

**SUMMIT SECURITIES LIMITED**

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai 400 071.

Tel.No.25292152/53/54 Fax No: +91-22-25297423. Website : www.summitsecurities.net Email : summitsec@gmail.com

(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE:533306/NSE:SUMMITSEC	
Quarter ended:		June 30, 2015	
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by Promoter / Promoters Group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Outstanding convertible securities:-</b>			
Held by Promoter / Promoters Group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by Promoter / Promoters Group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	<b>10901781 fully paid up equity shares of Rs. 10/- each</b>		

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	7	7649479	7649479	70.17	70.17	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (Trusts)	2	245458	245458	2.25	2.25	0	0.00
	<b>Sub-Total (A) (1)</b>	<b>13</b>	<b>7898619</b>	<b>7898619</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>13</b>	<b>7898619</b>	<b>7898619</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public Shareholding</b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds / UTI	21	85,897	85,528	0.79	0.79	0	0.00
(b)	Financial Institutions / Banks	57	899	461	0.01	0.01	0	0.00
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	8	3,83,440	3,83,435	3.52	3.52	0	0.00
(f)	Foreign Institutional Investors	31	958	7	0.01	0.01	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B) (1)</b>	<b>119</b>	<b>471732</b>	<b>469431</b>	<b>4.33</b>	<b>4.33</b>	<b>0</b>	<b>0.00</b>
<b>(2)</b>	<b>Non-Institutions</b>							
(a)	Bodies Corporate	771	5,06,557	5,04,529	4.65	4.65	0	0.00
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	68,071	14,63,948	13,55,214	13.43	13.43	0	0.00
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	9	4,35,743	4,35,743	3.99	3.99	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (Trusts)	12	245	245	0.00	0.00	0	0.00
i	Unclaimed Securities Suspense Account (Directors & Relatives)	2	25,652	25,648	0.24	0.24	0	0.00
ii	(Foreign Company)	5	99,184	62	0.91	0.91	0	0.00
	<b>Sub-total (B) (2)</b>	<b>68872</b>	<b>2531430</b>	<b>2321441</b>	<b>23.22</b>	<b>23.22</b>	<b>0</b>	<b>0.00</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>68991</b>	<b>3003162</b>	<b>2790872</b>	<b>27.55</b>	<b>27.55</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>69004</b>	<b>10901781</b>	<b>10689491</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00	0	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>69004</b>	<b>10901781</b>	<b>10689491</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>



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Name of the Company : Summit Securities Limited  
Scrip Code : BSE: 533306 / NSE: SUMMITSEC  
Juen 30. 2015

**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		Number (III)	As a % of grand total (A)+(B)+(C) (IV)	No. (V)	As a percentage (VI) = (V) / (III)* 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL		-	-	-	-	0.01
2	HARSH VARDHAN GOENKA	653	0.01		NIL		-	-	-	-	0.01
4	HARSHVARDHAN GOENKA	1,500	0.01		NIL		-	-	-	-	0.01
3	HARSH VARDHAN GOENKA	3	0.00		NIL		-	-	-	-	0.00
5	RAMA PRASAD GOENKA	211	0.00		NIL		-	-	-	-	0.00
6	SURESH MATHEW (Trustee, RIFL Benefit Trust)	2,45,455	2.25		NIL		-	-	-	-	2.25
7	CARNIVAL INVESTMENTS LTD	1,79,708	1.65		NIL		-	-	-	-	1.65
8	CARNIVAL INVESTMENTS LTD	1,74,451	1.60		NIL		-	-	-	-	1.60
9	STEL HOLDINGS LIMITED	69,815	0.64		NIL		-	-	-	-	0.64
10	JUBILEE INVESTMENTS INDUSTRIES LTD**	2,285	0.02		NIL		-	-	-	-	0.02
11	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD***	2,90,799	2.67		NIL		-	-	-	-	2.67
12	SWALLOW ASSOCIATES LLP	43,87,152	40.24		NIL		-	-	-	-	40.24
13	SWALLOW ASSOCIATES LLP	25,45,269	23.35		NIL		-	-	-	-	23.35
	<b>TOTAL</b>	<b>78,98,619</b>	<b>72.45</b>		<b>NIL</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>72.45</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

\*\* Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

\*\*\*RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Muckatira Bhemaiah Chinappa	1,45,227	1.33	-	-	-	-	1.33
2	ANTRIKSH VYAPAAR LIMITED	1,56,500	1.44	-	-	-	-	1.44
3	VLS FINANCE LTD	1,91,049	1.75	-	-	-	-	1.75
4	LIFE INSURANCE CORPORATION OF INDIA	2,97,147	2.73	-	-	-	-	2.73
	<b>TOTAL</b>	<b>7,89,923</b>	<b>7.25</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>7.25</b>

**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
	<b>TOTAL</b>							

**(I) (d) Statement showing details of locked-in-shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)	Promoter / Promoter Group / Public
1	N.A.			
	<b>TOTAL</b>			

**(II) (a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
	<b>TOTAL</b>			

**(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	<b>TOTAL</b>			



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**(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.**

Category code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>						
<b>(1)</b>	<b>Indian</b>		NA	NA			
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7649479			7649479	70.33	70.33
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trusts )	245458			245458	2.26	2.26
	<b>Sub-Total (A) (1)</b>	<b>7898619</b>	<b>0</b>	<b>0</b>	<b>7898619</b>	<b>72.62</b>	<b>72.62</b>
<b>(2)</b>	<b>Foreign</b>		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>7898619</b>	<b>0</b>	<b>0</b>	<b>7898619</b>	<b>72.62</b>	<b>72.62</b>
<b>(B)</b>	<b>Public Shareholding</b>		NA	NA			
<b>(1)</b>	<b>Institutions</b>						
(a)	Mutual Funds / UTI	85897			85897	0.79	0.79
(b)	Financial Institutions / Banks	899			899	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	383440			383440	3.53	3.53
(f)	Foreign Institutional Investors	958			958	0.01	0.01
(g)	Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (B) (1)</b>	<b>471732</b>	<b>0</b>	<b>0</b>	<b>471732</b>	<b>4.34</b>	<b>4.34</b>
<b>(2)</b>	<b>Non-Institutions</b>		NA	NA			
(a)	Bodies Corporate	506557			506557	4.66	4.66
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1463948			1463948	13.46	13.46
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	435743			435743	4.01	4.01
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	245			245	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	<b>Sub-total (B) (2)</b>	<b>2505778</b>	<b>0</b>	<b>0</b>	<b>2505778</b>	<b>23.04</b>	<b>23.04</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>2977510</b>	<b>0</b>	<b>0</b>	<b>2977510</b>	<b>27.38</b>	<b>27.38</b>
	<b>TOTAL (A)+(B)</b>	<b>10876129</b>	<b>0</b>	<b>0</b>	<b>10876129</b>	<b>100.00</b>	<b>100.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	NA	NA	0	0.00	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>10876129</b>	<b>0</b>	<b>0</b>	<b>10876129</b>	<b>100.00</b>	<b>100.00</b>

As 25652 shares are lying in the Unclaimed Securities Suspense account as on 30.06.2015 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Clause 5A of the Listing Agreement, the voting rights have been calculated on the reduced capital.

