



BSE StAR- MF File Structures	June 20 2017
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Note: All the Files are in pipe (“|”) separated format and are available for download under the Daily Download option on the application menu option.

NAV MASTER

SR NO	FIELD DESCRIPTION	FIELD VALUE	Field Type	Field width
1	NAV Date	YYYY-MM-DD	datetime	8
2	Scheme Code		varchar	20
3	Scheme Name		varchar	200
4	RTA Scheme Code		varchar	20
5	Dividend Reinvest Flag	Y - Dividend Reinvestment N - Dividend Payout Z – For others including Growth / Bonus etc.	varchar	5
6	ISIN		varchar	12
7	NAV Value		numeric	9
8	RTA Code		varchar	20

SCHEME MASTER (WITHOUT SCHEME TYPE)

SR NO	FIELD DESCRIPTION	Field Type	Field Width
1	Unique No	varchar	5
2	Scheme Code	varchar	20
3	RTA Scheme Code	varchar	5
4	AMC Scheme Code	varchar	10
5	ISIN	varchar	12
6	AMC Code	varchar	50
7	Scheme Name	varchar	200
8	Purchase Transaction mode	varchar	50
9	Minimum Purchase Amount	numeric	9
10	Additional Purchase Amount Multiple	numeric	9
11	Maximum Purchase Amount	numeric	9
12	Purchase Allowed	varchar	20
13	Purchase Cutoff Time	varchar	20
14	Redemption Transaction Mode	varchar	50
15	Minimum Redemption Qty	numeric	9
16	Redemption Qty Multiplier	numeric	9
17	Maximum Redemption Qty	numeric	9
18	Redemption Allowed	varchar	20
19	Redemption Cut off Time	varchar	20
20	RTA Agent Code	varchar	50
21	AMC Active Flag	int	4

22	Dividend Reinvestment Flag	varchar	5
23	SIP FLAG	CHAR	1
24	STP FLAG	CHAR	1
25	SWP FLAG	CHAR	1
26	Settlement Type	CHAR	2

SCHEME MASTER (WITH SCHEME TYPE)

SR NO	FIELD DESCRIPTION	Field Type	Field Width
1	Unique No	varchar	5
2	Scheme Code	varchar	20
3	RTA Scheme Code	varchar	5
4	AMC Scheme Code	varchar	10
5	ISIN	varchar	12
6	AMC Code	varchar	50
7	Scheme Name	varchar	200
8	Purchase Transaction mode	varchar	50
9	Minimum Purchase Amount	numeric	9
10	Additional Purchase Amount Multiple	numeric	9
11	Maximum Purchase Amount	numeric	9
12	Purchase Allowed	varchar	20
13	Purchase Cutoff Time	varchar	20
14	Redemption Transaction Mode	varchar	50
15	Minimum Redemption Qty	numeric	9
16	Redemption Qty Multiplier	numeric	9
17	Maximum Redemption Qty	numeric	9
18	Redemption Allowed	varchar	20
19	Redemption Cut off Time	varchar	20
20	RTA Agent Code	varchar	50
21	AMC Active Flag	int	4

22	Dividend Reinvestment Flag	varchar	5
23	Scheme Type	Varchar	20
24	SIP FLAG	CHAR	1
25	STP FLAG	CHAR	1
26	SWP FLAG	CHAR	1
27	SETTLEMENT TYPE	CHAR	2
28	Purchase Amount Multiplier	Numeric	9

SCHEME CODE MASTER DETAILS (Demat and Physical)

SR NO	FIELD DESCRIPTION	Field Type	Field Width
1	Unique No	varchar	5
2	Scheme Code	varchar	20
3	RTA Scheme Code	varchar	5
4	AMC Scheme Code	varchar	10
5	ISIN	varchar	12
6	AMC Code	varchar	50
7	Scheme Type	Varchar	20
8	Scheme Plan	Varchar	10 - Direct / Normal
9	Scheme Name	varchar	200
10	Purchase Allowed	varchar	20
11	Purchase Transaction mode	varchar	50
12	Minimum Purchase Amount	numeric	9
13	Additional Purchase Amount Multiple	numeric	9
14	Maximum Purchase Amount	numeric	9
15	Purchase Amount Multiplier	Numeric	9
16	Purchase Cutoff Time	varchar	20
17	Redemption Allowed	varchar	20
18	Redemption Transaction Mode	varchar	50
19	Minimum Redemption Qty	numeric	9
20	Redemption Qty Multiplier	numeric	9
21	Maximum Redemption Qty	numeric	9

