

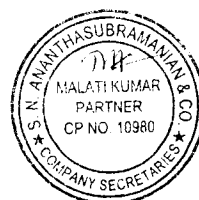
CERTIFICATE UNDER REGULATION 40 (9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31st March, 2017

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hindustan Organic Chemicals Limited**, bearing **CIN L99999MH1960GOI011895** (ISIN INE048A01011) and having its registered office at Rasayani, District: Raigad, Maharashtra – 410 207 (hereinafter referred to as the Company) and maintained by **Bigshare Services Private Limited**, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company/RTA pertaining to certificate/s of equity shares, for the period from **1st October, 2016 to 31st March, 2017** (review period) for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**. Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

During the review period, the Company has delivered the Share Certificates as under:

Event	Due Date	Number of Certificates	Whether Complied or not (Yes /No /Not Applicable)	If No, reason for such Non-compliance
Transfer of Shares	Within 15 days from the date of receipt of request for transfer by RTA.	20	No	Dispatch of certificates beyond 15 days due to delay of approval of transfer from the company



S. N. ANANTHASUBRAMANIAN & CO.
COMPANY SECRETARIES
FIRM REGISTRATION NO. P1991MH040400

10/26, BRINDABAN, THANE - 400601 | Tel 25345648/25432704 | Fax 25390292 | Email sna@snaco.net | Website www.snaco.net

Event	Due Date	Number of Certificates	Whether Complied or not (Yes /No /Not Applicable)	If No, reason for such Non-compliance
Consolidation of Shares	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Sub-division of shares	Within 15 days from the date of receipt of request by RTA	Nil	Not Applicable	-
Renewal	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Exchange or endorsement of calls/allotment monies	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Deletion of name of deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities	Within 15 days from the date of receipt of request by RTA	3	No	Approval from company received late, hence the delay.
Transposition of securities, where there is a change in the order of name	Within 15 days from the date of receipt of request by RTA	Nil	Not Applicable	-



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Event	Due Date	Number of Certificates	Whether Complied or not (Yes /No /Not Applicable)	If No, reason for such Non- compliance
Transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities	Within 21 days after receipt of Specified documents by RTA	Nil	Not Applicable	-

For S. N. ANANTHASUBRAMANIAN & CO.
Company Secretaries

Malati A. Kumar

Malati Kumar
Partner
C.P. No. 10980



Date : 13th April, 2017
Place : Thane