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Ambalal Sarabhai Enterprises Limited

Registered Office :
Shantisadan,
Mirzapur Road,
Ahmedabad-380001.

Telephone: 079-25507671 / 7073
Fax : 079-25507483
E-mail : ase@sarabhai.co.in

30th October, 2017

To:

Manager (Corporate Relations Deptt)

BSE Ltd

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai - 400001

Dear Sir

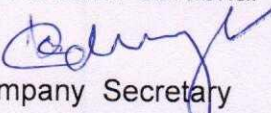
Sub: Certificate under Reg 40(9) of SEBI(LODR) –Quarter ended 30/09/2017

We herewith submit Certificate under Reg 40(9) of SEBI(LODR) for the quarter ended 30th September, 2017, which is given by M/s. Ajay Parikh & Associates, Company Secretaries, Ahmedabad.

Pls take the same on your record.

Yours faithfully

For Ambalal Sarabhai Enterprises Ltd.


Company Secretary

Encl: a.a.

AJAY M. PARIKH
M.COM.,L.L.B.FCS

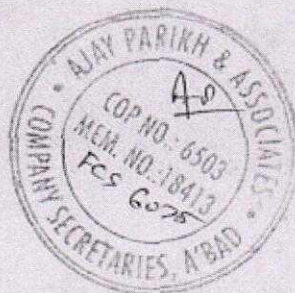
AJAY PARIKH & ASSOCIATES
COMPANY SECRETARIES
C-4, Yashpal Apartment, Ground Floor,
Near Vijay Restaurant,
Navrangpura, Ahmedabad -380 009.

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30.09.2017.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s Ambalal Sarabhai Enterprise Limited maintained by M/s MCS Share Transfer Agent Ltd, Registrar & Transfer Agents pertaining to transfer of equity shares of the company for the period from 01.04.2017 to 30.09.2017 for the purpose of issuing a Certificate as per Regulation 40(9) of the 'SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 and Sign in uniform Listing Agreement with Company and BSE for above said LODR-2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30.09.2017:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 01.04.2017 to 30.09.2017 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting two case were delay more than fifteen days.
- B) *Any other information, if applicable*
The Company has not received any request for issue of Duplicate Share Certificates.

Date : 28.10.2017
Place : Ahmedabad



For Ajay Parikh & Associates,
COMPANY SECRETARIES

Ajay Parikh

Proprietor
Practicing Company Secretary
FCS No. 6075; C.P. No. 6503