

### Introductory sub-table (I)(a)

Name of the Company: ALKA SEC	CURITIES LIMITED		
Scrip Code: 532166 ; Name of the	scrip: ALKASEC ; Class of security:	: EQUITY	
Quarter ended: MARCH 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group Held by public		0 0 0	0 (0)
Outstanding convertible securitie		As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group Held by public		0 0 0	
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group Held by public		0	
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	959400		-1



## Alka Securities Limited

10, Maitri, NS Rd. No. 10, JVPD Scheme, Juhu, Vile Parle West, Mumbai - 400 049 www.alkasecurities.com +91-022-2670 8941

		Statem		g Shareholding	Pattern				
				ble (I)(a)					
Categ	Category of	Number of	Total	Number of		eholding as a	Shares Pledged or otherwise		
ory	Shareholder	Shareholders	number	shares held in	percentage of	f total number	encu	ımbered	
code			of shares	dematerialized	of s	hares			
				form					
					As a	As a	Number of	As a percentage	
						percentage of	shares	As a percentage	
					percentage		shares		
					of(A+B) <sup>1</sup>	(A+B+C)			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100	
	Shareholding of Promoter and Promoter								
1	Indian								
(a)	Individuals/ Hindu Undivided Family	7	45579479	45579479	47.51	47.51	30476851	66.87	
	Central Government/ State Government(s)	0		0	0.00	0.00	0	0.00	
	Bodies Corporate	0		0	0.00	0.00	0	0.00	
	Financial Institutions/ Banks	0		0	0.00	0.00	0	0.00	
		0	0	0	0.00	0.00	0	0.00	
(e)	Any Others(Specify)	0		45579479	47.51	47.51	30476851		
	Sub Total(A)(1)	/	455/94/9	455/94/9	47.51	4/.51	304/0851	66.87	
2	Foreign								
	Individuals (Non-Residents Individuals/								
				0	0.00	0.00	0	0.00	
	Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	
	Bodies Corporate		0	0		0.00	0	0.00	
	Institutions	0	0	0	0.00	0.00	-	0.00	
	Qualified Foreign Investor	0		0	0.00	0.00	0	0.00	
e	Any Others(Specify)	0		0	0.00	0.00	0	0.00	
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00	
	Total Shareholding of Promoter and	7	45579479	45579479	47.51	47.51	30476851	66.87	
(B)	Public shareholding								
	Institutions								
(a)	Mutual Funds/ UTI	1	1	1	0.00	0.00			
(b)	Financial Institutions Banks	0	0	0	0.00	0.00			
	Central Government/ State Government(s)	0	0	0	0.00	0.00			
	Venture Capital Funds	0	0	0	0.00	0.00			
	Insurance Companies	0	0	0	0.00	0.00			
	Foreign Institutional Investors	0	0	0	0.00	0.00			
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00			
	Qualified Foreign Investor	0	0	0	0.00	0.00			
	Any Other (specify)	0	0	0	0.00	0.00			
(1)	Sub-Total (B)(1)	1	1	1	0.00	0.00			
		-	-	-	0.00	0.00			
B 2	Non-institutions								
	Bodies Corporate	201	19568397	19264547	20.40	20.40			
	Individuals								
(-)	Individuals -i. Individual shareholders holding								
	nominal share capital up to Rs 1 lakh	3607	13335631	13062661	13.90	13.90			
I	ii. Individual shareholders holding nominal	5007			12.70	10.00		1	
	share capital in excess of Rs. 1 lakh.	117	13086671	12959571	13.64	13.64			
	Qualified Foreign Investor	0	0	0	0.00	0.00		1	
	Any Other (specify)	1			0.00	0.00			
	NRIs	7	28900	28900	0.03	0.03			
	Trusts	/ /	28900	27500	0.03	0.03			
	HUFs	105	4313421	4313421		├			
(u-m)	Sub-Total (B)(2)	4038	<b>50360520</b>		52.49	52.49			
	Sub-10(a) (B)(2)	4030	30300320	47030000	52.49	52.49			
	Total Public Shareholding (B)=								
<b>(B)</b>	(B)(1)+(B)(2)	4039	50360521	49656601	52.49	52.49			
	$(\mathbf{D})(1)^{+}(\mathbf{D})(2)$	4037	30300321	47050001	52.49	52.49			
	TOTAL (A)+(B)	4046	95940000	95236080	100.00	100.00			
		4040	75740000	75450000	100.00	100.00			
(C)	Shares held by Custodians and against								
	which Depository Receipts have been issued								
	which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0		0.00	0	0.00	
2	Public	0					U	0.00	
4						0.00			
	Sub-Total (C )	0	0	0		0	0		
	GRAND TOTAL (A)+(B)+(C)	4046	95940000	95236080		100.00	30476851	31.77	



