

KOTHARI FERMENTATION AND BIOCHEM LTD.

CIN: L72411DL1990PLC042502

REGD. OFFICE: 16, COMMUNITY CENTRE, 1ST FLOOR, SAKET, NEW DELHI-110017 TEL.: 26517665, 26850004, 40590944 FAX: 91-011-41664840 E-mail: kfbl@airtelmail.in

Web: www.kothariyeast.in

Dated: 19th April, 2017

To,

The Manager- Listing,
The Bombay Stock Exchange Limited,
Phiroz Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001

Ref: Scrip Code No. 507474

Subject: Compliance Certificate For the Half Year ended on 31st March, 2017

Dear Sir,

We are hereby submitting the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by the Practising Company Secretary for the half year ended on 31st March, 2017.

This is for your kind information and records.

Kindly acknowledge the receipt.

Thanking you,

Yours sincerely,
FOR KOTHARI FERMENTATION & BIOCHEM LIMITED

Kavita Tanwar Company Secretary

Encl.: as above

V.K. SHARMA & CO.

Company Secretaries

422, Ocean Plaza, Sector-18, Noida - 201 301 Tel.: 4221470, Mobile: 9818816592 E-mail: vks_cosecy@yahoo.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE HALF YEAR ENDED 31st MARCH, 2017

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. **KOTHARI FERMENTATION & BIOCHEM LIMITED** maintained by **M/s ABHIPRA CAPITAL LIMITED** pertaining to transfer of equity shares of the Company for the period from **O1st October 2016 to 31st March 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the Company, we hereby certify that the Company has delivered during the half year ended 31st March 2017;

- A) Share certificates relating to the share transfer forms received during the period from 01 October 2016 to 31 March 2017, as entered in the Memorandum of Transfers, have been issued within one month of the lodgment of each form except those rejected on technical grounds.
- B) There were no cases of requests for exchange, duplicate and split of certificates during the period of review.

Place: Noida

Date: 18th April, 2017

For: V. K. Sharma & Co. Company Secretaries

Shasmann

(Vijay Sharma) C. P. No. 2019 FCS: 3440