

Introductory sub-table (I)(a)

Name of the Company: DB (International) Stock Brokers Limited			
Scrip Code, Name of the scrip, class of security: BSE : 530393, NSE : DBSTOCKBRO, DB (International) Stock Brokers Limited, Equity Shares			
Quarter ended: September 30, 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities: No. of outstanding securities			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			3,50,00,000

For DB (International) Stock Brokers Limited

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Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Table (I)(a)						
		Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group ²							
I	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)							
(e-i)	Directors & their Relatives	5	9753000	9753000	27.87	27.87	0	0.00
	Sub Total(A)(1)	5	9753000	9753000	27.87	27.87	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00

For EB (Shareholding Pattern) - Bank Brokers Limited

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d	Qualified Foreign Investor	0	0	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	5	9753000	9753000	9753000	27.87	27.87	27.87	0	0.00
	(B) Public shareholding									
I	Institutions								N.A.	N.A.
(a)	Mutual Funds/ UTI	0	0	0	0	0.00	0.00	0.00		
(b)	Financial Institutions / Banks	4	29491	28991	0.08	0.08				
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00				
(d)	Venture Capital Funds	0	0	0	0.00	0.00				
(e)	Insurance Companies	0	0	0	0.00	0.00				
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00				
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00				
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00				
(i)	Any Other (specify)	0	0	0	0.00	0.00				
	Sub-Total (B)(1)	4	29491	28991	0.08	0.08		0.08		
	B 2 Non-institutions								N.A.	N.A.
(a)	Bodies Corporate	96	19367758	19354758	55.34	55.34		55.34		
(b)	Individuals									
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	864	814856	309946	2.33	2.33		2.33		

For DB (Internationals) Stock Brokers Limited

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ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	27	4101349	4101349	4101349	11.72	11.72	
(c) Qualified Foreign Investor	0	0	0	0	0.00	0.00	
(d) Any Other (specify)							
(d-i) Non Resident Indians	5	2570	2570	2570	0.01	0.01	
(d-ii) Clearing Members	1	1	1	1	0.00	0.00	
(d-iii) Hindu Undivided Families	28	930975	930975	930975	2.66	2.66	
Sub-Total (B)(2)	1021	25217509	24699599	24699599	72.05	72.05	
(B) Total Public Shareholding (B)= (B)(1)+(B)(2)	1025	25247000	24728590	24728590	72.13	72.13	
TOTAL (A)+(B)	1030	35000000	34481590	34481590	100.00	100.00	
(C) Shares held by Custodians and against which Depository Receipts have been issued							
1 Promoter and Promoter Group	0	0	0	0	0.00	0.00	N.A.
2 Public	0	0	0	0	0.00	0.00	N.A.
Sub-Total (C)	0	0	0	0	0	0	0
GRAND TOTAL (A)+(B)+(C)	1030	35000000	34481590	34481590	100.00	100.00	0.00

For DB (International) Stock Brokers Limited

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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v)/(iii) * 100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Shiv Narayan Daga	5780000	16.51	0	0.00	0.00	0	0	0	0	16.51
2	Sharda Daga	1423000	4.07	0	0.00	0.00	0	0	0	0	4.07
3	Shikha Daga	650000	1.86	0	0.00	0.00	0	0	0	0	1.86
4	Manisha Daga	625000	1.79	0	0.00	0.00	0	0	0	0	1.79
5	Shiv Narayan Daga HUF	1275000	3.64	0	0.00	0.00	0	0	0	0	3.64
	TOTAL	9753000	27.87	0	0.00	0.00	0	0	0	0	27.87

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For G6 International Stock Brokers Limited


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(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	GURU KIRPA FINVEST LIMITED	4330091	12.37	0	0	0	0	12.37
2	SHARMA GANDHI HIRE PURCHASE LIMITED	3571106	10.20	0	0	0	0	10.20
3	SHANKER CREDITS PRIVATE LIMITED	1612138	4.61	0	0	0	0	4.61
4	SHREYANS FINLEASE PVT. LTD.	1492921	4.27	0	0	0	0	4.27
5	DHANLEELA INVESTMENT & TRADING COMPANY LIMITE	1182252	3.38	0	0	0	0	3.38
6	IL AND FS SECURITIES SERVICES LIMITED	957769	2.74	0	0	0	0	2.74
7	SHEETAL PERIWAL	752000	2.15	0	0	0	0	2.15
8	CHARLES INDIA PVT. LTD.	721665	2.06	0	0	0	0	2.06
9	P S GLOBAL LIMITED	551435	1.58	0	0	0	0	1.58
10	MOHAN LEASING LIMITED	500000	1.43	0	0	0	0	1.43
11	VERITAS INDIA LIMITED	500000	1.43	0	0	0	0	1.43
12	ANKUSH POLY ENGINEERING PRIVATE LIMITED	373351	1.07	0	0	0	0	1.07
13	RUNICHA CONSTRUCTION CO PVT LTD	358349	1.02	0	0	0	0	1.02

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14	RANDER CORPORATION LIMITED	351867	1.01	0	0	0	0	0	0	1.01
TOTAL		17254944	49.30	0	0	0	0	0	0	49.30

For DB (International) Stock Brokers Limited



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(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	GURU KIRPA FINVEST LIMITED	4330091	12.37	0	0	0	0	12.37
2	SHARMA GANDHI HIRE PURCHASE LIMITED	3571106	10.20	0	0	0	0	10.20
TOTAL		7901197	22.57	0	0	0	0	22.57

For DB (Public) National Stock Brokers Limited

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(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
TOTAL		N.A.	

For DB (International) Stock Brokers Limited



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(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.	N.A.	N.A.	N.A.
TOTAL				

For DB (Financials) Stock Brokers Limited


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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.	N.A.	N.A.	N.A.
TOTAL				

For DB International Stock Brokers Limited


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