22	Redemption Amount - Minimum	numeric	9
23	Redemption Amount – Maximum	Numeric	9
24	Redemption Amount Multiple	Numeric	9
25	Redemption Cut off Time	varchar	20
26	RTA Agent Code	varchar	50
27	AMC Active Flag	int	4
28	Dividend Reinvestment Flag	varchar	5
29	SIP FLAG	varchar	1
30	STP FLAG	varchar	1
31	SWP Flag	varchar	1
32	Switch FLAG	varchar	1
33	SETTLEMENT TYPE	varchar	2
34	AMC_IND	Varchar	3
35	Face Value	numeric	9
36	Start Date	Date	
37	End Date	Date	
38	Exit Load Flag	Varchar	1
39	Exit Load	Varchar	600
40	Lock-in Period Flag	Varchar	1
41	Lock-in Period	Numeric	9
42	Channel Partner Code	varchar	20

SIP SCHEME CODE MASTER

SR NO	FIELD DESCRIPTION	Field Type	Field Width
1	AMC Code	varchar	50
2	AMC Name	varchar	50
3	Scheme Code	varchar	20
4	Scheme Name	varchar	200
5	SIP Transaction Mode	varchar	1
6	SIP Frequency	varchar	20
7	SIP Dates	varchar	10
8	SIP Minimum Gap	Numeric	2
9	SIP Maximum Gap	numeric	2
10	SIP Installment Gap	numeric	2
11	SIP Status	varchar	10
12	SIP Minimum Installment Amount	numeric	9
13	SIP Maximum Installment Amount	numeric	9
14	SIP Multiplier Amount	numeric	9
15	SIP Minimum Installment Numbers	numeric	9
16	SIP Maximum Installment Numbers	numeric	9
17	Scheme ISIN	varchar	12
18	Scheme Type	varchar	20

ORDER STATUS / PROVISIONAL ORDER REPORT

S.No	FIELD NAME	TYPE	SIZE	REMARKS
1	Member Code	VARCHAR	10	
2	Date	DATE		DD/MM/YYYY
3	Time	VARCHAR	8	HH:MM:SS
4	Order No.	VARCHAR	16	
5	Sett. No.	VARCHAR	7	0901 - Financial Year000N - Sr No
6	Client Code	VARCHAR	10	
7	Client Name	VARCHAR	100	
8	Scheme Code	VARCHAR	20	
9	Scheme Name	VARCHAR	200	
10	ISIN	VARCHAR	12	
11	Buy/Sell	VARCHAR	1	P - PURCHASE R - REDEMPTION
12	Amount	NUMERIC		2 DECIMALS
13	Units	NUMERIC		3 DECIMALS
14	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
15	DP/Folio No.	VARCHAR	16	
16	Folio No.	VARCHAR	16	
17	Entry By	VARCHAR	20	
18	Order Status	VARCHAR	10	VALID / INVALID
19	Order Remark	VARCHAR	200	
20	INTERNAL REF NO	VARCHAR	10	
21	SETTLEMENT TYPE	VARCHAR	2	
22	Order Type	VARCHAR	3	NRM/SIP
23	SIP REGN NO	BIGINT		
24	SIP REGN DATE	DATE		DD/MM/YYYY
25	SUBBRCODE	VARCHAR	15	
26	EUIN	VARCHAR	10	
27	EUIN DECL	VARCHAR	1	
28	ALL Units Flag	VARCHAR	1	
29	DPC Flag	VARCHAR	1	Y/N
30	Order Sub Type	VARCHAR	6	NRM/SWITCH/SPREAD
31	First Order Today	VARCHAR	1	Y/N

32	Purchase / Redeem(Fresh /Additional)	Char	10	Fresh/Additional
33	Member Remarks	Char	200	Max 200 chars
34	KYC Flag	Char	1	Y or N. Mandatory in case of any purchases above Rs.50000/-
35	MIN redemption flag	Char	1	Y or N. Mandatory field. In case of purchases it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.
36	Sub- broker ARN	varchar	20	non - mandatory field, it should start with ARN

