

# Uttam Galva Steels Limited

Registered Office : Uttam House, 69, P. D' Mello Road, Mumbai - 400 009.  
Tel. : +91-22-6656 3500 • Fax : +91-22-2348 5025  
CIN No.: L27104MH1985PLC035806  
Website : www.uttamgalva.com



25<sup>th</sup> April, 2017

**BSE Limited.**

P.J. Towers,  
Dalal Street,  
Mumbai - 400001

**National Stock Exchange of India Limited**

Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (East)  
Mumbai - 400 051

Dear Sir/ Madam,

**Sub: Compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed herewith the Certificate issued by Shri Upendra Shukla- Practicing Company Secretary for the period ended 31<sup>st</sup> March, 2017, under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015.

Thanking you,

Yours truly,

For **UTTAM GALVA STEELS LIMITED**

**R Agrawal**  
**Compliance Officer**



Encl: As Above

**UPENDRA SHUKLA**

*B. Com., F. C. S.*

**Company Secretary**

504, Navkar,  
Nandapatkar Road,  
Vile Parle East, Mumbai - 400 057.  
Resi : 2611 8257  
Mob.: 98211 25846  
E-mail : ucshukla@rediffmail.com

The Company Secretary,  
Uttam Galva Steels Limited,  
Uttam House,  
69, P'Dmello Road,  
Mumbai 400009.

**Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2017**

I have examined all the Share Transfer Deeds, Register of Shares Transfer, Register of Members, files and other documents relating to Uttam Galva Steels Limited, maintained by M/s. Universal Capital Securities Pvt. Ltd., Share Transfer Agents, pertaining to transfer of Equity Shares of the Company for the period from 01<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that -

A) Share Certificates relating to the transfer of Shares received during the period from 01<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 as entered in the Shares Transfer Register, have been issued within thirty days of the date of lodgment for transfer except those rejected on technical grounds. The Company has also delivered the duplicate share certificates within the stipulated period from the date of receipt of all the requisite documents. There was no case of sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 01<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017.


B) Any other information: Nil

**For Uttam Galva Steels Limited**

  
**R Agrawal**  
President & Company Secretary

Date: 24/04/2017  
Place: Mumbai



  
(U.C. SHUKLA)  
COMPANY SECRETARY  
FCS: 2727/CP: 1654