

(I) (a) Statement showing Shareholding Pattern

Name of the Company: Wockhardt Limited
Scrip Code: 532300, NSE Symbol: WOCKPHARMA; class of security: Equity
Quarter ended: 30th September, 2015

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)				
(A)	Promoter and Promoter Group							
1	Indian							
(a)	Individuals/Hindu Undivided Family	4	887625	887625	0.80	0.80	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	81097757	81097757	73.49	73.40	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Promoters - Trust	0	0	0	0.00	0.00	0	0.00
	Sub Total (A)(1)	8	81985382	81985382	74.30	74.20	0	0.00
2	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total (A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	8	81985382	81985382	74.30	74.20	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	23	1792528	1791628	1.62	1.62	0	0.00
(b)	Financial Institutions / Banks	14	1497421	1496921	1.36	1.36	0	0.00
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	1	1400	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	131	7869394	7866994	7.13	7.12	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00
(i)	Foreign Portfolio Investor (Corporate)	41	1690448	1690448	1.53	1.53	0	0.00
	Sub Total (B) (1)	210	12851191	12845991	11.65	11.63	0	0.00
2	Non-institutions							
(a)	Bodies Corporate	1032	1732597	1728754	1.57	1.57	0	0.00
(b) (i)	Individuals - shareholders holding nominal share capital up to Rs 1 Lakh	66458	9758472	8792391	8.84	8.83	0	0.00
(b) (ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	22	2156673	2156673	1.95	1.95	0	0.00
(c)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00
(d)	Any Other							
i	Non Resident Indians (Repat)	1186	767676	764976	0.70	0.69	0	0.00
ii	Non Resident Indians (Non Repat)	365	185062	182662	0.17	0.17	0	0.00
iii	Foreign Nationals	1	100	100	0.00	0.00	0	0.00
iv	Clearing Member	720	478956	478956	0.43	0.43	0	0.00
v	Directors / Relatives	4	57100	57100	0.05	0.05	0	0.00
vi	Trusts	3	209	209	0.00	0.00	0	0.00
vii	Hindu Undivided Family	1472	376625	376625	0.34	0.34	0	0.00
	Sub Total (B)(2)	71263	15513470	14538446	14.06	14.04	0	0.00
	Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)	71473	28364661	27384437	25.70	25.67	0	0.00
	Total (A)+(B)	71481	110350043	109369819	100.00	99.87	0	0.00
(C)	Shares held by custodians and against which Depository Receipts have been issued							
i	Promoter and Promoter group	0	0	0	0.00	0.00	0	0.00
ii	Public	2	144360	128760	0.13	0.13	0	0.00
	Sub Total (C)	2	144360	128760	0.13	0.13	0	0.00
	GRAND TOTAL (A)+(B)+(C)	71483	110494403	109498579	100.00	100.00	0	0.00

[Note : 1. Total Foreign Shareholding which comprises of FIIs, NRIs, NRN, Foreign Nationals, Foreign Portfolio Investors (Corporate), & GDRs is 10657040 equity shares, representing 9.64 % of the total equity share capital of the Company

2. The shares appearing under "Promoter-Bodies Corporates" are held by the said companies in capacity as a trustee of trusts being partner in partnership firms

FOR WOCKHARDT LIMITED

NARENDRA SINGH
COMPANY SECRETARY



(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group".

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (V)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)* 100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Habil F Khorakiwala AABPK4415C	442785	0.40	0	0.00	0.00	0	0.00	0	0.00	0.40
2	Murtaza Habil Khorakiwala AAZPK7040H	226200	0.20	0	0.00	0.00	0	0.00	0	0.00	0.20
3	Huzaila Habil Khorakiwala ABLPK6715C	216000	0.20	0	0.00	0.00	0	0.00	0	0.00	0.20
4	Nafisa Habil Khorakiwala AAGPK6495H	2640	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
5	Themiso Trustee Company Private Limited* AAHFH9240E	65897757	59.64	0	0.00	0.00	0	0.00	0	0.00	59.64
6	Passive Trustee Company Private Limited* AAHFH9707N	5200000	4.71	0	0.00	0.00	0	0.00	0	0.00	4.71
7	Ananke Trustee Company Private Limited* AAZFA7680B	5000000	4.53	0	0.00	0.00	0	0.00	0	0.00	4.53
8	Callithee Trustee Company Private Limited* AAFL2617M	5000000	4.53	0	0.00	0.00	0	0.00	0	0.00	4.53
	TOTAL	81985382	74.20	0	0.00	0.00	0	0.00	0	0.00	74.20

Note:

* The shares are held by the said companies in capacity as a trustee of trusts being partner in partnership firms.



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA AAACL0582H	1326946	1.20	0	0.00	0	0.00	1326946
2	SHIVANAND SHANKAR MANKEKAR AAUPM6403B	1105051	1.00	0	0.00	0	0.00	1105051
	TOTAL	2431997	2.20	0	0.00	0	0.00	2431997

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	NIL	0	0.00	0	0.00	0	0.00	0
	TOTAL	0	0.00	0	0.00	0	0.00	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of Locked in Shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Promoter Group/Public
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	Global Depository Receipts (GDRs)	144360	144360	0.13
	TOTAL	144360	144360	0.13

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by Promoter/ Promoter Group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	N/A			
	TOTAL	NIL	NIL	NIL



I (a) Statement showing Shareholding Pattern			
Name of the Company : WOCKHARDT LIMITED			
Scrip Code: 532300 /NSE SYMBOL: WOCKPHARMA		Class of Security : EQUITY	
Quarter ended : 30.09.2015			
Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter / promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by promoter / promoter group	NIL	NIL	NIL
Held by public (Breakup given below)	121,454,927	100.00	Not ascertainable
Optionally Convertible Cumulative Redeemable Preference Shares (OCCRPS)	121,454,927	100.00	Not ascertainable
Total	121,454,927	100.00	Not ascertainable
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter / promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	Not ascertainable		

Note :

Total paid up share capital of the company assuming full conversion of OCCRPS is not ascertainable due to following reasons:

1. The Optionally convertible Cumulative Redeemable Preference Shares (OCCRPS) were allotted pursuant to CDR package. As the ratio of conversion of convertible Preference shares into equity shares is dependent on the price to be calculated on the 'Relevant Date', which is future date, it is not possible to ascertain the number of equity shares to be allotted on conversion and hence the paid up equity capital of the company after the conversion of the proposed preference shares into equity shares is not ascertainable