ORDER CANCELLATION BULK UPLOAD

S.No	FIELD NAME	TYPE	SIZE	REMARKS
1	Member Code	VARCHAR	10	
2	Date	DATE		DD/MM/YYYY
3	Time	VARCHAR	8	HH:MI:SS
4	Order No.	varchar	16	
5	Sett. No.	varchar	7	0901 - Financial Year 000N - Sr No
6	Client Code	varchar	10	
7	Client Name	varchar	100	
8	Scheme Code	varchar	20	
9	Scheme Name	varchar	200	
10	ISIN	varchar	12	
11	Buy/Sell	varchar	1	P - PURCHASE R - REDEMSION
12	Buy Value	numeric		2 DECIMALS
13	Sell Qty.	numeric		3 DECIMALS
14	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
15	DP/Folio No.	VARCHAR	16	
16	Folio No.	VARCHAR	16	
17	Entry By	VARCHAR	20	
18	Order Status	VARCHAR	10	VALID / INVALID
19	Order Remark	VARCHAR	200	
20	INTERNAL REF NO	VARCHAR	10	
21	SETTLEMENT TYPE	VARCHAR	2	
22	Order Type	VARCHAR	3	NRM/SIP
23	SIP REGN NO	BIGINT		
24	SIP REGN DATE	DATE		DD/MM/YYYY

SETTLEMENT CALENDAR

SR NO	FIELD DESCRIPTION	FIELD VALUE	Field Type	Field width
1	SETTLEMENT TYPE	MF - MUTUAL FUND	varchar	2
2	SETTLEMENT NO	0910 - FINANCIAL YEAR 0001 - SR NO	varchar	7
3	TRADE DATE	YYYY-MM-DD	datetime	8
4	FUND PAY IN DATE	YYYY-MM-DD	datetime	8
5	SEC PAY IN DATE	YYYY-MM-DD	datetime	8
6	FUNDS PAYOUT DATE	YYYY-MM-DD	datetime	8
7	SEC PAYOUT DATE	YYYY-MM-DD	datetime	8

CLIENT FUNDS OBLIGATION

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	ORDEDATE	ORDER DATE	YYYY-MM-DD
2	SETTDATE	SETTLEMENT DATE	YYYY-MM-DD
3	SETTTYPE	SETTLEMENT TYPE	MF - MUTUAL FUND
4	SETTNO	SETTLEMENT NO	0910 - FINANCIAL YEAR 000N - SR NO
5	MEMEBRID	BSE MEMBERSHIP ID / CODE	
6	CLIENTCODE	CLIENT CODE	
7	DPID	DP ID	X(8)
8	DPCLIENTID	CLIENT DP ID	X(8)
9	ORDERNO	ORDER NO	SYSTEM GENERATED NO
10	BUYSELL		P – PURCHASE R - REDEMPTION
11	SCHEMECD	SCHEME CODE	
12	ISIN	ISIN NO OF MUTUAL FUND	
13	AMOUNT		
14	INTERNAL REF NO	Varchar (10)	
15	Order Type	Varchar(3)	NRM/SIP
16	SIP REGN NO	BIGINT	
17	SIP REGN DATE	DATETIME	DD/MM/YYYY

CLIENT SECURITIES OBLIGATION

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	ORDEDATE	ORDER DATE	YYYY-MM-DD
2	SETTDATE	SETTLEMENT DATE	YYYY-MM-DD
3	SETTTYPE	SETTLEMENT TYPE	MF - MUTUAL FUND
4	SETTNO	SETTLEMENT NO	0901 - FINANCIAL YEAR 000N - SR NO
5	MEMEBRID	BSE MEMBERSHIP ID / CODE	
6	CLIENTCODE	CLIENT CODE	
7	DPID	DP ID	X(8)
8	DPCLIENTID	CLIENT DP ID	X(8)
9	ORDERNO	ORDER NO	SYSTEM GENERATED NO
10	BUYSELL		P - PURCHASE R – REDEMPTION
11	SCHEMECD	SCHEME CODE	
12	ISIN	ISIN NO OF MUTUAL FUND	
13	QTY	3 DECIMALS	

