

1.(a) Statement showing Shareholding Pattern

Name of the Company:		: Ambalal Sarabhai Enterprises Limited	
Scrip Code, Name of the scrip, class of security		: 6779	
Quarter ended		: 30 th September 2014	
Partly paid up shares	No. of partly paid-up shares	As a % of total No. of partly paid-up shares	As a % of total No. of shares of the company.
Held by promoter/promoters group	—	—	—
Held by public	—	—	—
Total	—	—	—
Outstanding convertible securities	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total No. of shares of the company, assuming full conversion of the convertible securities.
Held by promoter/promoter group	—	—	—
Held by public	—	—	—
Total	—	—	—
Warrants::	No. of warrants	As a % of total No. of warrants	As a % of total No. of shares of the company, assuming full conversion of warrants.
Held by promoter/promoter group	—	—	—
Held by public	—	—	—
Total	—	—	—
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	—		

for Ambalal Sarabhai Enterprises


Company Secretary

(i) (a) Statement showing Shareholding Pattern:

Name of the Company : Ambalal Sarabhai Enterprises Limited
Scrip Code : 6779
Quarter ended: 30 th June 2014

Category Code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares			Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage	
(I)	(ii)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)* 100	
(A)	Shareholding of Promoters and Promoter Group								
(1)	Indian								
(a)	Individuals / Hindu Undivided Family	8	92431	92047	0.12	0.12	-	-	
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	
(c)	Bodies Corporate	22	23226520	23226520	30.31	30.31	-	-	
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-	
(e)	Any Other (specify)								
	Sub-Total(A)(1)	30	23318951	23318567	30.43	30.43	-	-	

for Ambalal Sarabhai Enterprises


Company Secretary

(2)	Foreign												
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor												
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total(A)(2)	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	30	23318951	23318567	30.43	30.43							
(B)	Public shareholding												
(1)	Institutions												
(a)	Mutual Funds/ UTI	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Financial Institutions/ Banks	15	356712	355292	0.47	0.47							
©	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-

or Ambalal Sarabhai Enterprises


Company Secretary

(e)	Insurance Companies	2	2200	-	-	0.00	-	-
(f)	Foreign Institutional investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	17	358912	355292	0.47	0.47	-	-
(Z)	Non-Institutions							
(a)	Bodies Corporate	806	19823101	19690257	25.87	25.87	-	-
(b)	Individuals – I. Individual shareholders holding nominal shares capital up to Rs. 1 lakh.	168142	24767224	11315490	32.32	32.32	-	-
	II. Individual shareholders holding nominal share capital in excess of Rs.1 lakh.	177	8056474	6938688	10.51	10.51	-	-
(c)	Qualified Foreign Investor							

or Ambalal Sarabhai Enterprises



Company Secretary

(d)	Any Other (specify) – NRI	444	308634	151160	0.40	0.40	-	-
	Sub-Total (B)(2)	169569	52955433	38095595	69.10	69.10	-	-
	Total Public shareholding (B)	169586	53314345	38450887	69.57	69.57	-	-
	= (B)(1)+(B)(2)							
	TOTAL (A)+(B)	169616	76633296	61769454	100.00	100.00	-	-
(e)	Shares held by Custodians and against which Depository Receipts have been issued	+++	+++	+++	+++	+++	-	-
	GRAND TOTAL (A)+(B)+(C)	169616	76633296	61759454	100.00	100.00	-	-
1	Promoters	30	23318951	23318567	30.43			
2	Public	169586	53314345	38440887	69.57			

of Ambekar Sarabhai Enterprises



Company Secretary

(i)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Annexure - A

(i)(c)(i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Annexure - B

(i)(c)(ii) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares.

Annexure - C

(i)(d) Statement showing details of locked-in shares : N.A.

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1.			
2.			
	TOTAL		

or Ambekar Sarabhai Enterprises


Company Secretary

(ii)(a) Statement showing details of Depository Receipts (DRs) : N.A.

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total(A)+(B)+(C) indicated in Statement at para(I)(a) above)
1.	/	/	/	/
2.	/	/	/	/
	TOTAL			

(ii)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.

N.A.

Sr. No.	Name of the DR Holder Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1.	/	/	/
2.	/	/	/
	TOTAL		

Dr. Ambalal Sarabhai Enterprises



Company Secretary

III(A) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer. (Give description of voting rights for each class of security).

Not Applicable

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total voting rights i.e. (VI)	
		Class	Class	Class		As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)
(I)	(ii)	(III)	(IV)	(V)	(VI)		
(A)	Shareholding of Promoters and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/ Banks						
(e)	Any Other (specify)						
	Sub-Total(A)(1)						

for Ambalal Sarabhai Enterprises


Company Secretary

(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)								
(b)	Bodies Corporate								
(c)	Institutions								
(d)	Any Other (specify)								
	Sub-Total(A)(2)								
	Total								
	Shareholding of Promoter and Promoter Group (A)=								
	(A)(1)+(A)(2)								
(B)	Public shareholding								
(1)	Institutions								
(a)	Mutual Funds/ UTI								
(b)	Financial Institutions/ Banks								
©	Central Government/ State Government(s)								
(d)	Venture Capital Funds								

for Ambalal Sarabhai Enterprises



Company Secretary

(e)	Insurance Companies						
(f)	Foreign Institutional investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
(2)	Non-Institutions						
(a)	Bodies Corporate						
(b)	Individuals –						
	i. Individual shareholders holding nominal shares capital upto Rs.1 lakh.						
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh.						

