

**UPL LIMITED**  
**SHAREHOLDING PATTERN AS ON 30.09.2015**

**(I) (a) Statement showing Shareholding Pattern**

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		Quarter Ended : 30.09.2015		
NSE SYMBOL : UPL								
Category Code	<b>Partly paid up shares</b>			<b>Number of partly paid up shares</b>	<b>As a % of total number of partly paid up shares</b>	<b>As a % of total number of shares of the Company</b>		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Outstanding Convertible Securities</b>			<b>Number of outstanding Securities</b>	<b>As a % of total No. of outstanding Con. Securities</b>	<b>As a % of total No. of shares of the Company, assuming full conversion of the Securities</b>		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Warrants</b>			<b>Number of warrants</b>	<b>As a % of total number of warrants</b>	<b>As a % of total number of shares of the Company assuming full conversion of warrants</b>		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total conversion of warrants and convertible securities</b>								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
(1)	<b>Indian</b>							
(a)	Individuals/H.U.F	10	3758181	3758181	0.88	0.88	0	0.00
(b)	Central/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.94	28.92	8229531	6.64
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	<b>Sub-Total (A)(1)</b>	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>
(2)	<b>Foreign</b>							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	<b>Sub-Total (A)(2)</b>							
	<b>Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>





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(1) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held			Encumbered shares (*)			Details of Warrants			Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class			
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	NERKA CHEMICALS PVT LTD	98633100	23.01	8221531	8.34	1.92	0	0	0	0	23.01		
2	UNIPHOS ENTERPRISES LIMITED	25337060	5.91	8000	0.03	0.00	0	0	0	0	5.91		
3	SHILPA R SHROFF	1445061	0.34								0.34		
4	SHILPA SHROFF	1437780	0.34								0.34		
5	JYOTSNA J BHATT	448750	0.10								0.10		
6	JYOTINDRA MANSHANKAR BHATT	393580	0.09								0.09		
7	VARUN JAIDEV SHROFF	14000	0.00								0.00		
8	TANVA JADEV SHROFF	6000	0.00								0.00		
9	PRADIP N SAGAR	5930	0.00								0.00		
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00								0.00		
11	MEKHALA VIKRAM SHROFF	2000	0.00								0.00		
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00								0.00		
	<b>Total</b>	<b>127728341</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>	<b>1.92</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>29.80</b>		

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



(1) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above)	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOVERNMENT PENSION FUND GLOBAL	11165865	2.61					2.61
2	SKAGEN KON-TIK VERDIPAPIRFOND	9971688	2.33					2.33
3	INVESSCO ASIAN EQUITY FUND	5968042	1.39					1.39
4	GOVERNMENT OF SINGAPORE	5416244	1.26					1.26
5	SICICI PRUDENTIAL LIFE INSURANCE CO LTD	4990510	1.16					1.16
6	HDFC STANDARD LIFE INSURANCE CO LTD	4710269	1.10					1.10
7	DIMENSIONAL EMERGING MARKETS VALUE FUND	4665513	1.09					1.09
	<b>TOTAL</b>	<b>46879131</b>	<b>10.94</b>					<b>10.94</b>



(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert ( PAC ) with them	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above)	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(l) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above)
	NIL			



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### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 30.09.2015
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
1	GDR	25712	257120	0.06

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### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 30.09.2015
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
			<b>N I L</b>	



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III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security Class X, Class Y, Class Z)

Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a % of (A+B) (VII)	As a % of (A+B+C) (VIII)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
<b>(A)</b>	<b>Promoter and Promoter Group</b>						
<b>(1)</b>	<b>Indian</b>						
(a)	Individuals/Hindu Undivided Family						
(b)	Central/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
	<b>Sub-Total (A) (1)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(2)</b>	<b>Foreign</b>						
(a)	Individuals (Non Resident/Foreign)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	<b>Sub-Total (A)(2)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1) + (A)(2)						
<b>(B)</b>	<b>Public Shareholding</b>						
<b>(1)</b>	<b>Institutions</b>						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/Banks						
(c)	Central/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	<b>Sub-Total (B) (1)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(2)</b>	<b>Non Institutions</b>						
(a)	Bodies Corporate						
(b)	<b>Individuals</b>						
	i. Individual shareholders holding nominal share capital upto Rs. 1 lakh						
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Any Other (specify)						
	<b>Sub-Total (B) (2)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>TOTAL (A) + (B)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>						
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>

