

LOK HOUSING AND CONSTRUCTIONS LTD.



(1) (a) Statement showing Shareholding Pattern

Name of the Company: LOK HOUSING & CONSTRUCTIONS LTD		BSE-	NSE-	Equity
Scrip Code, Name of the scrip, class of security:				
Quarter ended : 31.09.2015				
	Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by Promoter / Promoter Group		0	0.0000	0.0000
Held by public		0	0.0000	0.0000
Total		0	0.0000	0.0000
	Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by Promoter / Promoter Group		0	0.0000	0.0000
Held by public		0	0.0000	0.0000
Total		0	0.0000	0.0000
	Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by Promoter / Promoter Group		0	0.0000	0.0000
Held by public		0	0.0000	0.0000
Total		0	0.0000	0.0000
Total paid-up capital of the company assuming full conversion of warrants and convertible securities				46749291

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Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of			Shares pledged or otherwise encumbered
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	As a percentage (DX) = (VIII)/(IV)*100	
(A)	Promoter and Promoter Group							
1	Indian							
(a)	Individuals/Hindu Undivided Family	4	790875	790875	1.6917	1.6917	649900	82.1748
(b)	Central Government/State Government(s)	0	0	0	0.0000	0.0000	0	0.0000
(c)	Bodies Corporate	11	17440555	17440555	37.3066	37.3066	9775000	56.0475
(d)	Financial Institutions / Banks	0	0	0	0.0000	0.0000	0	0.0000
(e)	Any Other (specify)	0	0	0	0.0000	0.0000	0	0.0000
	Sub Total (A)(1)	15	18231430	18231430	38.9983	38.9983	10424900	57.1809
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.0000	0.0000	0	0.0000
(b)	Bodies Corporate	0	0	0	0.0000	0.0000	0	0.0000
(c)	Institutions	0	0	0	0.0000	0.0000	0	0.0000
(d)	Qualified Foreign Investors	0	0	0	0.0000	0.0000	0	0.0000
(e)	Any Other (specify)	0	0	0	0.0000	0.0000	0	0.0000
	Sub Total (A)(2)	0	0	0	0.0000	0.0000	0	0.0000
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	15	18231430	18231430	38.9983	38.9983	10424900	57.1809
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	4	28200	1800	0.1643	0.0603	-	-
(b)	Financial Institutions / Banks	5	6450	50	0.0138	0.0138	-	-
(c)	Central Government/State Government(s)	0	0	0	0.0000	0.0000	-	-
(d)	Venture Capital Funds	0	0	0	0.0000	0.0000	-	-
(e)	Insurance Companies	0	0	0	0.0000	0.0000	-	-
(f)	Foreign Institutional Investors	0	0	0	0.0000	0.0000	-	-
(g)	Foreign Financial Institutions	2	3200	0	0.0068	0.0068	-	-
(h)	Qualified Foreign Investors	0	0	0	0.0000	0.0000	-	-
(i)	Any Other (specify)	0	0	0	0.0000	0.0000	-	-
	Sub Total (B) (1)	11	37850	1850	0.0810	0.0810		
2	Non-institutions							
(a)	Bodies Corporate	460	3613437	3604087	7.7294	7.7294	-	-
(b) (i)	Individuals - shareholders holding nominal share capital up to Rs 1 Lakh	26795	11788055	11194433	25.2155	25.2155	-	-
(b) (ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	217	9189181	9189181	19.6563	19.6563	-	-

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Category code	Category of shareholder	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialised form (V)	Total shareholding as a percentage of total number of		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(VII)*100
(I)	(II)	0	0	0	0.0000	0.0000	-	-
(C)	Qualified Foreign Investors							
(d)	Any Other							
i	Non Resident Indians (Repatri)	121	2267869	2267869	4.8511	4.8511	-	-
ii	Non Resident Indians (Non Repatri)	46	93159	93059	0.1993	0.1993	-	-
iii	Overseas Bodies Corporate	2	501	501	0.0011	0.0011	-	-
iv	Clearing Member	53	143947	143947	0.3079	0.3079	-	-
v	Directors / Relatives	0	0	0	0.0000	0.0000	-	-
	HUF	871	1383262	1383262	2.9589	2.9589	-	-
vi	Trusts	2	600	600	0.0013	0.0013	-	-
	Sub Total (B)(2)	28567	28480011	27876939	60.9207	60.9207	-	-
	Total Public Shareholding Public Group							
	(B)=(I)+(B)(2)	28576	28517861	27878789	61.0017	61.0017	-	-
	Total (A)+(B)	28593	46749291	46110219	100.0000	100.0000	-	-
(C)	Shares held by custodians and against which Depository Receipts have been issued							
i	Promoter and Promoter group	0	0	0	0.0000	0.0000	0	0.0000
ii	Public	0	0	0	0.0000	0.0000	0	0.0000
	Sub Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	28593	46749291	46110219	100.0000	100.0000	10424900	22.2996

