



Aptech Limited
Regd. office: Aptech House
A-65, MIDC, Marol, Andheri (E),
Mumbai - 400 093.
T: 91 22 2827 2300
F: 91 22 2827 2399
www.aptech-worldwide.com

March 15, 2016

The Secretary/Listing Department/Corporate Relations
Stock Exchange, Mumbai
P. J. Towers, 25th Floor,
Dalal Street,
Mumbai - 400 001.

The Manager – Listing Department / Corporate Relations
The National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot no. C/1, G Block,
Bandra-Kurla Complex
Bandra (E),
Mumbai - 400 051.

Company Scrip Code: 532475

ISIN No. : INE266F01018

Dear Sirs,

Sub: **Compliance of Regulation 30(5) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This is to inform you that the Company will be soon issuing notice to M/s. Sharepro Services (India) Pvt. Ltd, Company's Registrar & Transfer Agent, (hereinafter referred to as "Sharepro") for termination of the Agreement entered with them.

The Company has discovered certain irregularities at Sharepro w.r.t. the share related operations.


Our prima facie scrutiny of some of the records of Aptech Limited maintained at Shrepro reveals transactions which are inappropriate, unauthorized and therefore seeming to be illegal. The Company has therefore filed a complaint with the Economic Offence Wing, Office of the Police Commissioner against Sharepro Services and its concerned employees, for further investigation in the matter.

The Company is in the process of evaluating other Registrar & Transfer Agents for a smooth transition and will make necessary disclosures on any development in this regard.

This is without prejudice to the Company's rights to initiate appropriate civil and criminal proceedings against Sharepro and its concerned employees.

This is for your information and record.

Thanking you
Yours faithfully
For Aptech Limited


Ketan H. Shah
Company Secretary





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15th March 2016

To,
Sr. Inspector of Police,
Economic Offence Wing – Unit 5,
Azad Maidan Police Station Compound,
Mumbai 400001.

MPK
2/15/03/16
16/2800
[Faint purple stamp]

Sir,

Re: Complaint against (i) Mr. G. R. Rao, residing at 24B/32, Takshila, Mahakali Caves Road, Andheri (E), Mumbai 400093, Director and Owner of M/s. Sharepro Services (India) Private Limited, having its office at 13AB, Samhita Warehousing Complex, 2nd Floor, Sakinaka Telephone Exchange Lane, Off Andheri Kurla Road, Sakinaka, Andheri (E), Mumbai 400072; and one of its employee (ii) Ms. Indira Karkera, Vice President in Sharepro Services (India) Private Limited under Sections 471, 420, 405, 34 of Indian Penal Code

I, Ketan Shah, Company Secretary and the Authorized Representative of Aptech Limited, having address as mentioned above state as under:



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1. I am duly authorized by the Company to file the present Complaint and I am conversant with the facts of the case.
2. I say that the Company is listed on Bombay Stock Exchange and National Stock Exchange and it has about 89000 shareholders comprising 28500 shareholders who hold shares in the company in physical mode and 60500 shareholders hold the shares in dematerialized mode.
3. I say that M/s. Sharepro Services (India) Private Limited are our Registrar & Transfer Agents. We got to understand in last week that certain irregular share transfer transactions seem to have been done by the abovenamed persons of Sharepro which are unauthorised and illegal.
4. We enclose herewith the screen-shots of the above irregularities, by way of a representative sample which would enable you to initiate investigative proceedings.
5. We also enclose herewith the Annexure showing details of shares that are illegally transferred by the above Accused.
6. Needless to mention, any further evidences which may come to our notice in the scrutiny of the documents in Sharepro office (which we have already initiated) would be immediately made available to you.



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7. We earnestly request your prompt action in the matter.

Thanking you in anticipation.

Yours truly,

For Aptech Limited

A handwritten signature in blue ink, appearing to read "Ketan H. Shah", is written over a faint, light-colored paw print watermark.