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#### Sr.No Notes

1

The shares pledged by the promoters, i.e 30476851 shares are pledged to 200-odd individuals

#### category "Promoter and Promoter Group"

Sr.	Name of the	Details o	of Shares held	Encumbered share		s (*)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of	As a % of grand	No.	As a percentage	As a % of	Number	As a %	Number	As a %	
		shares	total (A)			grand total	of	total	of	total	
		held	+(B) +( C )			(A)+(B)+(C)	warrants		convertib	number	
						of sub-clause	held	of	le	of	
						(I)(a )		warrants of the	securities held	le	
								of the same	neia	securities	
								class		of the	
								class		same	
No.	shareholder									class	
(1)	(11)	(111)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Alka Pandey	42947741	44.77	30476851	70.96	31.77	0	0	0	0	44.77
2	Mahendra Pandey	6200	0.01	0	0.00	0.00	0	0	0	0	0.01
3	Ravi Pandey	4000	0.00	0	0.00	0.00	0	0	0	0	0.00
4	Mahesh Kothari	1000	0.00	0	0.00	0.00	0	0	0	0	0.00
5	Ramesh Jain	1000000	1.04	0	0.00	0.00	0	0	0	0	1.04
6	Ketan Doshi	1600000	1.67	0	0.00	0.00	0	0	0	0	1.67
7	Rahul Pandey	20538	0.02	0	0.00	0.00	0	0	0	0	0.02
TOT	AL	45579479	47.51	30476851	66.87	31.77	0	0	0	0	47.50831666

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

# (I)(c)(i) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares</u>

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	of total warrants number of held warrants of		secu Number of convertibl e	convertible nrities % w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	Takeshi Marketing Pvt Ltd	2931608	3.06	0	0	0	0	3.06
2	SSJ Finance & Securities	2847665	2.97	0	0	0	0	2.97
3	Bhanabhai Ganpati Parmar	1036634	1.08	0	0	0	0	1.08
TOTAL		6815907	7.10	0	0	0	0	7.10434334





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# (I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with</u> <u>PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company</u>

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in	<b>Details</b>	of warrants		convertible ırities	Total shares (including underlying shares assuming full conversion of	
			Statement at para (I)(a) above}	Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	warrants and convertible securities) as a % of diluted share capital	
1			-	NIL		-			
TOTAL		0	0.00	0			0		

#### (I)(d) <u>Statement showing details of locked-in shares</u>

Sr. No.	Name of the shareholder		Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1		NIL	
TOTAL		0	0.00

(II)(a) <u>Statement showing details of Depository Receipts (DRs)</u>

	VI 8	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in
				Statement at para (I)(a) above}
1			NIL	
TOTAL		0	0	0.00

#### (II)(b) <u>Statement showing holding of Depository Receipts (DRs), where underlying shares held</u> <u>by "promoter/promoter group" are in excess of 1% of the total number of shares</u>

Sr. No.	Name of the DR Holder	(ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1		Ν	IL	
TOTAL			0	0.00