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| Continuation | <br> | <br> |

#### Introductory sub-table (I)(a)

| Name of the Company: FAZE THR   | EE LIMITED                      |   |   |
|---|---------------------------------|---|---|
| Scrip Code, Name of the scrip, cla  | ss of security: 530079          | ,   |   |
| Quarter ended: 30th June 2015   |                                 |   |   |
|   |                                 |   |   |
| Partly paid-up shares:-   | No. of partly paid-up shares    | As a % of total no. of partly paid-up shares                    | <ul> <li>As a % of total no. of shares of<br/>the company</li> </ul>  |
| Held by promoter/promoter group   |                                 | OI  | 0   |
| Held by public  |                                 | 0   | 0   |
| Total - D   |                                 | 0   | 0   |
| Outstanding convertible securities  | : No. of outstanding securities | As a % of total no. of<br>outstanding convertible<br>securities | As a % of total no. of shares of<br>the company, assuming full<br>conversion of the convertible<br>securities |
| Held by promoter/promoter group   |                                 |   | 0   |
| Held by public  |                                 |   | 0   |
| Total - E   |                                 |   | 0   |
| Warrants:-  | No. of warrants                 | As a % of total no. of warrants                                 | As a % of total no. of shares of<br>the company, assuming full<br>conversion of warrants                      |
| Held by promoter/promoter group   | T                               | )   | 01  |
| Held by public  |                                 |   | 0   |
| Total - F   |                                 |   | 0   |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities | 12188797                        | ,   |   |





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|                      |   | Stateme                       | nt Showing Sha               |   | tern                                 | ***************************************   |   |                          |
|----------------------|---|-------------------------------|------------------------------|---|--------------------------------------|---|---|--------------------------|
| Categ<br>ory<br>code | Category of<br>Shareholder  | Number of<br>Shareholde<br>rs | Table Total number of shares | (I)(a)<br>Number of<br>shares held in<br>dematerialized<br>form | percenta                             | cholding as a<br>ge of total<br>of shares |   | Pledged or<br>encumbered |
|                      |   |                               |                              |   | As a percentage of(A+B) <sup>1</sup> | As a percentage of (A+B+C)                | Number<br>of shares                     | As a percentage          |
| (1)                  | (II)  | (III)                         | (IV)                         | (V)   | (VI)                                 | (VII)                                     | (VIII)                                  | (IX)                     |
| (A)                  | Shareholding of Promoter and Promoter<br>Indian                                     |                               |                              |   |                                      |   |   |                          |
| (a)                  | Individuals/ Hindu Undivided Family   | 6                             | 4127869                      | 4127869   | 33.87                                | 33.87                                     | 0                                       | (                        |
| (b)                  | Central Government/ State Government(s)   | •                             | 4127009                      | 4127809   | 33.67                                | 33.67                                     | <u>_</u>                                |                          |
|                      |   |                               |                              |   |                                      |   |   |                          |
| (c)                  | Bodies Corporate Financial Institutions/ Banks                                      | 3                             | 2439125                      | 2439125   | 20.01                                | 20.01                                     | 0                                       | (                        |
| (e)                  | Any Others(Specify)   |                               |                              |   |                                      |   |   |                          |
| (e-i)                | V   |                               |                              |   |                                      |   |   |                          |
|                      | Sub Total(A)(1)   | 9                             | 6566994                      | 6566994   | 53,88                                | 53,88                                     | 0                                       | 0                        |
| 2                    | Foreign   |                               |                              |   |                                      |   |   |                          |
| a                    | Individuals (Non-Residents Individuals/   |                               |                              |   |                                      |   |   |                          |
| ь                    | Foreign Individuals)  Bodies Corporate  |                               |                              |   |                                      |   |   |                          |
| c                    | Institutions  |                               |                              |   |                                      |   |   |                          |
| d                    | Any Others(Specify)   |                               |                              |   |                                      |   | *************************************** | ~                        |
| d-i                  | C. I. T. J. MANON   |                               |                              |   |                                      | 2.00                                      |   |                          |
|                      | Sub Total(A)(2)   | 0                             | 0                            | 0   | 0.00                                 | 0,00                                      | 0                                       | 0                        |
|                      | Total Shareholding of Promoter and  | 9                             | 6566994                      | 6566994   | 53.88                                | 53.88                                     | 0                                       | 0                        |
| (B)                  | Public shareholding   |                               |                              |   |                                      |   |   |                          |
|                      | Institutions  |                               |                              |   |                                      |   |   |                          |
| (a)                  | Mutual Funds/ UTI   |                               |                              |   |                                      |   |   |                          |
|                      | Financial Institutions Banks  |                               |                              |   |                                      |   |   |                          |
| (c)                  | Central Government/ State Government(s)   |                               |                              |   |                                      |   |   |                          |
| (d)                  | Venture Capital Funds   | ]                             | 250                          | 250   | 0.00                                 | 0.00                                      | 0                                       | 0                        |
| (e)                  | Insurance Companies   |                               |                              |   |                                      |   |   |                          |
|                      | Foreign Institutional Investors   |                               |                              |   |                                      |   |   |                          |
|                      | Foreign Venture Capital Investors  Any Other (specify)                              |                               |                              |   |                                      |   |   |                          |
| (h-i)                |   |                               |                              |   |                                      |   |   |                          |
|                      | Sub-Total (B)(1)  | 1                             | 250                          | 250   | 0.00                                 | 0.00                                      | 0                                       | 0                        |
| B 2                  | Non-institutions  |                               |                              |   |                                      |   |   |                          |
|                      | Bodies Corporate  | 94                            | 3263770                      | 1465273   | 26.78                                | 26.78                                     | 0                                       | 0                        |
|                      | Individuals   |                               |                              |   |                                      |   |   |                          |
| 1                    | Individuals -i. Individual shareholders<br>holding nominal share capital up to Rs 1 |                               |                              |   |                                      |   |   |                          |
|                      | lakh  | 3815                          | 1339701                      | 1159346   | 10.99                                | 10.99                                     | 0                                       | 0                        |
|                      | ii. Individual shareholders holding   |                               |                              |   |                                      |   |   |                          |
|                      | nominal share capital in excess of Rs. 1 lakh.                                      | 22                            | 062217                       | 054067  | 7.90                                 | 7.90                                      | 0                                       | ^                        |
|                      | Any Other   | 22                            | 962317                       | 856067  | 1.90                                 | 7.90                                      | - 0                                     | 0                        |
|                      | Clearing Member   | 1                             | 350                          | 350   | 0.00                                 | 0.00                                      |   |                          |
| (c-ii)               | NRI   | 21                            | 55415                        | 55415   | 0.45                                 | 0.45                                      | 0                                       | 0                        |
| 1                    | Sub-Total (B)(2)  | 3953                          | 5621553                      | 3536451   | 46,12                                | 46.12                                     | 0                                       | 0                        |
|                      | Total Public Shareholding (B)=  |                               |                              |   |                                      |   |   |                          |
| (-)                  | (B)(1)+(B)(2)   | 3954                          | 5621803                      | 3536701   | 46.12                                | 46.12                                     | 0                                       | 0                        |
| ·                    | TOTAL (A)+(B)   | 3963                          | 12188797                     | 10103695  | 100,00                               | 100.00                                    | 0                                       | 0                        |
| ŀ                    | Shares held by Custodians and against which Depository Receipts have been           |                               |                              |   |                                      |   |   |                          |
|                      | issued Promoter and Promoter Group  | 0                             | 0                            | 0   | 0                                    | 0   | 0                                       | 0                        |
|                      | Public  | 0                             | 0                            | 0   | 0                                    | 0   | 0                                       | 0                        |
|                      | Sub-Total (C)   | 0                             | 0                            | 0   | 0                                    | 0   | 0                                       | 0                        |
|                      | I   |                               | 12188797                     | 10103695  | 100                                  | 100                                       |   | 0                        |