Ketan H. Shah

Group Company Secretary

Enclosure:

1. Copies of screenshots as above
2. Annexure



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Folio: 02749980

Name: The Oriental Insurance Co. Ltd

Dividend Detail

Warrant Information

First 100 All Sort Ascending First Prior Close Row 1 of 1

Folio: 02749980 Name: THE ORIENTAL INSURANCE CO LTD

Micr: 22 Dividend No: 1 Warrant No: 39714 TDS NO:

Dividend Rate: 10 % Description: FINAL DIVIDEND 2003 - 2004 Financial Year: 2002

		Amount	Shares
Mand Ecr Code:	Pullout <input checked="" type="checkbox"/>	Gross Amount: 4152.00	Total Shares: 4152
Tax Rate: 0	Tax Nil <input checked="" type="checkbox"/>	Tax Amount: 0.00	Category1 Shares: 4152
Env No: 22	Status Code: 9	Net Amount: 4152.00	Category2 Shares: 0
Brk Num:	Bank Code: 1	Exem Code:	Category3 Shares: 0
Issue Date: 25/07/2004	Branch Code: 223	Amount Code: 2	Category4 Shares: 0
Tr & Warrant TF	Post Pincode: 110002		Category5 Shares: 0
Pan No:	Ward No:		

Ecr / Mandate Details		Warrant Address Details	
Bank:	Branch:	Address 1:	ORIENTAL HOUSE
Account No:	RBI Code:	Address 2:	A-25/27 ASAF ALI ROAD
Address 1:	Address 2:	Address 3:	NEW DELHI
Address 3:	Address 4:	Address 4:	
Pin Code:	Account Type:	Pin Code:	110002

Paid Book No:	Paid Batch No:	Paid Serial No:	Paid Date: 01/08/2011
Paid:	Revalid Dup Flag:	New Micr No:	

Page 6 Sec 1 6/6 At 4.5" Ln 3 Col 1



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Demat Detail

Depository Related Details

First 100 All Sort Ascending First Prior Next Last Close

Depository Type: NSDL DRN No: 1539762 DP ID: IN300888 Client ID: 14605604 No. of shares: 4152
 Demat/Receipt: DEMAT Instrument Rec. Date: 23/01/2006 DRN Request Date: 25/01/2006 Iin: INE26F01018
 Client Name: PRADEEP M RATHOD First Joint Name: Second Joint Name:

No. of cests: 1 Starting Serial No: 1 Ending Serial No: 1 User: ABH

Receipt No: 14925 Control Number: 312597 Shares Accepted: 4152 Cests Accepted: 1 Is Processed:
 Demat No: 0070897500312597 Inward Date: 25/01/2006 Shares Rejected: 0 Cests Rejected: 0 Is Rejected:

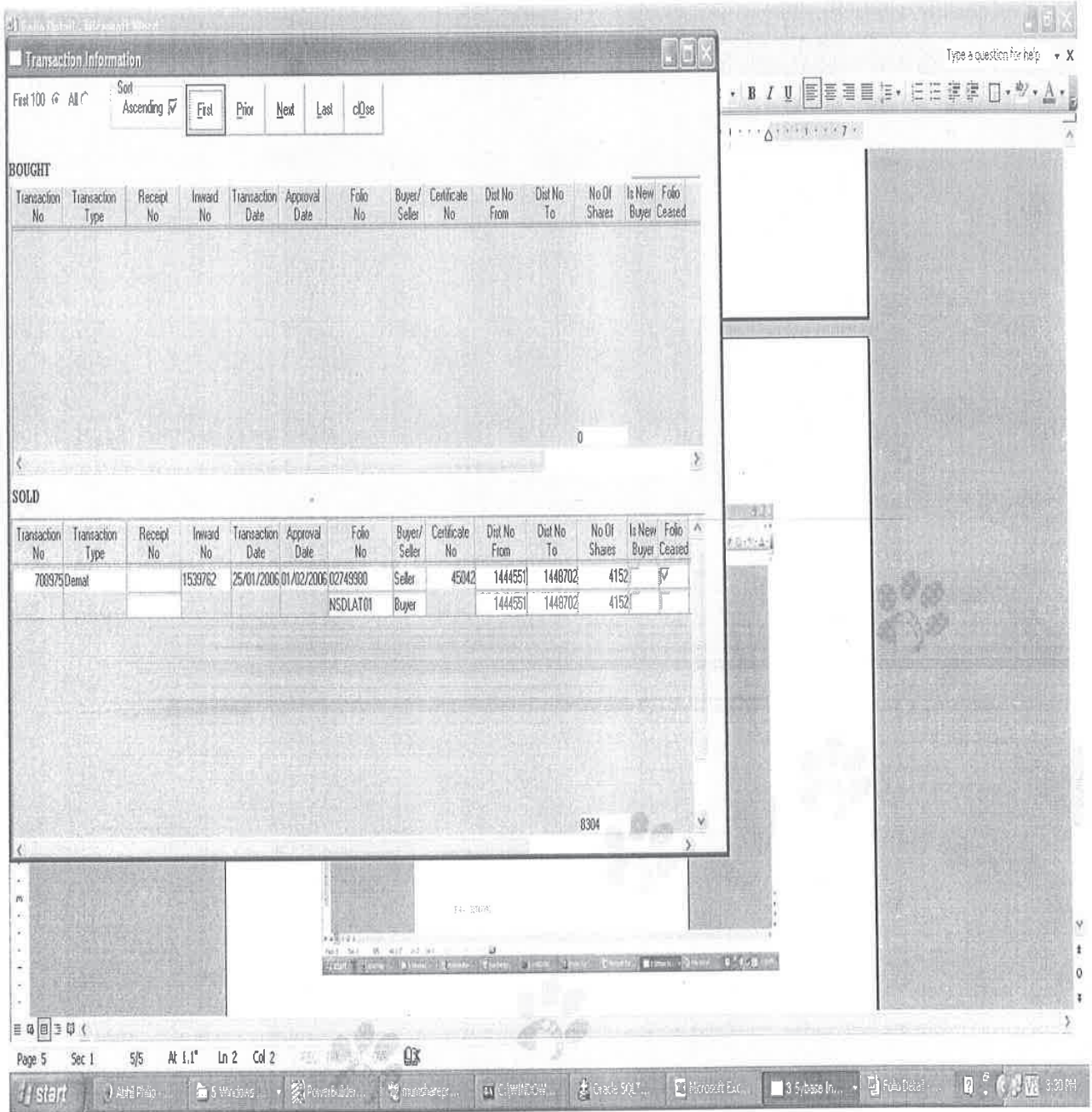
NSDL Rejection Reasons:

Confirmation No.: 4075841 Confirmation Date: 01/02/2006
 Capture Date: 01/02/2006 Confirmation Status: 51

Folio No	Short Name	Certificate No	Distinctive From	Distinctive To	Shares	Withheld Flag	Ok To Proceed	Not In Master	Seller Authorised	Is Accepted
02749380	PRADE	45042	1444551	1448702	4152	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Page 6 Sec 1 6/6 At 1' Ln 1 Col 1 REC TRG EST CWP

Transaction Detail



Transaction Information

First 100 All Sort Ascending [First] [Prior] [Next] [Last] [Close]

BOUGHT

Transaction No	Transaction Type	Receipt No	Inward No	Transaction Date	Approval Date	Folio No	Buyer/Seller	Certificate No	Dist No From	Dist No To	No Of Shares	Is New Buyer	Folio Ceased
0													

SOLD

Transaction No	Transaction Type	Receipt No	Inward No	Transaction Date	Approval Date	Folio No	Buyer/Seller	Certificate No	Dist No From	Dist No To	No Of Shares	Is New Buyer	Folio Ceased
708975	Demat		1539762	25/01/2006	01/02/2006	02749360	Seller	45042	1444551	1448702	4152	<input checked="" type="checkbox"/>	
						NSDLAT01	Buyer		1444551	1448702	4152		

8304

Page 5 Sec 1 5/5 At 1.1 Ln 2 Col 2

start | Aptech | 5 Windows | PowerBuilder | mandanap | C:\WINDOWS | Create SQL | Microsoft Exc | 3 5/base In... | Fulu Detail | 3:00 PM

Folio Information for Client: APTECH LIMITED

Current History First Prior Next Last Enter Execute Clear Print Close No. of records: 1

Select Subject Type:

Certificate Info	Transfer Info	Transfer Inward	Transfer Obj.	Depository	Dividend Info	Despatch	Stop Details	Coa. Info	Merge/Split	POA Info	Allotment Details	Change Security	Query Status
------------------	---------------	-----------------	---------------	------------	---------------	----------	--------------	-----------	-------------	----------	-------------------	-----------------	--------------

Folio Number	Short Name	Title Of First Holder	Last Agm Holding	% Bought	Shares Sold	No Of Securities Held
02749980	THE O		0	0	0	0

1st Holder's Name & Address
PRADEEP M RATHOD
 F/H Name
5A KUMBHAR TUKDA
MAHAVIR BLDG
2ND FLOOR BHULESHWAR
MUMBAI
 City State Country
 Pin: 400004

Stop Transfer: _____
 Ward: _____
 Mand. Cd: _____ Mandate No: _____
 Bank: _____
 Ac. No: _____
 Branch: _____
 Pin: _____

Status Code: RESIDENT INDIVIDUAL Sub Status Code: _____
 Special Code: INDIVIDUALS Special Sub Code: _____
 Occupation: _____ Group Code: _____
 FBI CD: _____

Nominee Name: _____
 Address: _____
 Guardian Name: _____
 Address: _____

Folio Is Minor: Nominee Is Minor:
 1st Joint Name: _____
 2nd Joint Name: _____
 3rd Joint Name: _____

