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**OPTO CIRCUITS (INDIA) LIMITED.** (UNIT II)  
100% EOU

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14<sup>th</sup> October 2017

The Manager  
Department of Corporate Services  
Bombay Stock Exchange Ltd  
PJ Towers, Dalal Street  
Fort, MUMBAI - 400 001

The Manager  
National Stock Exchange of India Ltd  
Exchange Plaza  
Bandra Kurla Complex  
Bandra (E), MUMBAI - 400 051

Dear Sir,


**Sub: Certificate on Security Transfer - Regulation 40(9) of SEBI (LODR) Regulations, 2015.**

We are enclosing herewith the Certificate on securities transfer for the period from 1<sup>st</sup> April 2017 to 30<sup>th</sup> September 2017. We request you to take the same on record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,  
For Opto Circuits (India) Limited.,

  
Company Secretary.

Encl: a/a

CIN: L85110KA1992PLC013223

VIJAYAKRISHNA KT  
Company Secretary

#496/4, II Floor,  
10<sup>th</sup> Cross,  
Near Bashyam Circle  
Sadashivanagar  
Bangalore- 560 080  
[vijaykt@vikt.in](mailto:vijaykt@vikt.in)  
Ph: 080 23610847,41231106

### CERTIFICATE

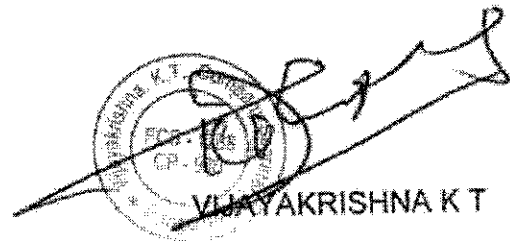
#### IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

At the request of Opto Circuits (India) Limited, I have examined the relevant Share transferred deeds, records, registers relating to transfers as maintained and made available at Karvy Computershare Private Limited, Hyderabad, for the period from 01.04.2017 to 30.09.2017 for the purpose of rendering certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/records provided to me and to the best of my knowledge, I certify that:

The Company has complied with the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by effecting transfers of Shares and by issuing certificates within a period of thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except in cases either where the documents were defective and returned to the lodgers of shares for transfers of where "Sellers' Confirmation Notices" have been issued or where cases are pending before Courts of Law/Quasi Judicial Authority.

13<sup>th</sup> October, 2017  
Bangalore

  
VIJAYAKRISHNA K T