|                  |           | FAZZE   |
|------------------|-----------|---------|
| Sr.No            | Notes     | LIMITED |
| <sup>1</sup> Con | tinuation |         |
|                  |           |         |
|                  |           |         |
| 2                |           |         |
|                  |           |         |
| 3                |           |         |
|                  |           |         |





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| Continuation | <br> | <br> | <br> | <br> |  |  |

### (I)(b) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"</u>

| Sr.<br>No.   | Name of the<br>shareholder       | Details of               |   |     |                 | Details of  | f warrants | conv                  | ails of<br>ertible<br>urities           | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |       |
|--|----------------------------------|--------------------------|---|-----|-----------------|---|------------|-----------------------|---|--|-------|
| WANTAGATA COLOR CO |                                  | Number of<br>shares held | As a % of<br>grand total<br>(A) +(B) +( C ) | No. | As a percentage | As a % of<br>grand total<br>(A)+(B)+(<br>C) of sub-<br>clause<br>(I)(a) | of         | total<br>number<br>of | Number of converti ble securitie s held | As a % total number of convertibl e securities of the same class   |       |
| (1)  | (11)                             | (111)                    | (IV)  | (V) | (VI)            | (VII)   | (VIII)     | (IX)                  | (X)                                     | (XI)   | (XII) |
| 1  | Ajaya Anand                      | 2964209                  | 24.32                                       | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 24.32 |
| 2  | Ajay Anand (HUF)                 | 662500                   | 5.44  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       |  | 5.44  |
| 3  | Rashmi Anand                     | 343990                   | 2.82  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 2.82  |
| 4  | Vishnu Anand                     | 18875                    | 0.15  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 0.15  |
| 5  | Rohina Anand                     | 20875                    | 0.17  | 0   | 0.00            | 0.00  |            | 0                     | 0                                       | 0  | 0,17  |
| 6  | Sanjay Anand                     | 117420                   | 0.96  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 0.96  |
| 7  | Instyle Investments<br>Pvt. Ltd. | 2394625                  | 19.65                                       | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 19,65 |
| 8  | Anadry Investments<br>Pvt. Ltd.  | 9500                     | 0.08  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 0.08  |
| 9  | Mamata Finvest Pvt.<br>Ltd.      | 35000                    | 0.29  | 0   | 0.00            | 0.00  | 0          | 0                     | 0                                       | 0  | 0.29  |
| TOT  | AL                               | 6566994                  | 53.88                                       | 0   | 0,00            | 0.00  | 0          | 0                     | 0                                       | 0  | 53,88 |

