



Essar Securities Limited

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Mumbai- 400 034
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Date: January 20, 2017

To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort.
Mumbai – 400 001

Dear Sir,

Sub.: Statement of Investor Complaints for the quarter ended December 31, 2016.

Ref: Scrip Code 533149

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith the status of Investor Complaints for the quarter ended December 31, 2016 as received from our Registrar and Transfer Agent viz Data Software Research Company Private Limited.

Request you to kindly take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

For Essar Securities Limited

Director

Essar Securities Limited

Status of complaints - 01.10.2016 to 31.12.2016

No.	NAME AND FOLIO No.	NATURE OF COMPLAINT	STATUS
01	JAIPAL RAJANI 014225	Transfer Procedure	Transfer Procedure informed Reply sent by email.
02	E M GEORGE 004474	Non-receipt of share certificate	Undelivered share certificate has been sent to new address
03	HARESH VANJANI 005238/008517	Transfer/Transmission	Transfer Procedure informed Reply sent by email
04	R PADMAVATHI IN301313/20762961	Annual Report 2015-16	Physical copy of Annual Report 2015-16 has been sent
05	MAYA DEVI VANJANI 008944	Name Correction	Share Certificate duly corrected and sent
06	RASHMI HARESH VANJANI 013853	Name Correction	Share Certificate duly corrected and sent
07	ZUARI INVESTMENTS LTD 023269	Non-receipt of share certificate	Undelivered share certificate (received for demat/rejected) has been sent to the DP.
08	AJAY GUPTA 011145	Transmission Procedure	Procedure for transmission of shares informed by email
09	PHILOMENA J VIEGAS 000813	Name Deletion	Name deletion procedure informed