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(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares		As a % of grand total (A)+(B)+(C)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		(III) Number of shares held	(IV) As a % of grand total (A)+(B)+(C)	(V) No.	(VI)=(V)/(III) * 100 As a percentage	(VII) As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	(VIII) Number of warrants held	(IX) As a % total number of warrants of the same class	(X) Number of convertible securities held	(XI) As a % total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III) * 100 As a percentage	(VII) As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	(VIII) Number of warrants held	(IX) As a % total number of warrants of the same class	(X) Number of convertible securities held	(XI) As a % total number of convertible securities of the same class	(XII) Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
1	LGNC LIMITED (AAACLO099I)	11829545	25.3042	7600000	64.246	16.257	0	0	0	0	25.30422333	
2	SATURN TRADING PVT LTD (AAACS8366C)	3870944	8.2799	450000	11.628	0.963	0	0	0	0	8.279911668	
3	KENT TRADING AGENCIES PVT LTD (AAACK6570A)	129579	0.2772	125000	96.466	0.267	0	0	0	0	0.277178335	
4	KENT TRADING AGENCIES PVT LTD (AAACK6570A)	600	0.0013	0	0.000	0.000	0	0	0	0	0.001283442	
5	SRISITI SECURITIES PVT LTD (AAACS7662M)	3700	0.0079	0	0.000	0.000	0	0	0	0	0.007914559	
6	ORYX FINANCE & INVESTMENT PVT LTD	2300	0.0049	0	0.000	0.000	0	0	0	0	0.004919861	
7	ORYX FINANCE & INVESTMENT PVT LTD	348	0.0007	0	0.000	0.000	0	0	0	0	0.000744396	
8	SHAGUN SECURITIES PVT LTD (AAFGS949E)	801800	1.7147	800000	98.800	1.711	0	0	0	0	1.714678411	
9	OZONE FINANCE & INVESTMENTS PVT LTD	1200	0.0026	0	0.000	0.000	0	0	0	0	0.002668884	
10	VICKY ELECTRONICS PVT LTD (AAABCY0894K)	752883	1.6100	752000	98.909	1.609	0	0	0	0	1.610041153	
11	AZOPEN PVT LTD (AAACA5098F)	48200	0.1071	48000	99.585	1.103	0	0	0	0	0.103103168	
12	LALIT C. GANDHI	538400	1.1517	513300	95.338	1.098	0	0	0	0	1.15167522	
13	DARSHAN LALIT GANDHI	40125	0.0858	0	0.000	0.000	0	0	0	0	0.085830179	
14	NAINA MANSUKHLAL SHAH	139610	0.2986	136600	97.844	0.292	0	0	0	0	0.298635545	
15	RAJNI LALITKUMAR GANDHI	72740	0.1556	0	0.000	0.000	0	0	0	0	0.155595943	
	TOTAL	18231430	38.9983	10424900	57.181	22.300	0	0	0	0	38.99830267	

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(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above	Details of warrants		Details of convertible securities		Total shares (including underlying full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SONY SEBASTIAN	1619499	3.4642	0	0	0	0	3.464221522
2	ELLIS EQUITY ADVISORS PVT LTD	1600600	2.1391	0	0	0	0	2.139069874
3	HARSHA HITESH JAVERI	1600000	2.1391	0	0	0	0	2.139069874
4	HITESH RAMJI JAVERI	1600000	2.1391	0	0	0	0	2.139069874
5	SHREE BHUVANAKARAM TRADINVEST PVT. LTD.	897917	1.9207	0	0	0	0	1.920707204
6	NETWORTH STOCK BROKING LTD	791245	1.6925	0	0	0	0	1.692528342
7	BENNETT, COLEMAN AND COMPANY LIMITED	762200	1.6304	0	0	0	0	1.630399058
	TOTAL	7070861	15.1251	0	0	0	0	15.12506575

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above	Details of warrants		Details of convertible securities		Total shares (including underlying full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	0	0	0.0000	0	0	0	0	0
	TOTAL	0	0.0000	0	0	0	0	0

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<u>Statement showing details of locked-in shares</u>				
(I)(d)				
Sr. No.	Name of the shareholder	Number of Locked in Shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Promoter/Promoter Group
	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

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Statement showing details of Depository Receipts (DRs)					
(II)(a)	Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	1	NIL	NIL	NIL	NIL
	TOTAL		NIL	NIL	NIL

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Statement showing holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter Group" are in excess of 1% of the total number of shares

(II) (b)

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL