



pharmaceuticals ltd.

Registered & Corporate Office :

Plot No. 19-111, Road No. 71,
Opp. Bharatiya Vidya Bhavan Public School,
Jubilee Hills Hyderabad - 500 096. Telangana. INDIA,
Tel : +91-40-6628 8888, Fax : +91-40-2355 1401/402
CIN : L24239AP1987PLC008066
Email : info@smspharma.com, www.smspharma.com

Date: 09th January, 2017

To,
The Managers,
Corporate Filings Department,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001

The Managers,
Listing Compliance Department,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.

Security Code:532815

Symbol: SMSPHARMA

Subject: Statement of Investor Complaint for the Quarter ended on 31stDecember, 2016.

Dear Sir/Madam,

Pursuant to the Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are submitting undermentioned statement towards Investor Complaint for the Quarter ended on 31st December, 2016.

Investor Complaints	For the period from 01.10.2016 to 31.12.2016
Pending at the beginning of quarter	NIL
Received during the quarter	NIL
Disposed of during the quarter	NIL
Remaining unresolved at the end of the quarter	NIL

Please take the same on your records.

Thanking you

Yours Faithfully

For SMS Pharmaceuticals Limited

Thirumalesh Tumma
Company Secretary





AARTHI CONSULTANTS PVT. LTD

(SEBI Approved Category – I Registrar & Share Transfer Agent)

SEBI Registration No. INR000000379

1-2-285, Domalguda, Hyderabad – 500 029

Phone: 040- 2763 8111, 2763 4445: Fax: 040- 2763 2184

Email: info@arthiconsultants.com

January 6, 2017

TO WHOMSOEVER IT MAY CONCERN

THIS IS TO CERTIFY THAT THERE ARE NO COMPLAINTS RECEIVED AND PENDING WITH US OF THE SHAREHOLDERS OF **SMS PHARMACEUTICALS LIMITED** FOR THE PERIOD FROM 01/10/2016 TO 31/12/2016

Sl no	COMPANY NAME	OPENING	RECEIVED	RESOLVED	PENDING
1	SMS PHARMACEUTICALS LIMITED	NIL	NIL	NIL	NIL

for AARTHI CONSULTANTS PVT LIMITED



AUTHORISED SIGNATORY

SMS PHARMACEUTICALS LIMITED

INVESTOR REQUESTS/GRIEVANCES REPORT FOR THE PERIOD 01.10.2016 to 31.12.2016

Sl.	Particulars	Opening	Receipt	Resolved	Pending
A	REQUESTS				
a	Change/Correction of address	0	1	1	0
b	Change / Correction of Bank Mandate	0	0	0	0
c	Change of company name stickers	0	0	0	0
d	Receipt of D/W for revalidation/correction of Bank mandate	0	2	2	0
e	Registration of Power of Attorney	0	0	0	0
f	Request for ECS facility (Electronic Clearance Services)	0	0	0	0
g	Loss of securities and request for issue of duplicate s/c	0	0	0	0
h	Receipt of I/B and affidavit for issue of duplicate securities	0	1	1	0
i	Request for status of shares/refund	0	0	0	0
j	Request for consolidation / split of securities/ Exchange of S/c	0	1	1	0
k	Request for issue of demand drafts against D/W	0	0	0	0
l	Request for correction of name	0	0	0	0
m	Request for transmission	0	0	0	0
n	Request for transposition	0	0	0	0
o	Specimen Sign change/not mentioned in Appln&intimation of the same	0	0	0	0
p	Request for registration of e-mail Id under Green Initiative	0	0	0	0
q	Request in receiving the notice/ documents in physical form only	0	0	0	0
	TOTAL	0	5	5	0
B	COMPLAINTS				
a	Non receipt of dividend warrants	0	0	0	0
b	Non receipt of Annual reports	0	0	0	0
c	Complaints from Stock Exchanges	0	0	0	0
d	Complaints from SEBI	0	0	0	0
e	Legal Notice	0	0	0	0
f	Non receipt of refund order	0	0	0	0
g	Non receipt of Share certificates	0	0	0	0
	TOTAL	0	0	0	0
	GRAND TOTAL (A+B)	0	5	5	0

