

### Arman Financial Services Limited

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Date: April 14, 2014

To,
The Department of Corporate Services
The Mumbai Stock Ex change
Phiroze Jeejeebhoy Towers
1st Floor, Rotunda Building
B. S. Marg, Fort,
Mumbai-400001

Dear Sir.

### SUB: SHAREHOLDING PATTERN - COMPLIANCE CLAUSE-35

We are pleased to enclose herewith the Shareholding Pattern of the Company as on 31<sup>st</sup> March, 2014 in compliance with the Clause-35 of the listing agreement.

Co Code: 531179 ARMANFIN

Kindly take it on your record.

Thanking you,

Yours faithfully,

For Arman Financial Services Ltd.

Aalok Patel

**Executive Director** 



CIN: L55910GJ1992PLC018623

### Introductory sub-table (l)(a)

Name of the Company: ARMAN FIN	ANCIAL SERVICES LIMITED		
Scrip Code, Name of the scrip, clas	s of security: 531179 ARMANFIN		
As on : 31-03-2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group Held by public	NIL NIL	NIL NIL	NiL NiL
Total - D	NIL	NIL	NIL
Outstanding convertible securities:	No. of outstanding securities	As a % of total no. of outstanding convertible securities	the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0		0
Held by public	0	0	C
Total - E	0	0	
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	
Held by public	0		
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	5720179		



	nent Showing Shareholding Patto		Table (I)(a)					
Catego ry code	Category of Shareholder	Number of Shareholde rs	Table (I)(a) Total number of shares	Number of shares held in dematerialized form	Total sharel percentage number of s	of total	encumbered	ed or otherwise
					As a percentage of(A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(111)	(JV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)*(IV)*1
(A) 1	Shareholding of Promoter and Indian							
(a)	Individuals/ Hindu Undivided Family							
(b)	Central Government/ State Government(s)	8	1546730	1546730		27.03	0	0 00
(-)	D 1: - C			1000	0.00	0.00	0	0,00
(c)	Bodies Corporate	1	165400	165400	2.89	2.89	0	0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0 00
(e-i)					0.00	0.00		0.00
					0.00	0.00		0.00
	Sub Total(A)(1)	9	1712130	1712130	29.92	29.92	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	112 11			0.00	0.00	0	0.00
b	Bodies Corporate				0.00	0.00	0	0.00
c	Institutions	77.11			0.00	0.00	0	0.00
d	Any Others(Specify)				0.00	0.00	0	0.00
d-i			-		0.00	0.00	0	0.00
d-ii					0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0,00
	Total Shareholding of Promoter and	9				29.92	0	0.00
(B)	Public shareholding							
1	Institutions							
	Mutual Funds/ UTI				0.00	0.00	0	0
(b)	Financial Institutions Banks							
(c)	Central Government/ State Government(s)				0.00	0.00	0	0
(d)	Venture Capital Funds				0.00	0.00	0	0
(e)	Insurance Companies				0.00	0.00	0	0
	Foreign Institutional Investors	-	1429415	1358129		24.99	0	0
(g)	Foreign Venture Capital Investors		1427413	1336129	0.00	0.00	0	0
(h)	Any Other (specify)				0.00	0.00	0	0
(11)	(opening)				0.00	0.00	0	0
NOTE-	*71286 shares to be issued are under D- mat process			71286*	0.00	0.00	0	0
	Sub-Total (B)(1)	1	1429415	1358129	24.99	24.99	0	0



B 2	Non-institutions				1 11-11			
(a)	Bodies Corporate	51	112685	67385	1.97	1.97	0	9
(b)	Individuals			-	0.00	0.00	6	0
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4790	1076590	595450	18.82	18.82	0	0
П	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	26	1283487	1283487	22.44	22.44	0	0
(c)	Any Other (Non-Resident Repartriates)	17	76007	39507	1.33	1.33	0	0
(c-i)	Non-Resident Non Repartriates	4	17874	17874	0.31	0.31	0	0
(c-ii)	others	4	11991	11991	0.21	0.21	0	0
	Sub-Total (B)(2)	4892	2578634	2015694	45.08	45.08	0	0
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	4893	4008049	3373823	70.07	70.07	0	0
	TOTAL (A)+(B)	4902	5720179	5085953	100.00	100.00	0	0
(C)	Shares held by Custodians and against which Depository Receipts have been issued	2						
ì	Promoter and Promoter Group					0.00	0	0.00
2	Public					0.00	0	
	Sub-Total (C)	-0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	4902	5720179	5085953	100.00	100.00	0	0.00

For, Arman Financial Services Limited

Director

Sr.No No
1 The Company has issued 1204474 Class-A Ordinary Shares to a single investor and the said
2
ω
4
5
0
7
8



## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons helonging to the category "Promoter and Promoter Group"