For Ambalal Sarabhai Enterprises



Company Secretary

(c)	Any Other (specify)								
	Sub-Total (B)(2)								
	Total Public shareholding (B) = (B)(1)+(B)(2)								
	TOTAL (A)+(B)								
(C)	Shares held by Custodians and against which Depositary Receipts have been issued								
	GRAND TOTAL (A)+(B)+(C)								

for Ambalal Sarabhai Enterprises



Company Secretary

ANNEXURE-1

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of Shares held		Encumbered Shares(*)			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C) (IV)	No.	As a percentage (VI)=(V)/(IV)*100 (VII)	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) (VIII)	Number of warrants held (IX)	As a % total number of warrants of the same class (X)	Number of convertible securities held (XI)	As a % total number of convertible securities of the same class (XII)	
(1)	(II)	(III)	(IV)	(V)	(VI)=(V)/(IV)*100 (VII)	(VIII)	(IX)	(X)	(XI)	(XII)	
1.	Kartikeya V Sarabhai	12250	0.016	Nil		Nil		Nil			N.A.
2.	Govind D. Zalani	10	-	Nil		Nil		Nil			N.A.
3.	B.V.Surya kumar	374	-	Nil		Nil		Nil			N.A.
4.	Mallika S Sarabhai	49960	0.065	Nil		Nil		Nil			N.A.
5.	Mohal Sara	12610	0.017	Nil		Nil		Nil			N.A.
6.	Samvit	12655	0.017	Nil		Nil		Nil			N.A.

For Ambalal Sarabhai Enterprises


Company Secretary

7.	Sarabhai Mrilani S Sarabhai	4495	0.006	Nil				Nil		Nil		N.A.
8.	Rajashree S Sarabhai	77	-	Nil				Nil		Nil		N.A.
9.	Sarabhai Holding P L	19303972	25.19	Nil				Nil		Nil		N.A.
10.	RajKa Designs P L	163850	0.214	Nil				Nil		Nil		N.A.
11.	Leena Inv.t.P.L.	128217	0.167	Nil				Nil		Nil		N.A.
12.	Himalaya Inv.t.P.L.	1911	0.002	Nil				Nil		Nil		N.A.
13.	Yudhisthir Inv.P.L.	469305	0.612	Nil				Nil		Nil		N.A.
14.	Koshalya Inv.P.L.	456722	0.596	Nil				Nil		Nil		N.A.
15.	Medicinal Drugs Mfg.P.L.	157716	0.206	Nil				Nil		Nil		N.A.
16.	Sahayog Inv.P.L.	161011	0.210	Nil				Nil		Nil		N.A.
17.	Talimi. Inv.P.L.	163323	0.213	Nil				Nil		Nil		N.A.
18.	Vasantbahar Inv.P.L.	200989	0.262	Nil				Nil		Nil		N.A.
19.	Adana Inv.P.L.	157920	0.206	Nil				Nil		Nil		N.A.
20.	Kanda Inv.P.L.	209288	0.273	Nil				Nil		Nil		N.A.
21.	Mrigank Inv.P.L.	178669	0.233	Nil				Nil		Nil		N.A.
22.	Jonpuri Inv.P.L.	178667	0.233	Nil				Nil		Nil		N.A.
23.	Bhadrapad	157920	0.206	Nil				Nil		Nil		N.A.

For Ambalat Sarabhai Enterprises


Company Secretary

	Inv.P.L.												
24.	Bhilwal Inv.P.L.	157920	0.206	Nil				Nil				Nil	N.A.
25.	Madhavbag Holdings P.L.	178651	0.233	Nil				Nil				Nil	N.A.
26.	Ashavari Inv.P.L.	182513	0.238	Nil				Nil				Nil	N.A.
27.	Vaishakhi Inv.P.L.	181561	0.237	Nil				Nil				Nil	N.A.
28.	Todrag Holdings P.L.	185675	0.242	Nil				Nil				Nil	N.A.
29.	Khamaj Inv.P.L.	159086	0.208	Nil				Nil				Nil	N.A.
30.	Sarabhai Management Corp.ltd	91634	0.120	Nil				Nil				Nil	N.A.
TOTAL		23318951	30.43										

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Dr Ambalal Sarabhai Enterprises


Company Secretary

ANNEXURE-B

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Names of the Shareholder	Number of shares held	Shares as percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (D)(a) above}	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1.	Caplin Viniyog P.Ltd	4222789	5.51	Nil		Nil		N.A.
2.	Navtech Farm Products P Ltd	3646167	4.76	Nil		Nil		N.A.
3.	Ontime hire Purchase & Agencies P Ltd	3295625	4.30	Nil		Nil		N.A.
4.	Gyan Traders Ltd.	2740836	3.58	Nil		Nil		N.A.
5.	The Methani Tea Co.Ltd.	2470625	3.22	Nil		Nil		N.A.
	TOTAL	16376042	21.37	Nil		Nil		

for Ambalal Sarabhai Enterprises


Company Secretary

ANNEXURE-C

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Names of the Shareholder	Number of shares held	Shares as percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (D)(a) above}	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital N.A.
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1.	Caplin Viniyog P.Ltd	4222789	5.51	Nil		Nil		
	TOTAL	4222789	5.51	Nil		Nil		

for Ambalal Sarabhai Enterprises


Company Secretary