Remarks: _____

Folio : 02749980

Page 5 Sec 1 5/5 At 1.1" Ln 2 Col 1

Taskbar: start | Abhi Phap | 8 Windows | PowerButton | ... | 2 Sybase In... | Folio Data | 3:30 PM



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Folio No: 02752000
 Name: UTI "India Fund Unit Scheme 1986"

Dividend Detail

Warrant Information

Folio: 02752000 Name: UTI "INDIA FUND UNIT SCHEME 1986"

Micr: 23 Dividend No: 1 Warrant No: 33745 TDS NO: Financial Year: 2002

Dividend Rate: 10 % Description: FINAL DIVIDEND 2003 - 2004

		Amount	Shares
Mand Ecs Code:	Payout <input checked="" type="checkbox"/>	Gross Amount: 10980.00	Total Cat Shares: 10980
Tax Rate:	Tax <input type="checkbox"/>	Tax Amount: 0.00	Category1 Shares: 10980
Env No: 23	Statur Code 9	Net Amount: 10980.00	Category2 Shares: 0
Bulk Num:	Bank Code 1		Category3 Shares: 0
Issue Date: 25/07/2004	Branch Code 204	Event Code:	Category4 Shares: 0
Is it Warrant TF	Post Pincode 400018	Amount Code: 2	Category5 Shares: 0

Pan No: Ward No:

Ecs / Mandate Details		Warrant Address Details	
Bank:	Branch:	Address 1:	CITIBANK N A,CUSTODY SERV
Account No:	RBI Code:	Address 2:	81 BARODAWALLA MANSION
Address 1:	Address 2:	Address 3:	DR. ANNE DESANT ROAD
Address 3:	Address 4:	Address 4:	WORLI MUMBAI
Pin Code:	Account Type:	Pin Code:	400018

Paid Book No: Paid Batch No: Paid Serial No: Paid Date: 01/08/2011

Revalid Dup Flag: New Micr No:

Page 2 Sec 1 2/2 At 4.5" Ln 3 Col 1

start Start Sheet 2 Vendor... PowerWord... munchers... Sha... C:\W... Grade 10... 3 Sysba... Calcu... Document...



Unleash your potential

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Demat Detail

Depository Related Details

First 100 All Sort Ascending First Prior Next Last Close

Depository Type: NSDL DRN No: 603425 DP ID: IN300513 Client ID: 13271158 No. of shares: 10980
 Demat/Remat: DEMAT Instrument Rec. Date: 21/02/2007 DRN Request Date: 23/02/2007 Isn: INE266F01018
 Client Name: SURESH UNAWANE First Joint Name: Second Joint Name:

No. of certs: 1 Starting Serial No: 1 Ending Serial No: 1 User: PB

Receipt No: 17646 Control Number: 314679 Shares Accepted: 10980 Certs Accepted: 1 Is Processed:
 Demat No: 0071062900314679 Inward Date: 23/02/2007 Shares Rejected: 0 Certs Rejected: 0 Is Rejected:

NSDL Rejection Reasons: Confirmation No.: 4342036 Confirmation Date: 26/02/2007
 Capture Date: 26/02/2007 Confirmation Status: 51

Folio No	Short Name	Certificate No	Distinctive From	Distinctive To	Shares	Withheld Flag	OK To Proceed	Not in Master	Seller Authorised	Is Accepted
02752000	SURES	45077	1452467	1463446	10980	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Page 2 Sec 1 2/2 Alt 1 Ln 1 Col 1

start Stock Shar... 2 Windo... PowerBull... m/share... Share... EXI... Oracle... Sybase... Calculator Document... 1:44 PM

Getting Started

Microsoft OfficeOnline

- Connect to Microsoft Office Online
- Get the latest news about using Word
- Automatically update this list from the web

Search for:

Example: "Print more than one copy"

Open

- Kanool
- leave
- Leave Adjust
- Bansal NB - 40
- More...

Create a new document...