TOTAL	13	12	=	10	9	000	7	6	O.	4	w	2	_	()	No.
AL.	13 MAULIK AMIT MANAKIWALA	RITA JAYENDRA PATEL	JAYENDRA BHAILAL PATEL	AKASH JAYENDRA PATEL	HIMANI AMIT MANAKIWALA	RITA JAYENDRA PATEL	RITA JAYENDRA PATEL	AMIT RAJNIKANT MANAKIWALA	NAMRA HOLDING AND CONSULTANCY SERVICES PVT. LTD.	JAYENDRA BHAILAL PATEL	ALOK JAYENDRA PATEL	AAKASH PATEL	JAYENDRA BHAILALBHAI PATEL	(11)	Name of the shareholder
1712130	800	21174	24180	29900	50000	73260	202240	194003	165400	196000	247480	248930	258763	(III)	Number of shares held
29.92	0.01			0.52	0.87	1.28	3.54	3.39	2.89	3.43	4.33	4.35	4.52	(IV)	mber of As a % of grand total  (A) +(B) +(C)
0														3	No.
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(VI)=(V)/(III)*100	As a percentage As of g to (A)+ (C) su cla
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(IIV)	As a % of grand total (A)+(B)+ clause (D)(a)
0.00	0.00		0.00	0.00	0.00	0.00	0.00	0,00	0.00	0.00	0.00	0.00	0.00	(VIII)	
0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(xx)	Number As a % of the same class
	0.00	li	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8	sec N.
0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(X)	Details of convertible securities  mber
0.00			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00	(XII)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



### (I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

TOTAL	10	9	8	7	6	Si	4	ω	2		Sr. No.
		AMRAV DUGAR	AVINASH P WADHWA	KANCHAN VINODKUMAR I	VINOD KUMAR DUGAR	AMRAV DUGAR	BABULAL DUGAR	GIRISH GULATI (HUF).	BABULALL DUGAR	RIF NORTH WEST 2	Name of the shareholder
2245572		85000	85000	93140	91730	95000	106020	124452	135815	1429415	Number of shares held
39.26		1.49	1.49	1.63	1.60	1.66	1.85	2.18	2.37	24.99	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
0	0	0	0	0	0	0	0	0	0	0	J & O Z
0	0	0	0	0	0	0	0	0	0	0	Details of warrants De umber As a % Num total convarrants number of secueld warrants of held the same class
			Trak								Details of securities held
0	0	0	0 0	0 0	0 0	0 0	0	0	0 0	0	Details of convertible securities  umber of % w.r.t onvertible total convertible securities of the same class
0	0	0	0	0	0	0	0	0	0	0	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital



# (I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

	0	0		24.99	1429415		TOTAL
	0	0	0	0.00			10
	0	0	0	0.00			9
	0	0	0	0.00			8
	0	0	0	0.00			7
	0	0	0	0.00	N/S		6
	0	0	0	0.00			5
	0	0	0	0.00			4
	0	0	0	0.00			3
	0	0	0	0.00			2
	0	0	0	24.99	1429415	RIF NORTHWEST 2	1
% w.r.t total number of convertible securities of the same class	Number of convertible securities held	As a % total number of warrants of the same class	Number of warrants	in Statement at para (I)(a) above}			
rities	securities	ol warrants	Details of	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated	of shares	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Sr. No.



### (I)(d) Statement showing details of locked-in shares

	-		5	4	w	2	1		Sr. No.
	RIF NORTH WEST 2	LOKED IN UPTO 13/10/2014.	RITA JAYENDRA PATEL	AMIT RAJNIKANT MANAKIWALA	ALOK JAYENDRA PATEL	AAKASH PATEL	JAYENDRA BHAILALBHAI PATEL	LOKED IN UPTO 12/02/2016.	Name of the shareholder
	71286		45690	31403	45690	45690	45691		Number of locked-in shares
	0.99		0.64	0.44	0.64	0.64	0.64		Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}



### (II)(a) Statement showing details of Depository Receipts (DRs)

TOTAL	9	8	7	6	5	4	Ç.	2	1	Sr. No.
										Sr. No. Type of outstanding DR (ADRs, GDRs, SDRs, etc.)
0										Number of outstanding DRs
0										Number of shares underlying outstanding DRs
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

TOTAL	9	8	7	6	5	4	دی	2	1	Sr. No.
										Sr. No. Name of the DR Holder
										Type of outstanding DR   Number of shares (ADRs, GDRs, SDRs, underlying outsta etc.)
0										Number of shares underlying outstanding DRs
0.00	00.0	0.00	0.00	0.0.0	0.00	0.00	0.00	0.00	0.00	Number of shares  Shares underlying outstanding DRs as a underlying outstanding percentage of total number of shares {i.e., DRs  ORS  Statement at para (I)(a) above}

