

Date: April 18, 2017

To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001

Dear Sir,

Sub: Compliances for the half year ended on March 31, 2017.

Dear Sir,

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we enclose herewith a certificate issued by CS B. Chandra, Practicing Company Secretary, for the half year ended as on March 31, 2017.

Request you to kindly take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For **Essar Securities Limited**


Somshekhar Malagi
Director
DIN: 07626139



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED ON MARCH 31,2017

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Essar Securities Limited** maintained by **M/s. Data Software Research Company Private Limited**, pertaining to transfer of Equity shares of the company for the period from 1st October 2016 to 31st March 2017 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and the Listing Agreement entered into by, **M/s. Essar Securities Limited** with BSE / NSE and based on the information provided by the Company, I hereby certify that the Company has delivered during Half year ended on 31st March 2017:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1st October 2016 to 31st March 2017 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable.- Nil

Place : Chennai

Date : 12/04/2017

Signature

Name of Practising Company Secretary: B CHANDRA
C.P. Number

:

: 7859