FUNDS CONFIRMATION STATEMENT

S.No	FIELD NAME	TYPE	SIZE	REMARKS
1	ORDERDATE	VARCHAR	10	YYYY-MM-DD
2	SETTDATE	VARCHAR	10	YYYY-MM-DD
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 000N - SR NO
5	MEMBERID	VARCHAR	10	
6	CLIENTCODE	VARCHAR	10	
7	DPID	VARCHAR	16	
8	DPCLIENTID	VARCHAR	16	
9	ORDERNO	NUMBER		
10	BUYSELL	VARCHAR	1	P – PURCHASE R - REDEMPTION
11	SCHEMECD	VARCHAR	20	
12	ISIN	VARCHAR	12	
13	AMOUNT	NUMBER	2 DECIMALS	
14	STATUS	VARCHAR	1	Y - VALID N - INVALID
15	REMARKS	VARCHAR	200	
16	INTERNAL REF NO	VARCHAR	10	
17	Order Type	VARCHAR	3	NRM/SIP
18	SIP REGN NO	BIGINT		
19	SIP REGN DATE	DATE		DD/MM/YYYY

REDEMPTION STATEMENT

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMECODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMECODE	VARCHAR	10	
15	RTATRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	NAV	NUMBER	4 DECIMALS	
20	UNIT	NUMBER	3 DECIMALS	
21	AMT	NUMBER	2 DECIMALS	
22	VALIDFLAG	VARCHAR	1	Y - VALID N - INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	

ALLOTMENT STATEMENT

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMECTCODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMECTCODE	VARCHAR	10	
15	RTATRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	ALLOTTED NAV	NUMBER	4 DECIMALS	
20	ALLOTTED UNIT	NUMBER	3 DECIMALS	
21	ALLOTMENT AMT	NUMBER	2 DECIMALS	
22	VALIDFLAG	VARCHAR	1	Y - VALID N - INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	INTERNAL REF NO	VARCHAR	10	
26	Order Type	VARCHAR	3	NRM/SIP
27	SIP REGN NO	BIGINT		
28	SIP REGN DATE	DATE		DD/MM/YYYY

BULK ORDER ENTRY

S.No.	Field Name	Data Type	Size	Remarks
1	SCHEME CODE	Char	20	Valid Scheme Code
2	Purchase / Redeem	Char	1	P – Purchase
				R – Redeem
3	Buy Sell Type	Char	10	FRESH
				ADDITIONAL
4	Client Code (10 Chars)	Char	10	Mandatory. As mentioned in the UCC Registration
5	Demat / Physical	Char	1	C – CDSL
				N – NSDL
				P – PHYSICAL
6	Order Val AMOUNT	Numeric	16,2	Amount for Purchase / Redemption
7	Folio No (10 digits)	Char	15	Mandatory in case of Additional Purchase in Physical Form or Redemption in Physical form
8	Remarks	Char	200	Max 200 chars
9	KYC Flag	Char	1	Y or N. Mandatory in case of any purchases above Rs.50000/-
10	Sub Broker Code	Char	15	
11	EUIN Number	Char	10	
12	EUIN Declaration	Char	1	Y or N. Mandatory field.
13	MIN redemption flag	Char	1	Y or N. Mandatory field. In case of purchases it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.
14	DPC Flag	Char	1	Y or N. Mandatory Field for both physical and demat
15	All Units	Char	1	Y or N. Mandatory field in case of physical redemption transactions. If the flag is “Y”, then the amount and units fields will be blank. In case of demat, keep it as blank.
16	Redemption Units	Numeric	25,3	
17	Sub- broker ARN	varchar	20	non - mandatory field, it should start with ARN

CLIENT FUNDS CONFIRMATION

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	ORDEDATE	ORDER DATE	YYYY-MM-DD
2	SETTDATE	SETTLEMENT DATE	YYYY-MM-DD
3	SETTTYPE	SETTLEMENT TYPE	MF - MUTUAL FUND
4	SETTNO	SETTLEMENT NO	0910 - FINANCIAL YEAR 000N - SR NO
5	MEMEBRID	BSE MEMBERSHIP ID / CODE	
6	CLIENTCODE	CLIENT CODE	
7	DPID	DP ID	X(8)
8	DPCLIENTID	CLIENT DP ID	X(8)
9	ORDERNO	ORDER NO	SYSTEM GENERATED NO
10	BUYSELL		P – PURCHASE R - REDEMPTION
11	SCHEMECD	SCHEME CODE	
12	ISIN	ISIN NO OF MUTUAL FUND	
13	AMOUNT		
14	FLAG	VARCHAR(1)	Y – To Confirm Receipt N – To Reject
15	REMARKS	VARCHAR(100)	REMARKS

Sample Record:

