

(I) (a) Statement showing Shareholding Pattern

Name of the Company: Ashok Alco-Chem Limited				
Scrip Code: 524594 Name of the scrip - ASHOKALC class of security: Equity Shares				
Quarter ended 30.09.2014				
Partly paid-up shares				
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company	
Held by Promoter / Promoter Group	0	0.00	0.00	
Held by public	0	0.00	0.00	
Total	0	0.00	0.00	
Outstanding convertible securities				
	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities	
Held by Promoter / Promoter Group	0	0.00	0.00	
Held by public	0	0.00	0.00	
Total	0	0.00	0.00	
Warrants				
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants	
Held by Promoter / Promoter Group	450000	100.00	9.78	
Held by Public	0	0.00	0.00	
Total	450000	100.00	9.78	
Total paid-up capital of the company assuming full conversion of warrants and convertible securities				4600343





Statement Showing Shareholding Pattern

Table (I) (a)

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/Hindu Undivided Family	0	0	0	0.00	0.00	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0.00	0.00
(c)	Bodies Corporate	1	2068632	2068632	49.84	49.84	0.00	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0.00	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (A)(1)	1	2068632	2068632	49.84	49.84	0.00	0.00
2	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0.00	0.00
(c)	Institutions	0	0	0	0.00	0.00	0.00	0.00
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00	0.00	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (A)(2)	0	0	0	0.00	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	2068632	2068632	49.84	49.84	0.00	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	1	100	0	0.00	0.00	0.00	0.00
(b)	Financial Institutions / Banks	2	252081	251981	6.07	6.07	0.00	0.00
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0.00	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0.00	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0.00	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0.00	0.00
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00	0.00	0.00
(I)	Any Other (specify)	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (B) (1)	3	252181	251981	6.08	6.08	0.00	0.00

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	As a percentage (IX) = (VIII)/(IV)*100
					As a percentage of (A+B)	As a percentage of (A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
2	Non-institutions							
(a)	Bodies Corporate	57	386469	385669	9.31	9.31	0.00	0.00
(b) (i)	Individuals - shareholders holding nominal share capital up to Rs 1 Lakh	3018	841900	624950	20.29	20.29	0.00	0.00
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	16	571927	571927	13.78	13.78	0.00	0.00
(c)	Qualified Foreign Investors	0	0	0	0.00	0.00	0.00	0.00
(d)	Any Other							
i	Non Resident Indians (Repat)	5	2597	2597	0.06	0.06	0.00	0.00
ii	Non Resident Indians (Non Repat)	3	5722	322	0.14	0.14	0.00	0.00
iii	Foreign Nationals	0	0	0	0.00	0.00	0.00	0.00
iv	Clearing Member	22	20915	20915	0.50	0.50	0.00	0.00
v	Directors / Relatives	0	0	0	0.00	0.00	0.00	0.00
vi	Trusts	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (B)(2)	3121	1829530	1606380	44.08	44.08	0.00	0.00
	Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)	3124	2081711	1858361	50.16	50.16	0.00	0.00
	Total (A)+(B)	3125	4150343	3926993	100.00	100.00	0.00	0.00
(C)	Shares held by custodians and against which Depository Receipts have been issued							
i	Promoter and Promoter group	0	0	0	0.00	0.00	0.00	0.00
ii	Public	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (C)	0	0	0	0.00	0.00	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	3125	4150343	3926993	100.00	100.00	0.00	0.00



ASHOK ALCO-CHEM LIMITED

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"												
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	
1	AURA ALKALIES AND CHEMICALS PVT LTD	2068632	49.84	0	0.00	0.00	450000	100	0	0.00	54.75	
TOTAL		2068632	49.84	0	0.00	0.00	450000	100	0	0.00	54.75	



ASHOK ALCO-CHEM LIMITED

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares									
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	SICOM ARC LTD	251981	6.07	0	0.00	0	0.00	0.00	0.00
2	KODAI INVESTMENT AND TRADING CO PVT LTD	217114	5.23	0	0.00	0	0.00	0.00	0.00
3	SHOBHNA A KADAKIA	158303	3.81	0	0.00	0	0.00	0.00	0.00
4	ANIL MANILAL KADAKIA	118231	2.85	0	0.00	0	0.00	0.00	0.00
5	KSA SHARES AND SECURITIES PRIVATE LIMITED	100000	2.41	0	0.00	0	0.00	0.00	0.00
6	SNEHA SINHA	55000	1.33	0	0.00	0	0.00	0.00	0.00
7	SURENDRA KUMAR KHEMKA	42353	1.02	0	0.00	0	0.00	0.00	0.00
	TOTAL	942982	22.72	0	0.00	0	0.00	0.00	0.00

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company									
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	SICOM ARC LTD	251981	6.07	0	0.00	0	0.00	0.00	0.00
2	KODAI INVESTMENT AND TRADING CO PVT LTD	217114	5.23	0	0.00	0	0.00	0.00	0.00
	TOTAL	469095	11.30	0	0.00	0	0.00	0.00	0.00



ASHOK ALCO-CHEM LIMITED

<u>Statement showing details of locked-in shares</u>				
(I)(d) Sr. No.	Name of the shareholder	Number of Locked in Shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Promoter/ Promoter Group/ Public
1	AURA ALKALIES AND CHEMICALS PVT LTD	2068632	49.84	Promoter
TOTAL		2068632		



ASHOK ALCO-CHEM LIMITED

Statement showing details of Depository Receipts (DRs)					
(II)(a)	Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
				NIL	
	TOTAL				

Statement showing holding of Depository Receipts (DRs), where underlying shares held by "Promoter/ Promoter Group" are in excess of 1% of the total number of shares					
(II) (b)	Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
	TOTAL				

