



January 11, 2017

Department of Corporate Services  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
**Mumbai – 400 021**

The Secretary  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East)  
**Mumbai - 400051**

Scrip Code: 500271

Scrip Code: MFSL

Dear Sir,

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the statement of investor complaints for the quarter ended December 31, 2016 is as under:

Particulars	Number of Complaints
Pending at the beginning of the quarter i.e. as on October 1, 2016	Nil
Received during the quarter	06
Disposed off during the quarter	06
Remaining unresolved at the end of the quarter i.e. as on December 31, 2016	Nil

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,  
For **Max Financial Services Limited**  
(formerly Max India Limited)

**Sandeep Pathak**  
**Company Secretary & Compliance Officer**

Encl: As above

MAX FINANCIAL SERVICES LIMITED (Formerly Max India Limited)

CIN: L24223PB1988PLC008031

Max House, 1, Dr. Jha Marg, Okhla, New Delhi - 110 020 | P +91 11 26933610, 42598000 | F +91 11 26324126 | www.maxfinancialservices.com  
Regd. Office: 419, Bhai Mohan Singh Nagar, Village Railmajra, Tehsil Balachaur, Dist. Nawanshahr, Punjab - 144 533, India



## MAS SERVICES LIMITED

Regd Office:-T-34, 2<sup>ND</sup> FLOOR OKHLA INDUSTRIAL AREA  
PHASE-II NEW DELHI-110020  
PH-26387281-83 FAX- 011-26387384  
e-mail:- info@masserv.com  
CIN:- U74899DL1973PLC006950

MAS/ Investor /Grievance January 11, 2017

**Max Financial Services Limited**  
Max House 1, Dr. Jha Marg  
Okhla New Delhi- 110020

Kind Attn: Mr. Sandeep Pathak

Dear Sir,

We here by confirm & furnish the details of the Investors complaint received & resolved during the period 01/10/2016 – 31/12/2016 as follows:-

**Investor complaints/grievance.**

Complaint pending at the beginning of the quarter	Complaint received during the quarter 01/10/2016 – 31/12/2016	Complaint disposed of during the quarter 01/10/2016 – 31/12/2016	Complaint unresolved at the end of the quarter 01/10/2016 – 31/12/2016
NIL	06	06	NIL

SR No	Nature of Complaints	No of Complaints
01	Non-receipt of Dividend Warrant	NIL
02	Non-receipt of Share Certificate	NIL
03	Non-receipt of Bonus Shares	NIL
04	Demat/ Remat Shares	NIL
05	Non-receipt of Deb/ Redemption Amount	NIL
06	Non-receipt of Annual Report	05
07	Letter from Court/ SEBI/ ROC	NIL
08	Miscellaneous	01
	<b>TOTAL</b>	<b>06</b>

Further we hereby confirm that all the grievance/complaints received from the Shareholder during the period 01/10/2016 – 31/12/2016 have been attended/redressed properly within 15 days and no Investor's grievance of any shareholder is lying pending.

Thanking You,  
Yours truly,  
For Mas Services Ltd.

  
O P Joshi