

Uttam Galva Steels Limited

Registered Office : Uttam House, 69, P. D' Mello Road, Mumbai - 400 009.
Tel. : +91-22-6656 3500 • Fax : +91-22-2348 5025
CIN No.: L27104MH1985PLC035806
Website : www.uttamgalva.com



11th April, 2022

To,
BSE Limited
Mumbai- 400001

National Stock Exchange of India Limited
Mumbai- 400051

Dear Sir/ Madam,

Subject: Compliance under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 for the period ended 31st March, 2022.

Please find enclosed herewith the Compliance Certificate issued by the Practicing Company Secretary for the period ended 31st March, 2022, under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.

Kindly take the same on your record.

Thanking you,

Yours Truly,

For **UTTAM GALVA STEELS LIMITED**

Raggendra Agrawal
Company Secretary

UPENDRA SHUKLA

B. Com., F C. S

Company Secretary

504, Navkar,
Nandapatkar Road,
Vile Parle East, Mumbai - 400 057
Resi : 2611 8257
Mob.: 98211 25846
E-mail : ucshukla@rediffmail.com

The Company Secretary,
UTTAM GALVA STEELS LIMITED,
Uttam House,
69, P D'mello Road,
Mumbai – 400 009

Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the year ended 31st March, 2022.

I have examined all the Share Transfer Deeds, Register of Shares Transfer, Register of Members, files and other documents relating to Uttam Galva Steels Limited, maintained by M/s. Universal Capital Securities Pvt. Ltd., Share Transfer Agents, pertaining to transfer of Equity Shares of the Company during the financial year 01st April, 2021 to 31st March, 2022 for the purpose of issuing a Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company and its Share Transfer Agents, I hereby certify that –

A) Share Certificates relating to transmission of shares/deletion of name/change of name received during the period from 01st April, 2021 to 31st March, 2022 as entered in the Shares Transfer Register, have been issued within thirty days of the date of lodgment except those rejected on technical grounds. The Company has also delivered the duplicate share Certificates within the stipulated period from the date of receipt of all the requisite documents. There was no case of transfer of shares held in physical form, replacement, split, sub-division, consolidation, renewal or endorsement of calls/allotment monies during the period from 01st April, 2021 to 31st March, 2022.

B) Any other information: Nil

(U.C. SHUKLA)
COMPANY SECRETARY
FCS: 2727/CP:1654

UDIN: F002727D000064811

Date: 11/04/2022

Place: Mumbai