

**Essar Securities Limited** 

Essar House, 11, K. K. Marg, Mahalaxmi, Mumbai- 400 034

CIN No.: L65990TN2005PLC071791

T +91 22 6660 1100 F +91 22 6666 9432 www.essar.com

Date: April 18, 2017

To BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort. Mumbai – 400 001

Dear Sir,

Sub.: Statement of Investor Complaints for the quarter ended March 31, 2017.

Ref: Scrip Code 533149

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith the status of Investor Complaints for the quarter ended March 31, 2017 as received from our Registrar and Transfer Agent viz Data Software Research Company Private Limited.

Request you to kindly take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For Essar Securities Limited

Somshekhar Malagi

Director

DIN: 07626139

## DATA SOFTWARE RESEARCH COMPANY PRIVATE LIMITED\_

19, Pycrofts Garden Road Off Haddows Road Nungambakkam Chennai – 600 006.

Tel: 044 - 2821 3738 / 4487 Fax: 044 - 2821 4636

April 10, 2017

Ms. Priyanka Jain Company Secretary Essar Securities Limited ESSAR HOUSE Mumbai - 400 034.

Dear Sir/Madam,

Sub: Status of complaints for the quarter ended 31st March 2017

Ref : Essar Securities Limited

We are furnishing the status of complaints for the quarter ended 31st March 2017 below :-

Number of complaints pending at the beginning of the quarter

NIL

Number of complaints received and disposed of during the quarter

7

Number of complaints remaining unresolved at the end of the quarter

NIL

This is for your information.

Thanking you,

Yours faithfully, For DATA SOFTWARE RESEARCH CO PVT LTD

S CHANDRASEKARAN

SENIOR MANAGER-CAPITAL ISSUE DIVISION

## Essar Securities Limited

## Status of complaints - 01.01.2017 to 31.03.2017

No.	NAME AND FOLIO No.	NATURE OF COMPLAINT	STATUS
01	JAIPAL RAJANI 007134	Clarification	Clarification regarding documents required to be submitted for transmission of shares.
02	VARUGHESE THOMAS 020589	Name Correction	Advised to send the original share certificate for name correction.
03	RADHIKA NAMBURI 014120	Name Correction	Share Certificate duly corrected and sent.
04	S NALINI IN301313/21653841	Non-receipt of Annual Report	Physical copies of Annual Report 2014-15 & 2015-16 have been sent.
05	S K CHOWDHURY 018404	Non-receipt of Dividend	Fresh DD in lieu of dividend warrant will be issued.
06	RAZZAQ MOHMMAD 013863	Non-receipt of share certificate	Undelivered share certificate has been sent to new address.
07	ZENON LEOPOLD D'CRUZ 021781	Name Correction	Advised to send the original share certificate.