

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35			
NAME OF THE COMPANY: MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD		Name of the Scrip: MAHINDRA & MAHINDRA FINANCIAL SERVICES LIMITED	
SCRIP CODE:	532720 & M&MFIN		
Class of Security:	Equity		
QUARTER ENDED:	30/09/2015		
		As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.
Partly paid-up shares	No. of partly paid-up shares		
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	0	0	0
		As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Outstanding convertible securities:	No. of outstanding securities		
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	0	0	0
		As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Warrants:	No. of warrants		
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	0	0	0
<b>Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities</b>	56,87,64,960 Equity Shares of the face value of Rs. 2 each		



CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS (iii)	TOTAL NUMBER OF SHARES (iv)	NO OF SHARES HELD IN DEMATERIALIZED FORM (v)	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGED OR OTHERWISE ENCUMBERED	
					AS A PERCENTAGE of (A+B) (vi)	AS A PERCENTAGE of (A+B+C) (vii)	NUMBER OF SHARES (viii)	AS A PERCENTAGE (IX)=(VIII)/(IV)* 100	
(i)	(ii)		(iv)	(v)	(vi)	(vii)	(viii)		
(A)	PROMOTER AND PROMOTER GROUP								
(1)	INDIAN								
(a)	Individual /HUF	0	0	0	0.00	0.00	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00	0.00
(c)	Bodies Corporate	1	291207660	291207660	51.20	51.20	0	0.00	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00	0.00
(e)	Others (MMFSL ESOP TRUST )	2	4526185	4526185	0.80	0.80	0	0.00	0.00
	Sub-Total A(1) :	3	295733845	295733845	52.00	52.00	0	0.00	0.00
(2)	FOREIGN								
(a)	Individuals (NRI/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00	0.00
	Sub-Total A(2) :	0	0	0	0.00	0.00	0	0.00	0.00
	Total A=A(1)+A(2)	3	295733845	295733845	52.00	52.00	0	0.00	0.00



CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGED OR OTHERWISE ENCUMBERED				
					(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)* 100	
(I)	(II)											
(B)	PUBLIC SHAREHOLDING											
(1)	INSTITUTIONS											
(a)	Mutual Funds /UTI	77	34652403	34652403			6.09				N.A.	N.A.
(b)	Financial Institutions / Banks	9	3331731	3331731			0.58					
(c)	Central Government / State Government(s)	0	0	0			0.00					
(d)	Venture Capital Funds	1	1855000	1855000			0.33					
(e)	Insurance Companies	2	1750530	1750530			0.31					
(f)	Foreign Institutional Investors	344	205610230	205610230			36.15					
(g)	Foreign Venture Capital Investors	0	0	0			0.00					
(h)	Qualified Foreign Investor	0	0	0			0.00					
(f)	Others	0	0	0			0.00					
	<b>Sub-Total B(1) :</b>	<b>433</b>	<b>247199894</b>	<b>247199894</b>			<b>43.46</b>				<b>N.A.</b>	<b>N.A.</b>
(2)	NON-INSTITUTIONS											
(a)	Bodies Corporate	891	3058210	2885720			0.54					
(b)	Individuals											
	(i) Individuals holding nominal share capital upto Rs.1 lakh	52543	14538881	14021166			2.56					
	(ii) Individuals holding nominal share capital in excess of Rs.1 lakh	34	5264335	4646220			0.93					
(c)	Others											
(1)	CLEARING MEMBERS	233	1167150	1167150			0.20					
	NON RESIDENT INDIANS	970	930006	930006			0.16					
	TRUSTS	7	872639	872639			0.15					
(d)	Qualified Foreign Investor	0	0	0			0.00					
	<b>Sub-Total B(2) :</b>	<b>5478</b>	<b>25831221</b>	<b>24522901</b>			<b>4.54</b>				<b>N.A.</b>	<b>N.A.</b>
	<b>Total B=B(1)+B(2) :</b>	<b>5511</b>	<b>27303115</b>	<b>271722795</b>			<b>48.00</b>				<b>N.A.</b>	<b>N.A.</b>
	<b>Total (A+B) :</b>	<b>55114</b>	<b>568764960</b>	<b>567456640</b>			<b>100.00</b>				<b>0</b>	<b>0</b>
(C)	Shares held by custodians, against which Depository Receipts have been issued	0	0	0			0					
(1)	Promoter and Promoter Group	0	0	0			0					
(2)	Public	0	0	0			0.00					
	<b>GRAND TOTAL (A+B+C) :</b>	<b>55114</b>	<b>568764960</b>	<b>567456640</b>			<b>100.00</b>				<b>0</b>	<b>0.00</b>



Statement showing holding of securities [including shares, warrants, convertible securities] of persons belonging to the category "Promoter and Promoter Group"											
Sr.No	Name of the Shareholder	Details of Shares held		Shares pledged or otherwise Encumbered Shares			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A)+(B)+(C)	Pledged Shares	As a percentage (VI)=(V)/(III)*100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	MAHINDRA & MAHINDRA LIMITED	291207660	51.20	0	0.00	0.00	0	0.00	0	0.00	51.20
2	MR. RAMESH IYER AND MR. V. RAVI - TRUSTEES MAHINDRA & MAHINDRA FINANCIAL SERVICES LIMITED EMPLOYEES' STOCK OPTION TRUST	3740910	0.66	0	0.00	0.00	0	0.00	0	0.00	0.66
3	MR. RAMESH IYER AND MR. V. RAVI - TRUSTEES MAHINDRA & MAHINDRA FINANCIAL SERVICES LIMITED EMPLOYEES' STOCK OPTION TRUST	785275	0.14	0	0.00	0.00	0	0.00	0	0.00	0.14
	<b>TOTAL :</b>	<b>295733845</b>	<b>52.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>52.00</b>



Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares									
(I)(c)(i)	Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	1	FRANKLIN TEMPLETON INVESTMENT FUNDS	14832509	2.60	0	0.00	0	0.00	2.60
	2	ARANDA INVESTMENTS (MAURITIUS) PTE LTD	14159390	2.49	0	0.00	0	0.00	2.49
	3	AMANSA HOLDINGS PRIVATE LIMITED	11704054	2.06	0	0.00	0	0.00	2.06
	4	JPMORGAN SICAV INVESTMENT COMPANY (MAURITIUS) LIMITED	6365923	1.12	0	0.00	0	0.00	1.12
		<b>TOTAL :</b>	<b>47061876</b>	<b>8.27</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>8.27</b>



Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company						
(I)(c)(ii)	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
Sr.No.				Number of warrants held	As a % total number of warrants of the same class	
				Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
		0	0	0	0	0
	<b>TOTAL :</b>	0	0	0	0	0



<u>(I)(d) Statement showing details of locked-in shares</u>			
Sr No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		Nil	
<b>TOTAL</b>		<b>Nil</b>	



STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)			
(ii)(a)			
		Number of outstanding DRs	Number of shares underlying outstanding DRs
	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(i)(a) above}		
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc.)		
	N.A.	0	0
TOTAL :		0	0





(II)(b)	Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number of shares	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(I)(a) above)
Sr.No.	Name of the DR Holder N.A.			
TOTAL :		0	0	0