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Transaction Detail

Transaction Information

First 100 All Sort Ascending First Prior Next Last Close

BOUGHT

Transaction No	Transaction Type	Receipt No	Inward No	Transaction Date	Approval Date	Folio No	Buyer/Seller	Certificate No	Dist No From	Dist No To	No Of Shares	Is New Buyer	Folio Ceased
0													

SOLD

Transaction No	Transaction Type	Receipt No	Inward No	Transaction Date	Approval Date	Folio No	Buyer/Seller	Certificate No	Dist No From	Dist No To	No Of Shares	Is New Buyer	Folio Ceased
710629	Demat		603425	23/02/2007	23/02/2007	02752000	Seller	45077	1452467	1463446	10980		<input checked="" type="checkbox"/>
						NSDLAT01	Buyer		1452467	1463446	10980		

21960

Page 1 Sec 1 1/1 At 4.5" Ln 3 Col 1

start | Word Star... | 2 Windo... | PowerBuil... | numshare... | Sharap... | ST PLWTHD... | Oracle SQ... | 3 Sybase... | Calculator | Document...



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Change of Add Detail

The screenshot shows a software application window titled "Change of Address Information". It contains two main sections: "Current Address" and "Old Address".

Current Address Section:

- Sort:** Ascending (checked), First, Prior, Next, Last, Close
- Folio Number:** 02752000
- Short Name:** UTI
- Last Agm Holding - & Bought:** 0 0 0 0
- Shares Sold / No Of Securities Held:** 0 0
- 1st Holder's Name & Address:** SURESH UNAWANE, SHOP NO 2, S K N CO OP HSG SOC, B J MARG, MUMBAI, Pin: 400042
- Stop Transfer No:** Pan, Ward
- Mand. Cd / Mandate No.:**
- Bank / Ac. No. / Branch:**
- Status Code:** RESIDENT INDIVIDUAL, Sub Status Code

Old Address Section:

- Folio Number:** 02752000
- Short Name:** UTI
- Change of Information:**
 - Type: Change of Address
 - Update No: 6470
 - Update date: 03/11/2006
- 1st Holder's Name & Address:** SATYAM BRUSH INDUSTRIES, SHOP NO 2, S K N CO OP HSG SOC, B J MARG, MUMBAI, Pin: 400042
- Mandate / Bank details:** Bank, Branch
- Status Code 3 / Sub Status Code / Occupation / Special Code 3 / Special Sub Code / Group Code:**
- Nominee Name / Address:**
- Neel Account No:**

The application is running on a Windows XP desktop environment. The taskbar shows the Start button, several open applications (Stock Shar, Windo, PowerBudd, Jushar, Sharetro, C:\WINA, Arunde 50, 3 Sybase, Calculator, Document), and the system clock showing 1:45 PM.



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Folio Information for Client - APTECH LIMITED

Current: History | First | Prior | Next | Last | Enter | Execute | Clear | Print | Close | No. of records: 1

Select Subject Type

Certificate Info	Transfer Info	Transfer Inward	Transfer Obj.	Depository	Dividend Info	Dispatch	Stop Details	Coa Info	Merge/Split Info	POA Info	Allotment Details	Change Security	Query Status
------------------	---------------	-----------------	---------------	------------	---------------	----------	--------------	----------	------------------	----------	-------------------	-----------------	--------------

Folio Number	Short Name	Title Of First Holder	Last Agm Holding	% Bought	Shares Sold	No Of Securities Held
02752000	UTI*		0	0	0	0

1st Holder's Name & Address			Stop Transfer No		
SURESH UNAWANE			Ward		
F/H Name			Mand. Cd		
SHDP NO 2			Mandate No		
S K N CD OP HSG SOC			Bank		
B J MARG			Ac. No.		
MUMBAI			Branch		
City			Pin		
State			Pin		
Country			Pin		
Status Code RESIDENT INDIVIDUAL		Sub Status Code		FBI CD	
Special Code INDIVIDUALS		Special Sub Code		Nominee Name	
Occupation		Group Code		Address	
Folio Is Minor			Nominee Is Minor		
1st Joint Name			Guardian Name		
2nd Joint Name			Address		
3rd Joint Name					
Remarks					

Ready

Windows Taskbar: Start, Stock Share, Windows, Power Builder, muni... apt..., Sharecro 36..., C:\NTFC\W..., Oracle SQLP..., 3 Sybase In..., Calculator, 1:43 PM

Annexure

Sr.No	Name of the original shareholder	Number of shares held	Name and address of the person in whose name shares are transferred illegally in demat mode without consent of the original shareholders	Name of the Depository Participant	Value shares (Rupees)
1	Oriental Insurance Co. Ltd.	4152	Pradeep M. Rathod 5A, Kumbhar Tukda, Mahavir Building, Bhuleshwar, Mumbai 400004	Stock Holding Corporation	7,00,000
2	UTI India Fund Unit Scheme 1986	10980	Suresh Unawane Shop 2, SKN Co-operative Society, BJ Marg, Mumbai 400042	Sharekhan Limited	31,00,000