2009-12-18|2009-12-21|MF|0910011|0000|CLIENT1|10000000|00100000|121|P|41-
DP|INF179K01BC6|1000.0000|N|NOT GIVEN

2009-12-18|2009-12-21|MF|0910011|0000|CLIENT1|10000000|00100000|125|P|41-
DP|INF179K01BC6|10000.0000|Y|

2009-12-18|2009-12-21|MF|0910011|0000|CLIENT3|10000000|00101000|127|P|41-
DP|INF179K01BC6|1000.0000|Y|RECEIVED

MAP CLIENT BRANCHES

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	CLIENTCODE	CLIENT CODE	VARCHAR(10)
2	BRANCHCODE	BRANCHCODE	VARCHAR(10)

Sample Record:

CLIENT1|BRANCH1

CLIENT2|BRANCH1

CLIENT3|BRANCH2

UPDATE CLIENT BALANCES

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	CLIENTCODE	CLIENT CODE	VARCHAR(10)
2	BRANCHCODE	BRANCHCODE	VARCHAR(10)
3	DEPOSIT	DEPOSIT AMOUNT	MONEY (UPTO 2 DECIMALS)

Sample Record:

CLIENT1|BRANCH1|100000

CLIENT2|BRANCH1|50000

CLIENT3|BRANCH2|0

If you do not want to change the branch code mapping then leave the 2nd field as blank

Sample Record:

CLIENT1| |100000

CLIENT2| |50000

CLIENT3| |0

UPDATE BRANCH BALANCES

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	MEMBER CODE	CLEARING ID	VARCHAR(10)
2	BRANCHCODE	BRANCHCODE	VARCHAR(10)
3	DEPOSIT	DEPOSIT AMOUNT	MONEY (UPTO 2 DECIMALS)

Sample Record:

0000|BRANCH1|100000

0000|BRANCH2|50000

0000|BRANCH3|0

UPDATE DEALER BALANCES

SR NO	FIELD HEADER	FIELD DESCRIPTION	FIELD VALUE
1	MEMBER CODE	CLEARING ID	VARCHAR(10)
2	BRANCHCODE	BRANCHCODE	VARCHAR(10)
3	DEALER CODE	DEALER / SUBBROKER CODE	VARCHAR(25)
4	DEPOSIT	DEPOSIT AMOUNT	MONEY (UPTO 2 DECIMALS)

Sample Record:

0000|BRANCH1|DLR01|100000

0000|BRANCH1|DLR02|50000

0000|BRANCH3|DLR93|0

CLIENT DEALER MAPPING

SR NO	FIELD DESCRIPTION	Field Type	Field Width
1	CLIENT CODE	Varchar	10
2	DEALER CODE	Varchar	20
3	BRANCH CODE	Varchar	10

Sample Record

1000 | DEALER1 | BRANCH1
1001 | DEALER2 | BRANCH2
1001 | DEALER3 | BRANCH1

CLIENT MASTER UPLOAD-CUSTODIAN

Sr No	Field Name	Data Type	Size	Remarks
1	CLIENT CODE	char	10	Client Code
2	CLIENT HOLDING	char	2	SI - Single JO - Joint AS - Anyone or Survivor
3	CLIENT TAXSTATUS	char	2	01 – Individual 02 - On behalf of minor 03 – HUF 04 – Company 21 – NRE 24 – NRO 23 – FII 12 – DFI 08 – TRUST 05 – AOP 47 – LLP 10 – Others 06 – Partnership Firm
4	CLIENT OCCUPATIONCODE	char	2	01 – Business 02 – Services 03 – Professional 04 – Agriculture 05 – Retired 06 – Housewife 07 – Student 08 - Others
5	CLIENT APPNAME1	char	70	Name 1
6	CLIENT APPNAME2	char	35	Name 2
7	CLIENT APPNAME3	char	35	Name 3
8	CLIENT DOB	char	10	Date of Birth - Mandatory if tax status is 'On behalf of minor' (dd/mm/yyyy)
9	CLIENT GENDER	char	1	M - Male F - Female

