

THE UGAR SUGAR WORKS LIMITED.

Works * Ugar Khurd – 591 316, Dist.Belgaum, Karnataka
E-mail * helpdesk@ugarsugar.com
Regd. Office * Mahaveernagar, Sangli – 416 416, Maharashtra.
E-mail * Usw.sangli@ugarsugar.com

Phone * -91 8339 272230 (5 Lines) Fax * -918339 272232
Website * www.ugarsugar.com
Phone * -91 233 2623717, 2623716 Fax * -91 233 2623617
TIN No. * 29520007001, PAN-AAACT7580R
SERV.TAX * AA ACT7580 RST 004. ECC No. AA ACT7580 RXM001
(CIN – L15421PN1939PLC006738)

Sec/

Date: 09/10/2017

To,
The Executive Director,
Bombay Stock Exchange Ltd.,
P J Towers, Dalal Street,
Mumbai.
Tel No: (022) 22721234
Fax No: (022) 22721278/22722039
Stock Code: 530363

To,
Corporate Communications
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051 Tel No: (022)
26598148 Fax No: (022) 26598120
Stock Code: UGARSUGAR

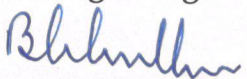
Dear Sir / Madam,

Sub: 1) Certificates Half Yearly Compliance under Regulations 7(3) & 40 (9).

We are enclosing along with this letter Half Yearly Certificate under Regulations 7(3) & 40 (10) of the Listing Regulations for the period from April, 2017 to September, 2017 duly certified and issued by Practicing Company Secretary Mr. Abhay Gulavani and the Compliance Officer of M/s. Bigshare & Services Pvt. Ltd., along with Compliance Officer of Company.

Kindly acknowledge the receipt.

Thanking You,
Yours faithfully,
For The Ugar Sugar Works Ltd.,


B. G. Kulkarni (FCS-2805)
GM Corp. Affairs & CS



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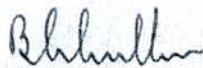
Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

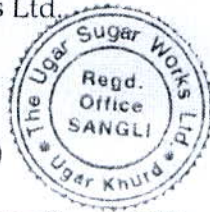
Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd. having permanent SEBI Registration No: INR000001385

Kindly take note of the above.

Thanking you,
Yours faithfully,
For The Ugar Sugar Works Ltd.



B. G. Kulkarni (FCS: 2805)
GM Corporate Affairs
& Company Secretary (Compliance Officer)



For Bigshare Services Pvt. Ltd.



Ashok Shetty
Compliance Officer

Mumbai : 701, Roha Orion, 16th Road, Bandra (W), Mumbai 400 050, Phone: +91 22 26043540, Telefax: +91 22 26045848, E-mail : usw.bby@ugarsugar.com.
Bangalore: 317, 14th Cross, 9th Main Jaynagar, II Block, Back Side of Kuchalamba Kalyan Mantap, 560 011. Ph./Fx: +91 80 26565630, mail: usw.blr@ugarsugar.com.
Belgaum : Chinmaya, Plot No.267/B, Sector No.II, Backside of S.B.I. Shivabasav Nagar-591 010, Telefax No. +91831 2472204, Email: usw.bgm@ugarsugar.com.



ABHAY R. GULAVANI

B.Com., LL.B.(Spl.), PGDIB, CS

PRACTICING COMPANY SECRETARY

Office Address : 'GANESH VANDAN' Apts, Flat No. 202, Gandhi Colony, Opp. Ganapati Mandir, Vishrambag, Sangli - 416415.

Residence : "Yadneshwar Bunglow", Near Murtikar Joshi, Behind Court, Killa Bhag, Miraj - 416 410, Dist-Sangli.

Office Phone : (0233) 2302482, M : +91 9423871452, Email : abhaygulavani@rediffmail.com

CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI LISTING REGULATIONS
(LODR)

FOR THE HALF YEAR ENDED (half year ended September, 2017).

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to (*The Ugar Sugar Works Ltd.*) maintained by (*M/s. Bigshare Services Pvt. Ltd.*) pertaining to transfer of equity shares of the company for the period from (*1st April, 2017*) to (*30th September, 2017*) for the purpose of issuing a Certificate as per Clause 7(3) of the Uniform Listing Regulations entered into by, (*The Ugar Sugar Works Ltd., CIN – L15421PN1939PLC006738; Scrip Code 530363*) with BSE and (*The Ugar Sugar Works Ltd., CIN – L15421PN1939PLC006738; Scrip Code UGARSUGAR*) with NSE based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on (*30th September, 2017*) :

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from (*1st April, 2017*) to (*30th September, 2017*) as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable.- N.A.*

Date: 06-10-2017

Place: Sangli

Abhay Gulavani
Abhay Gulavani,

Practicing Company Secretary
ACS: 28983 ~ C.P. No 10741

