



# Urja Global Ltd.

(AN ISO 9001 Co.)  
CIN No. L67120DL1992PLC048983

**Date: 30<sup>th</sup> May, 2022**

**To**  
**The Manager (Listing)**  
**Bombay Stock Exchange Limited**  
Phirozejeejeebhoy Towers  
25<sup>th</sup> Floor, Dalal Street  
Mumbai - 400001

**To**  
**The Manager (Listing)**  
**National Stock Exchange of India**  
Exchange Plaza, C-1, Block G  
Bandra Kurla Complex  
Bandra(E), Mumbai-400051

**BSE Scrip Code- 526987**

**Ref: NSE Symbol -URJA**

**Subject: Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019**

Dear Sir/Madam

In pursuance to the applicable clauses of Regulation 24A of SEBI Obligations and Disclosure (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019, please find enclosed herewith Secretarial Compliance Report issued by M/s Nupur Jain & Associates, Company Secretary in Practice for the financial year ended March 31, 2022. The same is for your information and record.

Kindly take the information on your records.

Thanking you

**For URJA GLOBAL LIMITED**

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**NEHA SHUKLA**  
**Company Secretary**





**DATE: 30.05.2022**

**To,  
THE BOARD OF DIRECTORS,  
M/S URJA GLOBAL LIMITED  
ADD: 487/63, 1ST FLOOR, NATIONAL MARKET,  
PEERAGARHI NEW DELHI 110087**

**SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022**

I have been engaged by URJA GLOBAL LIMITED having (CIN: L67120DL1992PLC048983), having registered office 487/63, 1st Floor, National Market, Peeragarhi New Delhi West Delhi DL 110087 whose equity shares are listed on BSE/NSE to conduct an audit and issue Secretarial compliance report in term of Regulations 24A of SEBI (Listing obligations & Disclosure Requirements) Regulations, 2015 as amended from time to time read with the SEBI circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019.

It is the responsibility of the management of the company to maintain records, device proper systems to insure compliance with the provision of all applicable SEBI regulations and circular's/guidelines issued thereunder from time to time and to ensure that the systems are adequate and operating effectively.

My responsibility is to verify compliance by the company with the provision of all applicable SEBI Regulations and circulars/ Guidelines issued thereunder from time to time and issue report thereon.

The audit was conducted in accordance with the guidance note on Secretarial Compliance Report issued by Institute of Company Secretaries of India Secretarial Compliance Report for the financial year ended March 31, 2022 is attached herewith.

Thanking You

For Nupur Jain & Associates  
Company Secretaries



Nupur Jain  
Proprietor

M.No. 54645, C.P. No. 20313

UDIN: A054645D000318084

Place: Delhi



**ANNUAL SECRETARIAL COMPLIANCE REPORT  
OF  
URJA GLOBAL LIMITED  
FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

**I, NUPUR JAIN, Practicing Company Secretary have examined:**

- a. All the documents and records made available to me and explanation provided by **URJA GLOBAL LIMITED** (CIN No: L67120DL1992PLC048983) ("the listed entity"),
- b. The filings/ submissions made by the listed entity to the stock exchanges i.e. BSE/ NSE limited
- c. Website of the listed entity,
- d. All other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of:

1. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
2. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India("SEBI");

**The Specific Regulations whose provisions and the circulars/ guidelines issued thereunder have been examined include:**

1. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations,2015;
2. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018;
3. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers)



Regulations,2011 ;

4. The provisions of Securities and Exchange Board of India (Buyback of Securities) Regulations,2018; ( Not applicable as the company has not brought back/ propose to any of its security during the period under review) ;
5. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014; (Not applicable as the company has not issued shares in terms of the above regulations during the period under review) ;
6. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008; ( Not applicable as the company has not issued and listed debt securities during the period under review);
7. Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013; ( Not applicable as the company has not issued and listed Non-convertible and Redeemable Preference shares during the period under review) ;
8. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015 and the Circular and guidelines issued thereunder;
9. Securities and Exchange Board of India (Depositories and participants) Regulations, 2018;
10. The Securities and Exchange Board of India (Registrar to an issue and share transfer Agents) Regulation, 1993; (Not applicable as the company is not registered under the Regulations)
11. Other Regulations, as applicable to the Company and circulars/ guidelines issued thereunder;

