



HERCULES HOISTS LIMITED

Ref: KSM/CM6

Date:-12-10-2017

Listing Department
The Bombay Stock Exchange Limited,
Phiroze jeejeebhoy Towers
Dalal Street, Mumbai- 400023
[Scrip Code- 505720]

Listing Department,
National Stock Exchange of India Limited
Exchange Plaza,
Bandra-Kurla Complex,
Bandra (East), Mumbai-400051
[Scrip Code HERCULES EQ]

Sub: Submission of certificate of Transfer or transmission or transposition of securities under regulation 40(9)

Dear Sir/Madam,

As per rule no. 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the certificate dated 09/10/2017 issued by CS Malati Kumar [CP No. 10980], stating that all certificates have been issued within thirty days, of the date of lodge-ment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

Kindly acknowledge the same

Thanking you,

For Hercules Hoists Limited



Kiran Mukadam
Company Secretary

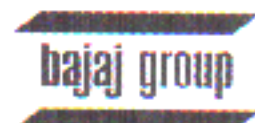
Corporate Office

501 to 504, Shelton Cubix
Sector 15, Plot No. 87
CBD Belapur, New Mumbai 400614
Maharashtra INDIA

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Works: Khalapur & Pune

Registered Office

Bajaj Bhawan, 2nd Floor
226, Jamnalal Bajaj Marg
Mumbai 400 021, INDIA
CIN: L45400MH1962PLC012385



CERTIFICATE UNDER REGULATION 40 (9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2017

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hercules Hoists Limited** bearing **CIN:L45400MH1962PLC012385** (ISIN: INE688E01024) and having its registered office at Bajaj Bhawan, 2nd floor, 226, Jamnalal Bajaj Marg, Nariman Point, Mumbai 400 021 (hereinafter referred to as the Company) and maintained by **Universal Capital Securities Private Limited** the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company/ RTA pertaining to certificate/s of equity shares, for the period from **1st April, 2017** to **30th September, 2017** (review period) for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**. Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

During the review period, the Company has delivered the Share Certificates as under: -

Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transfer of Shares	Within 15 days from the date of receipt of request for transfer by RTA.	Nil	Not Applicable	-
Consolidation of Shares	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-



Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Sub-division of shares	Within 15 days from the date of receipt of request by RTA	Nil	Not Applicable	-
Renewal	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Exchange or endorsement of calls/allotment monies	Within 15 days from the date of receipt of request by RTA.	Nil	Not Applicable	-
Deletion of name of deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities	Within 15 days from the date of receipt of request by RTA	Nil	Not Applicable	-



Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities	Within 21 days after receipt of Specified documents by RTA	4	Yes	-

For S. N. ANANTHASUBRAMANIAN & CO.
Company Secretaries

Malati A. Kumar
MALATI KUMAR
Partner
ACS 15508
CP. NO. 10980



Date : 9th October, 2017
Place: Thane