

# Alka Securities Limited

## Introductory Sub-Table (I)(A)

Name of the Company: ALKA SECURITIES LIMITED

Scrip Code: 532166 ; Name of the scrip: ALKASEC ; Class of security: EQUITY

Quarter ended: JUNE 2014

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>95940000</b>		

Sr.No	Notes
1	The Shares Pledged By The Promoters, I.E. 30476851 Shares Are Pledged To 200-Odd Individuals.

# Alka Securities Limited

## Statement Showing Shareholding Pattern

			Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	As a percentage of(A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	7	45579479	45579479	47.51	47.51	30476851	66.87
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>7</b>	<b>45579479</b>	<b>45579479</b>	<b>47.51</b>	<b>47.51</b>	<b>30476851</b>	<b>66.87</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>7</b>	<b>45579479</b>	<b>45579479</b>	<b>47.51</b>	<b>47.51</b>	<b>30476851</b>	<b>66.87</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	1	1	1	0.00	0.00		
(b)	Financial Institutions/ Banks	0	0	0	0.00	0.00		

# Alka Securities Limited

(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(1)</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0.00</b>	<b>0.00</b>		
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	204	19408397	19114547	20.24	20.24		
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	359	13475031	13187961	14.08	14.08		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	127	13107271	12984571	13.61	13.61		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)				0.00	0.00		
(d-i)	NRIs	7	28900	28900	0.03	0.03		
(d-ii)	Trusts	1	27500	27500				
(d-iii)	HUFs	100	4313421	4313421				
	<b>Sub-Total (B)(2)</b>	<b>4029</b>	<b>50360520</b>	<b>49656900</b>	<b>52.49</b>	<b>52.49</b>		
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>4030</b>	<b>50360521</b>	<b>49656901</b>	<b>52.49</b>	<b>52.49</b>		
	<b>TOTAL (A)+(B)</b>	<b>4037</b>	<b>95940000</b>	<b>95236380</b>	<b>100.00</b>	<b>100.00</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0		0.00	0	0.00
2	Public	0	0	0		0.00		
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>4037</b>	<b>95940000</b>	<b>95236380</b>		<b>100.00</b>	<b>30476851</b>	<b>31.77</b>

**(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”**

Sr.	Name of the shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants			Details of convertible securities			Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	(XII)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)			
1	Alka Pandey	42947741	44.77	30476851	70.96	31.77	0	0	0	0	44.77			
2	Mahendra Pandey	6200	0.01	0	0.00	0.00	0	0	0	0	0.01			
3	Ravi Pandey	4000	0.00	0	0.00	0.00	0	0	0	0	0.00			
4	Mahesh Kohari	1000	0.00	0	0.00	0.00	0	0	0	0	0.00			
5	Ramresh Jain	1000000	1.04	0	0.00	0.00	0	0	0	0	1.04			
6	Ketan Doshi	1600000	1.67	0	0.00	0.00	0	0	0	0	1.67			
7	Rahul Pandey	20538	0.02	0	0.00	0.00	0	0	0	0	0.02			
	<b>TOTAL</b>	<b>45579479</b>	<b>47.51</b>	<b>30476851</b>	<b>66.87</b>	<b>31.77</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47.50831666</b>			

(\*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

# Alka Securities Limited

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Takeshi Marketing Pvt Ltd	2931608	3.06	0	0	0	0	3.06
2	SSJ Finance & Securities	2847665	2.97	0	0	0	0	2.97
3	Bhanabhai Ganpati Parmar	1036634	1.08	0	0	0	0	1.08
<b>TOTAL</b>		<b>6815907</b>	<b>7.10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7.10434334</b>

**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL							
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Alka Securities Limited

<b>(I)(d) Statement showing details of locked-in shares</b>			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL		
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>

<b>(II)(a) Statement showing details of Depository Receipts (DRs)</b>				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL			
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

**(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL			
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>