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011





# (I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder              | Number of<br>shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Number<br>of<br>warrant<br>s | As a % total number of warrants of the same class | conve<br>secu<br>Number<br>of | total<br>number<br>of<br>converti<br>ble<br>securitie<br>s of<br>the same | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|--------------------------------------|--------------------------|--|------------------------------|---|-------------------------------|---|--|
| 1       | Hemambar Investments                 | 403810                   | 3.31   | 0                            | 0   | 0                             | class 0   |  |
|         | Pvt Ltd                              |                          |  |                              |   |                               |   | 3.31   |
| 2       | Joint Producers Pvt. Ltd             | 347162                   | 2.85   | 0                            | 0   | 0                             | 0   | 2.85   |
| 3       | Kishore Kumar<br>Mansukhlal Shah     | 129845                   | 1.07   | 0                            | 0   |                               |   | 1.07   |
| 4       | Makarand Exim Pvt. Ltd<br>(Physical) | 132200                   | 1.08   | 0                            | 0   | 0                             | 0   | 1.08   |
| 5       | Makarand Exim Pvt Ltd                | 412215                   | 3.38   | 0                            | 0   | 0                             | 0   | 3.38   |
| 6       | Whitley Willows Overseas<br>Ltd      | 1638297                  | 13.44  | 0                            | 0   | 0                             | 0   | 13.44  |
| 7       | Mahendra Girdharilal                 | 159455                   | 1.31   | 0                            | 0 0   |                               | 0   | 1.31   |
| TOTAL   | <u> </u>                             | 3222984                  | 26.44  | 0                            | 0   | 0                             | 0   | 26.44  |





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### (I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the               | Number    | Shares as a           | Dataile  | of warrants  | Details of  | convertible               | Total shares     |
|---------|------------------------------|-----------|-----------------------|----------|--------------|-------------|---------------------------|------------------|
| 511110. | shareholder(s) and           | of shares | percentage of         | Details  |              |             | urities                   | (including       |
|         | the Persons Acting in        |           | total number of       |          |              |             |                           | underlying       |
|         | Concert (PAC) with           |           | shares                |          |              |             |                           | shares           |
|         | them                         |           | (i.e., Grand Total    |          |              |             |                           | assuming full    |
|         |                              |           | (A)+(B)+(C) indicated |          |              |             | ,                         | conversion of    |
|         |                              |           | in                    | Number   | As a % total | Number of   | % w.r.t                   | warrants and     |
|         |                              |           | Statement at para     | of       |              | convertible | 1                         | convertible      |
|         |                              |           | (I)(a)                | warrants | warrants of  | I .         | number of                 | securities) as a |
|         |                              |           | above}                |          | the          | held        | convertible               | % of diluted     |
|         |                              |           |                       |          | same class   |             | securities<br>of the same | share capital    |
|         |                              |           |                       |          |              |             | class                     |                  |
| 1       | Whitley Willows Overseas Ltd | 1638297   | 13.44                 | 0        | 0            | 0           | 0                         | 13.44            |
|         | -                            |           |                       |          |              |             |                           |                  |
| TOTAL   | ,                            | 1638297   | 13.44                 | 0        | 0            | 0           | 0                         | 13.44            |





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|---|-----|-----|-----|-----|--|--|--|--|--|--|
|   |     |     |     |     |  |  |  |  |  |  |

#### (I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder |     | Locked-in shares as a percentage of total<br>number of shares {i.e., Grand Total<br>(A)+(B)+(C) indicated in Statement at<br>para (I)(a) above} |
|---------|-------------------------|-----|---|
| 1       | N. A.                   | Nil | Nil   |
| 2       | N. A.                   | Nil | Nil   |
| TOTAL   |                         | 0   | 0.00  |





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|--------------|------|------|------|------|--|---|---|
| Continuation | <br> | <br> | <br> | <br> |  | ٠ | ٠ |

#### (II)(a) Statement showing details of Depository Receipts (DRs)

|       | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding<br>DRs | 1   | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|-------|---|------------------------------|-----|---|
| 1     |   | Nil                          | Nil | 0.00  |
| 2     |   | Nil                          | Nil | 0.00  |
| TOTAL |   | 0                            | 0   | 0.00  |

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## (II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR<br>(ADRs, GDRs, SDRs,<br>etc.) | underlying outstanding<br>DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-----------------------|---|-------------------------------|---|
| 1       |                       | Nil   | Nil                           | 0.00  |
| 2       |                       | Nil   | Nil                           | 0,00  |
| TOTAL   |                       |   | 0                             | 0.00  |

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