



## HERCULES HOISTS LIMITED

Ref: KSM/CM6

Date:-17-10-2017

Listing Department  
The Bombay Stock Exchange Limited,  
Phiroze jeejeebhoy Towers  
Dalal Street, Mumbai- 400023  
[Scrip Code- 505720]  
Fax no. 022- 2272 -3121 /2037/2041 /2061/  
2039/ 3719/ 1278 /1557/ 3354 / 3577

Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai-400051  
[Scrip Code HERCULES EQ]  
Fax No. 022-26598237/238/347/348

Sub: Submission of certificate of Transfer or transmission or transposition of securities under regulation number 40(9)

Dear Sir/Madam,

As per rule no. 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the certificate dated 13-04-2017 for the half year ended 31-03-2017 issued by CS Malati Kumar [ CP No. 10980], stating that during the period under review, no requests were received by the Company for transfer of shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except one request for deletion name of deceased holder of securities, where securities are held in joint name

Kindly acknowledge the same

Thanking you,

For Hercules Hoists Limited

  
  
Kiran Mukadam  
Company Secretary

### Corporate Office & Works

43/2B, Savroli Kharpada Road T: +91 2192 662502/503/555  
Dhamani, Khalapur 410202 F: +91 2192 662504  
Maharashtra E: indef@indef.com  
INDIA U: www.indef.com

### Registered Office

Bajaj Bhawan, 2<sup>nd</sup> Floor  
226, Jamnalal Bajaj Marg  
Mumbai 400 021, INDIA  
CIN: L45400MH1962PLC012385

**bajaj group**

**CERTIFICATE UNDER REGULATION 40 (9) OF**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2017**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hercules Hoists Limited** bearing **CIN:L45400MH1962PLC012385** (ISIN: INE688E01024) and having its registered office at Bajaj Bhawan, 2nd floor, 226, Jamnalal Bajaj Marg, Nariman Point, Mumbai 400 021 (hereinafter referred to as the Company) and maintained by **Universal Capital Securities Private Limited** the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company/ RTA pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> October, 2016** to **31<sup>st</sup> March, 2017** (review period) for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**. Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

During the review period, the Company has delivered the Share Certificates as under: -

Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transfer of Shares	Within 15 days from the date of receipt of request for transfer by RTA.	Nil	Not Applicable	-
Consolidation of Shares	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-



Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Sub-division of shares	Within 15 days from the date of receipt of request by RTA	Nil	Not Applicable	-
Renewal	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Exchange or endorsement of calls/allotment monies	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Deletion of name of deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities	Within 15 days from the date of receipt of request by RTA	2	Yes	-



**S. N. ANANTHASUBRAMANIAN & CO.**  
**COMPANY SECRETARIES**  
FIRM REGISTRATION NO. P1991MH040400

10/26, BRINDABAN, THANE – 400601 | Tel 25345648/25432704 | Email [sna@snaco.net](mailto:sna@snaco.net) | Website [www.snaco.net](http://www.snaco.net)

Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities	Within 21 days after receipt of Specified documents by RTA	Nil	Not Applicable	-

**For S. N. ANANTHASUBRAMANIAN & CO.**  
**Company Secretaries**

*Malati A. Kumar*

**MALATI KUMAR**  
**Partner**  
**CP. NO. 10980**



**Date: 13<sup>th</sup> April, 2017**  
**Place: Thane**