



Safal HERBS LIMITED

Regd. Off. : B-29, Ujval Complex, Opp. Old Bus Stand, Ahmednagar, Maharashtra - 414001.

(I) (a) STATEMENT SHOWING SHARE HOLDING PATTERN FOR THE QUARTER ENDED ON 30/09/2015

SCRIP CODE: BSE-532034, ASE-43771

COMPANY NAME: SAFAL HERBS LIMITED

Partly paid - up Shares	No. of Partly Paid up Shares	As a % of total no. of Partly Paid Up Share	As a % of total No.
			of shares of the Company
Held by promoter/ promoter group	NIL	NIL	NIL
Held by Public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding Convertible Securities	No. of Outstanding Securities	As a % of total no. of outstanding Convertible securities	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Held by promoter/ promoter group	NIL	NIL	NIL
Held by Public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants	No. of Warrants	As a % of total no. of Warrants	As a % of total no. of shares of the Company assuming full conversion of Warrants
Held by promoter/ promoter group	NIL	NIL	NIL
Held by Public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid - up capital of the company, assuming full conversion of warrants and convertible securities	10,00,00,000 shares		

Date: 17.10.2015

Place: Ahmednagar

FOR SAFAL HERBS LIMITED



DIRECTOR
MUKESH B. DESAI
DIN NO: 03148457

STATEMENT SHOWING SHARE HOLDING PATTERN FOR THE QUARTER ENDED ON 30/09/2015

SCRIP CODE: BSE-532034, ASE-43771

COMPANY NAME: SAFAL HERBS LIMITED

Cate Code	Category of Shareholders	No of Share Holders	No of Shares Held	No of Shares Held in Demat	Total Shareholding as % of Total No. of Shares		Shares Pledged or Otherwise Encumbered	
					As %age of (A+B)	As %age of (A+B+C)	No. of Shares	As a % of A
A	Shareholding of promoter and promoter group							
1	Indian							
a	Individuals/HUFs	15	6927500	3000000	6.9275	6.9275	0	0.00000
b	Central Govt./State Govts.	0	0	0	0.0000	0.0000	0	0.00
c	Bodies Corporate	1	1547000	0	1.5470	1.5470	0	0.00
d	Financial Institutions/Banks	0	0	0	0.0000	0.0000	0	0.00
e	Any other	0	0	0	0.0000	0.0000	0	0.00
	Sub Total A(1)	16	8474500	3000000	8.4745	8.4745	0	0.00000
2	Foreign							
a	Non Resident Individuals/Foreign Ind.	0	0	0	0.0000	0.0000	0	0.00
b	Bodies Corporate	0	0	0	0.0000	0.0000	0	0.00
c	Institutions	0	0	0	0.0000	0.0000	0	0.00
d	Any others	0	0	0	0.0000	0.0000	0	0.00
	Sub Total A(2)	0	0	0	0.0000	0.0000	0	0.00
	Total Share Holding of promoter group	16	8474500	3000000	8.4745	8.4745	0	0.00000
B	Public Shareholding							
1	Institutions							
a	Mutual Funds/UTI	0	0	0	0.0000	0.0000	0	0.00
b	Financial Institutions/Banks	1	3000000	3000000	3.0000	3.0000	0	0.00
c	Central Govt./State Govt.	0	0	0	0.0000	0.0000	0	0.00
d	Venture Capital Funds	0	0	0	0.0000	0.0000	0	0.00
e	Insurance Companies	0	0	0	0.0000	0.0000	0	0.00
f	Foreign Institutional Investors	0	0	0	0.0000	0.0000	0	0.00
g	Foreign Venture Capital Investors	0	0	0	0.0000	0.0000	0	0.00
h	Any other	0	0	0	0.0000	0.0000	0	0.00
	Sub Total B(1)	1	3000000	3000000	3.0000	3.0000	0	0.00
2	Non Institutions							
a	Bodies Corporate	134	19579128	19480128	19.5791	19.5791	0	0.00
b1	Individuals-shareholders holding normal Share capital upto Rs 1 lac	1283	2519227	1981227	2.5192	2.5192	0	0.00
b2	Individuals-shareholders holding normal Share capital in excess of Rs 1 lac	607	61090780	55362780	61.0908	61.0908	0	0.00
c	Any other 1) Individual Director	0	0	0	0.0000	0.0000	0	0.00
	2) Clearing Member	4	41504	41504	0.0415	0.0415	0	0.00
	3) NRI	3	12221	12221	0.0122	0.0122	0	0.00
	4) OCB's	0	0	0	0.0000	0.0000	0	0.00
	5) HUF	138	5282640	5282640	5.2826	5.2826	0	0.00
	Sub Total B(2)	2169	88525500	82160500	90.3805	90.3805	0	0.00
	Total Public Share Holding B(1) + B(2)	2170	91525500	85160500	93.3805	93.3805	0	0.00
	Total A + B	2186	100000000	88160500	100.0000	100.0000	0	0
C	Shares held by Custodians and against which Depository receipts have been issued	0	0	0	0.0000	0.0000	0	0.00
	Grand Total A + B + C	2186	100000000	88160500	100.0000	100.0000	0	0

