



# Bal Pharma Limited

To,  
The Manager-Listing  
National Stock Exchange of India Ltd  
Mumbai.

18.10.2017

The Manager-Listing  
BSE LIMITED  
Mumbai.

Dear Sir,

Sub : Certificate as per Regulation 40(9) of SEBI(LODR) Regulations,2015.

With reference to the above, we are submitting to the exchange certificate from the practicing company secretary certifying compliance with the above mentioned regulation of SEBI(LODR) Regulations, for the half year ended 30.09.2017.

Please take the same on record.

Thanking You.

For Bal Pharma Ltd

  
Authorised Signatory



CORPORATE OFFICE : 5th Floor, Lakshmi Narayan Complex, 10/1, Palace Road, Bengaluru - 560 052. India

Ph. : 91 - 80 - 41379500 Fax : 91 - 80 - 22354057 / 48

Email : info@balpharma.com Website : www.balpharma.com

CIN # L85110KA1987PLC008368

REGD. OFFICE & FACTORY : 21 & 22, Bommasandra Industrial Area, Bengaluru - 560 099. Ph: 41570813 / 19 Fax: 41570820

VIJAYAKRISHNA KT  
Company Secretary

#496/4, II Floor  
10<sup>th</sup> Cross  
Near Bashyam Circle  
Sadashivanagar  
Bangalore- 560 080  
[vijaykt@vjkt.in](mailto:vijaykt@vjkt.in)  
Ph: 080 23610847/41231106

### CERTIFICATE

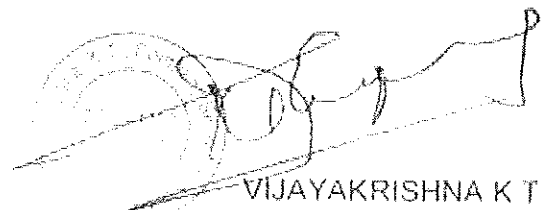
#### IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

At the request of Bal Pharma Limited, I have examined the relevant Share transferred deeds, records, registers relating to transfers as maintained and made available at TSR Darashaw Limited, Mumbai, for the period from 01.04.2017 to 30.09.2017 for the purpose of rendering certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/records provided to me and to the best of my knowledge, I certify that:

The Company has complied with the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by effecting transfers of Shares and by issuing certificates within a period of thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except in cases either where the documents were defective and returned to the lodgers of shares for transfers of where "Sellers' Confirmation Notices" have been issued or where cases are pending before Courts of Law/Quasi Judicial Authority.

17<sup>th</sup> October, 2017  
Bangalore



VIJAYAKRISHNA K T