

ROCKON ENTERPRISES LIMITED

(Formerly Rockon Fintech Limited)

CIN: L65923MH1976PLC019072

Regd Off:
E-109, Crystal Plaza,
New Link Road, Opp. Infinity Mall,
Andheri (W), Mumbai - 400053
Tel.: (022) - 61522222, 61522235
Fax: (022) - 61522234
Email: rockonfintech123@gmail.com
Web-site: www.rockonfintech.com

To
Bombay Stock Exchange Limited
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai - 400001

BSE Code:-531447

Sub: Compliance Certificate under Regulation 7(3) of SEBI Listing Obligation & Disclosure Regulations 2015

Dear Sir,

We are enclosing herewith Compliance Certificate pursuant to Regulation 7(3) of SEBI Listing Obligation and Disclosure Requirements 2015, for the half year ended 31st March, 2017.

Kindly take the same into your record.

Thanking You

Yours faithfully,
For Rockon Enterprises Limited


Kajol Tak
Compliance Officer



Place : Mumbai
Date : 11/04/2017

Encl: a/a

ROCKON ENTERPRISES LIMITED

(Formerly Rockon Fintech Limited)

CIN: L65923MH1976PLC019072

Regd Off:

E-109, Crystal Plaza,
New Link Road, Opp. Infinity Mall,
Andheri (W), Mumbai - 400053

Tel.: (022) - 61522222, 61522235
Fax: (022) - 61522234

Email: rockonfintech123@gmail.com

Web-site: www.rockonfintech.com

ISIN : INE644B01049

Scrip Code : 531447

Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

I (We) being the Compliance officer of **Rockon Enterprises Limited** ("Company") and the Authorised Representatives of **Purva Shareregistry (I) Pvt Ltd** ("Share Transfer Agents") do hereby certify that the Banas Finance Limited having its Registered Office at E-109, Crystal Plaza, New link road, Andheri (west), Mumbai 400053 has appointed M/s Purva Shareregistry (I) Pvt Ltd as its Share Transfer Agents (STA) having its Registered Office/Place of activities at Unit no. 9, Shiv Shakti Ind. Estt., J. R. Boricha marg, Opp. Kasturba Hospital Lane, Lower Parel (E), Mumbai 400 011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112

Further, Purva Shareregistry (I) Pvt Ltd as a STA has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of Banas Finance Limited during the period from October 1, 2016 to March 31, 2017 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of Banas Finance Limited.

For and on behalf of
Rockon Enterprises Limited




Kajol Tak
Compliance Officer

Place : Mumbai

Date : 11/04/2017

For and on behalf of
Purva Shareregistry (India) Private Limited




V. B. Shah
Compliance Officer

Place : Mumbai

Date : 13/04/2017