

UPPER/SE/2016-17/41

January 9, 2017

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex, Bandra (E)
Mumbai 400 051

The Dy. General Manager Corporate Relationship Department BSE Ltd. 1st Floor, New Trading Ring, Rotunda Building P.J. Towers, Dalal Street, Fort The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Stock Code - 10031097

Symbol: UPERGANGES

Stock Code: 530505

Mumbai-400 001

Dear Sir,

Sub: Statement pursuant to Regulation 13(3) of SEBI(Listing Obligations and Disclosure Requirement) Regulations, 2015

Pursuant to Regulation 13(3) of the Securities Exchange and Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015 enclosed please find a statement giving the details of investor complaints for the quarter ended December 31, 2016, issued by the Registrar and Share Transfer Agent of the Company i.e M/s Link Intime India Pvt Ltd.

Please acknowledge receipt.

Yours faithfully,

For Upper Ganges Sugar & Industries Limited,

Santosh Kumar Poddar Company Secretary

Encl: as above









(Formerly INTIME SPECTRUM REGISTRY LTD)

59C, Chowringhee Road, 3rd Floor, Kolkata - 700 020 Phone: 033-2289 0540 Tele fax: 2289 0539 E-mail: kolkata@linkintime.co.in

07.01.2017

We M/s. Link Intime India Pvt. Ltd. having registered office at C-13, Pannalal Silk Mills Compound, L.B.S.Marg, Bhandup (West), Mumbai- 400088 and its Branch office at 59C, Chowringhee Road, 3rd Floor, Room No.5, Kolkata- 700 020 are acting as Registrar an Share Transfer Agents for on behalf on **M/s Upper Ganges Sugar & Industries Limited.**, do hereby certify the correctness of the following details.

Summary of Attended Letters

From Date: 01.10.2016 to 31.12.2016

Sr. No.	Nature of Complaint	Number of	Number of	Number of	Number of
		Complaints	Complaints	Complaints	Complaints
		Pending as on	received during	disposed of	remained
		October 1, .2016	the period	during the period	unresolved as on
			October 1,.2016	October 1, 2016	December 31,
			to December 31,	to December 31,	2016
			2016	2016	
1	Non-Receipt of Exchange Share Certificate(s)	0	0	0	0
2	Non-Receipt of Share Certificate(s)	0	0	0	0
3	Non-Receipt of Stickers for change in the name of the Company	0	0	0	0
4	Non-Receipt of Dividend/Interest/Redemption Warrant	0	0	0	0
5	SEB-Scores a Non-Receipt of Dividend Warrant	0	0	0	0
	Total	0	0	0	0

Yours faithfully,

For Link Intime India Pvt. Ltd.

S.P.Guha Head-Kolkata Operations