**And based on above examination I hereby report that during the review period:**

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Observations/ Remarks of the Practicing Company Secretary
1.	Financial of Company as per Regulation 33 and Schedule III of SEBI (Listing Obligations & Disclosure Requirement) Regulations, 2015.	Due to the delay in releasing of financial documents seized by the GST department, the Company Could not able to approve the results for the 2 <sup>nd</sup> Quarter and half year ended 30 <sup>th</sup> September, 2021 within the prescribed time. Later on, in the Board Meeting held on 15 <sup>th</sup> January, 2022 the Company had approved the unaudited financials for the 3 <sup>rd</sup> Quarter ended 31 <sup>st</sup> December, 2021 along with the results for the 2 <sup>nd</sup> Quarter and half year ended 30 <sup>th</sup> September, 2021.



2.	Notice under Sections 11(1), 11(4), 11(4A) read with Section 19 of the Securities and Exchange Board of India Act, 1992, in the matter of M/s Urja Global Limited in violation of SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	In the matter of agreement with Nippon Shinyaku Co. Ltd. Having registered office in Japan. The Company had received the show cause notice on 22 <sup>nd</sup> March, 2021 from Securities and Exchange Board of India on which the Company had filed their clarification. Later on 13 <sup>th</sup> May, 2022, the Company had received the final order from SEBI. The Company will examine the order and take legally tenable steps.
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- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Bombay Stock Exchange (BSE) Limited vide Email dated 30 <sup>th</sup> December, 2021	Non-submission of the unaudited results for the quarter and half year ended 30 <sup>th</sup> September, 2021	BSE has imposed fine on the Company amounting INR. 2,65,500.	The Company has filed the clarification to both the exchanges regarding the waiver of the fines as the delay of the approval of accounts for the quarter and half year ended 30 <sup>th</sup> September, 2021 was not the malafide intention.
2.	National Stock Exchange of India (NSE) vide E-mail dated 30 <sup>th</sup> December, 2021	under Regulation 33 of SEBI (Listing Obligations & Disclosure Requirements), 2015	NSE has imposed fine on the Company amounting INR 2,65,500.	
3.	Securities & Exchange Board of India	Sections 11(1), 11(4), 11(4A) read with Section 19 of the SEBI Act, 1992 and the violation of SEBI (Prohibition of Fraudulent and	The SEBI vide order dated 13 <sup>th</sup> May, 2022 restrained the Company from buying, selling or otherwise dealing in securities market, either directly or indirectly in any manner and is further	The Company had filed the SEBI order to the Stock Exchange vide the announcement dated 14 <sup>th</sup> May, 2022 and the Company will



	Unfair Trade Practices relating to Securities Market) Regulations, 2003	prohibited from accessing the securities market by raising money from public, for a period of 2 years from the date of this order and also direct to obtain certificate of authenticity on all the corporate announcement from a practicing Company Secretary for next 3 Years and also directed to the Company to include in such certificate the status of previous corporate announcements made by it in the last 10 years under Regulation 30 of the LODR Regulations.	examine the order and take legally tenable steps towards the obeying of the SEBI order.
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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous report i.e. 31 <sup>st</sup> March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	The Company had filed the related party transaction related to half year ended September 2020 after the time period specified. Hence Exchange had imposed the penalty for the Non Compliance.	The Company had made the payment of the fine imposed by NSE on 30 <sup>th</sup> January, 2021 and BSE on 6 <sup>th</sup> February, 2021.	The Company had paid the fine and file the Related party transactions to both the Stock Exchanges.

FOR NUPUR JAIN & ASSOCIATES  
COMPANY SECRETARIES



NUPUR JAIN  
PROPRIETOR

M. NO. 54645, C.P. NO. 20313

UDIN: A054645 D000 318084

DATE: 30.05.2022

PLACE: DELHI