FOR SAFAL HERBS LIMITED



DIRECTOR
MUKESH B. DESAI
DIN NO: 03148457

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DHANANJAY V PARIKH	2348000	2.35	NIL	NIL	NIL	NIL	NIL	NIL	NIL	2.35
2	VIPUL SHAH	1025500	1.03	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.03
3	BHUPENDRAKUMAR H SHAH	456000	0.46	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.46
4	PARIKH A D	11000	0.01	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.01
5	SHAH A V	1000	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.00
6	SHAH(HUF) S H	10000	0.01	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.01
7	SHAH (HUF) B H	14000	0.01	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.01
8	SHRENIK H SHAH	5000	0.01	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.01
9	SUNITA S SHAH	18000	0.02	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.02
10	ROMIL B SHAH	35000	0.04	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.04
11	ROSHAN S SHAH	1000	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.00
12	SHAH V J	1000	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.00
13	DOSHI N R	1000	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.00
14	SHAH V C	1000	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.00
15	DHANANJAY VASANTBHAI PARIKH	3000000	3.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	3.00
16	BHOJAWANI SECURITIES PVT L	1547000	1.55	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.55
TOTAL		8474500	8.47	NIL	NIL	NIL	NIL	NIL	NIL	NIL	8.47

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

FOR SAFAL HERBS LIMITED


DIRECTOR
MUKESH B. DESAI
DIN NO: 03148457

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CANARA BANK-NEW DELHI	3000000	3.00	0	0	0	0	3.00
2	SEQUEL E ROUTERS LIMITED	2070099	2.07	0	0	0	0	2.07
3	GLOBE FINCAP LIMITED	2299405	2.30	0	0	0	0	2.30
4	R K STOCKHOLDING PVT. LTD	1060992	1.06	0	0	0	0	1.06
5	MANAN TRADING COMPANY PR	2390731	2.39	0	0	0	0	2.39
6	CPR CAPITAL SERVICES LTD	3788017	3.79	0	0	0	0	3.79
7	RAJUBHAI BABABHAI DESAI	1586483	1.59	0	0	0	0	1.59
8	CHANDUBHAI DHANABHAI VAGI	1409531	1.41	0	0	0	0	1.41
9	SHAILESHBHAI PITHABHAI CHA	1174496	1.17	0	0	0	0	1.17
10	BHAVESH R MEHTA	1358200	1.36	0	0	0	0	1.36
11	TEJAL BHAVESH MEHTA	1561300	1.56	0	0	0	0	1.56
12	SAMIR THAKKAR	1323800	1.32	0	0	0	0	1.32
13	BHANABHAI G PARMAR	1486161	1.49	0	0	0	0	1.49
14	ANUJ GARG	2000000	2.00	0	0	0	0	2.00
15	RUPEESH JHA	1834550	1.83	0	0	0	0	1.83
TOTAL		28343765	28.34	0	0	0	0	28.34

FOR SAFAL HERBS LIMITED



**DIRECTOR
MUKESH B. DESAI
DIN NO: 03148457**

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

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(I) (d) STATEMENT SHOWING DETAILS OF LOCKD IN SHARES

SCRIP CODE: BSE-532034, ASE-43771

COMPANY NAME: SAFAL HERBS LIMITED

Sr. No	Name of Shareholder	No of Locked-in Shares	% to Total No of Shares
	NIL	NIL	NIL

(II) (a) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs)

Sr. No	Type of Outstanding DR ((ADRs, GDRs, SDRs, etc.)	No of Outstanding DRs	No of Shares underlying O/s DRs.	shares underlying O/s DRs as % of Total Shares indicated in Statement at para (I)(a) above.
	NIL	NIL	NIL	NIL

(II) (b) STATEMENT SHOWING HOLDING OF DEPOSITORY RECEIPTS (DRs) WHERE UNDERLYING SHARES ARE IN EXCESS OF 1% OF THE TOTAL NO. OF SHARES

Sr. No	Name of the DR Holder	Type of Outstanding DR ((ADRs, GDRs, SDRs, etc.)	No of Shares underlying O/s DRs.	shares underlying O/s DRs as % of Total Shares indicated in Statement at para (I)(a) above.
	NIL	NIL	NIL	NIL

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