0	0	(					
TOTAL	0	1	1	(					
GRAND TOTAL (A+B)	0	4	4	(					

