

Essar Securities Limited
Essar House,
11, K. K. Marg,
Mahalaxmi,
Mumbai- 400 034

Mumbai- 400 034 CIN No.: L65990TN2005PLC07179

T +91 22 6660 1100 F +91 22 6666 9432 www.essar.com

Date: July 20, 2017

To BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort. Mumbai – 400 001

Dear Sir.

Sub.: Statement of Investor Complaints for the quarter ended June 30, 2017.

Ref: Scrip Code 533149

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith the status of Investor Complaints for the quarter ended June 30, 2017 as received from our Registrar and Transfer Agent viz Data Software Research Company Private Limited.

Request you to kindly take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

For Essar Securities Limited

Somshekhar Malagi

Director

DIN: 07626139

DATA SOFTWARE RESEARCH COMPANY PRIVATE LIMITED_

19, Pycrofts Garden Road Off Haddows Road Nungambakkam Chennai – 600 006.

Tel:044-28213738/4487

Fax: 044 - 2821 4636

July 7, 2017

Ms. Priyanka Jain Company Secretary Essar Securities Limited ESSAR HOUSE Mumbai - 400 034.

Dear Sir/Madam,

Sub: Status of complaints for the quarter ended 30th June 2017

Ref: Essar Securities Limited

We are furnishing the status of complaints for the quarter ended 30th June 2017 below :-

Number of complaints pending at the beginning of the quarter
NIL

Number of complaints received and disposed of during the quarter

9

Number of complaints remaining unresolved at the end of the quarter

NIL

This is for your information.

Thanking you,

Yours faithfully, For DATA SOFTWARE RESEARCH CO PVT LTD

S CHANDRASEKARAN

SENIOR MANAGER-CAPITAL ISSUE DIVISION

ESSAR SECURITIES LIMITED

STATUS OF COMPLAINTS - 01.04.2017 TO 30.06.2017

NO.	NAME AND FOLIO NO.	NATURE OF COMPLAINT	STATUS
01	SUSANTA KUMAR CHOWDHURY	QUERY REGARDING	FRESH DD IN LIEU OF DIVIDEND
	018404	DIVIDEND	WARRANT WILL BE ISSUED.
02	RAMILA M CHHEDA	DEMATERIALISATION	ADVISED TO SUBMIT THE S/C
	014760	OF SHARES	ALONG WITH A FRESH DEMAT REQUEST FORM UNDER FRESH DRN
03	VANDANA RAMESH CHANDRA 015744	TRANSFER PROCEDURE	NAME DELETION/TRANSFER PROCEDURE - REPLY SENT BY EMAIL.
04	JAYSHRI ASHOK JETHVA IN300982-10514291	QUERY REGARDING DIVIDEND	ECS PAYMENT DETAILS FURNISHED REPLY LETTER SENT.
05	BINDU JAIN 017882	TRANSFER PROCEDURE	REPLY LETTER SENT.
06	RAKESH KUMAR VERMA 015380/015381	DUPLICATE SHARE CERTIFICATE	PROCEDURE FOR ISSUE OF DUP. SHARE CERTIFICATE - REPLY SENT BY EMAIL.
07	KISHANGOPAL CUPTA 008336	DUPLICATE SHARE CERTIFICATE	PROCEDURE FOR ISSUE OF DUP. SHARE CERTIFICATE - REPLY SENT BY EMAIL.
08	KISHANGOPAL GUPTA 005154	DUPLICATE SHARE CERTIFICATE	PROCEDURE FOR ISSUE OF DUP. SHARE CERTIFICATE - REPLY SENT BY EMAIL.
09	TARULATTA SHAH 019867	NON-RECEIPT OF SHARE CERTIFICATE	SHAREHOLDER HAS BEEN ADVISED THE NEXT COURSE OF ACTION REPLY SENT BY EMAIL.