



# Bal Pharma Limited

To,  
The Manager-Listing  
National Stock Exchange of India Ltd  
Mumbai.

14.04.2017

To,  
Manager-Listing  
BSE Limited  
Mumbai.

Dear Sir,

Ref: Regulation 40(9) of SEBI (LODR) Regulations,2015.

With reference to the above, we are submitting to the exchange certificate issued by the practicing Company Secretary in compliance with Regulation 40(9) of SEBI (LODR) Regulations,2015.

Please take the same on record.

Thanking You.

For Bal Pharma Limited

  
Authorized Signatory.



CORPORATE OFFICE : 5th Floor, Lakshmi Narayan Complex, 10/1, Palace Road, Bengaluru - 560 052. India

Ph. : 91 - 80 - 41379500 Fax : 91 - 80 - 22354057/48

Email : info@balpharma.com Website : www.balpharma.com

CIN # L85110KA1987PLC008368

REGD. OFFICE & FACTORY : 21 & 22, Bommasandra Industrial Area, Bengaluru - 560 099. Ph: 41570813/19 Fax : 41570820

Vijayakrishna KT  
Company Secretary  
# 496/4, II Floor, 10<sup>th</sup> Cross  
Near Bashyam Circle  
Sadashivanagar,  
Bangalore-560 080.Tel: 23610847 Fax: 41231106

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**CERTIFICATE**

**(IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

At the request of Bal Pharma Limited, I have examined the relevant share transfer deeds, records, registers relating to transfers as maintained and made available at TSR Darashaw Ltd, Mumbai, for the period from 01.10.2016 to 31.03.2017 for the purpose of rendering certificate pursuant to regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/ records provided to me and to the best of my knowledge, I certify that:

The Company has complied with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by effecting transfers of shares and by issuing certificates within a period of thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except in cases either where the documents were defective and returned to the lodgers of shares for transfers or where "Sellers' Confirmation Notices" have been issued or where cases are pending before Courts of Law/Quasi Judicial Authority.

14.04.2017  
Bangalore

  
  
VIJAYAKRISHNA K T