				Father's / Husband's/ Guardian's Name - Applicable if tax status is 'On behalf of minor'
10	CLIENT FATHERHUSBAND	char	35	
11	CLIENT PAN	char	10	PAN number
12	CLIENT NOMINEE	char	35	Nominee Name (OPTIONAL)
13	CLIENT NOMINEE RELATION	char	20	Relation with Nominee (OPTIONAL)
14	CLIENT GUARDIANPAN	char	10	Guardian PAN number - Applicable if tax status is 'On behalf of minor'
15	CLIENT DEFAULTDP	char	4	Default DP Id (CDSL / NSDL)
16	CLIENT CDSLDPID	char	8	CDSL DP Id
17	CLIENT CDSLCLTID	char	16	CDSL Client DP Id
18	CLIENT NSDLDPID	char	8	NSDL DP Id
19	CLIENT NSDLCLTID	char	8	NSDL Client DP Id
20	CLIENT BANKNAME	char	40	Bank Name
21	CLIENT BANKBRANCH	char	40	Bank Branch
22	CLIENT BANKCITY	char	35	Bank City
23	CLIENT ACCTYPE	char	2	SB - Saving Bank CB - Current Bank NE - NRE Account NO- NRO Account
24	CLIENT ACCNO	char	16	Bank account number
25	CLIENT MICRNO	char	9	Code commensurate to the preferred dividend/ redemption payment mechanism Not applicable for cheque and direct credit
26	CLIENT NEFTCODE	char	11	
27	CLIENT CHEQUENAME	char	35	Cheque Name (OPTIONAL)
28	CLIENT ADD1	char	40	Client Address 1

29	CLIENT ADD2	char	40	Client Address 2
30	CLIENT ADD3	char	40	Client Address 3 (OPTIONAL)
31	CLIENT CITY	char	35	City
				AN - Andaman & Nicobar AR - Arunachal Pradesh AP - Andhra Pradesh AS - Assam BH - Bihar CH - Chandigarh CG - Chhattisgarh DL - Delhi GO - GOA GU - Gujarat HA - Haryana HP - Himachal Pradesh JM - Jammu & Kashmir JK - Jharkhand KA - Karnataka KE - Kerala MP - Madhya Pradesh MA - Maharashtra MN - Manipur ME - Meghalaya MI - Mizoram NA - Nagaland ND - New Delhi OR - Orissa PO - Pondicherry PU - Punjab
32	CLIENT STATE	char	2	

				RA - Rajasthan SI - Sikkim TG – Telangana TN – Tamil Nadu TR - Tripura UP - Uttar Pradesh UC - Uttaranchal WB - West Bengal DN - Dadra and Nagar Haveli DD - Daman and Diu OH - Others
33	CLIENT PINCODE	char	6	Pin code
34	CLIENT COUNTRY	char	35	Country
35	CLIENT RESIPHONE	char	15	Residence Phone No. (OPTIONAL)
36	CLIENT RESIFAX	char	15	Residence FAX No. (OPTIONAL)
37	CLIENT OFFICEPHONE	char	15	Office Phone No. (OPTIONAL)
38	CLIENT OFFICEFAX	char	15	Office FAX No. (OPTIONAL)
39	CLIENT EMAIL	char	50	Email
40	CLIENT COMMMODE	char	1	P - Physical E - Electronic
41	CLIENT DIVPAYMODE	char	2	01-Cheque 02-Direct Credit 03-ECS 04-NEFT 05-RTGS
42	CLIENT PAN2	char	10	PAN number
43	CLIENT PAN3	char	10	PAN number
44	MAPIN NO	char	16	(OPTIONAL)

45	ARN CODE	Char	10	MANDATORY
46	INTERNAL REF NO	Char	12	OPTIONAL
47	CM_FORADD1	Char	40	MANDATORY
48	CM_FORADD2	Char	40	MANDATORY
49	CM_FORADD3	Char	40	OPTIONAL
50	CM_FORCITY	Char	35	MANDATORY
51	CM_FORPINCODE	Char	10	MANDATORY
52	CM_FORSTATE	Char	35	MANDATORY
53	CM_FORCOUNTRY	Char	3	Afghanistan 001 Aland Islands 002 Albania 003 Algeria 004 American Samoa 005 Andorra 006 Angola 007 Anguilla 008 Antarctica 009 Antigua And Barbuda 010 Argentina 011 Armenia 012 Aruba 013 Australia 014 Austria 015

			Azerbaijan 016
			Bahamas 017
			Bahrain 018
			Bangladesh 019
			Barbados 020
			Belarus 021
			Belgium 022
			Belize 023
			Benin 024
			Bermuda 025
			Bhutan 026
			Bolivia 027
			Bosnia And Herzegovina 028
			Botswana 029
			Bouvet Island 030
			Brazil 031
			British Indian Ocean Territory 032
			Brunei Darussalam 033
			Bulgaria 034
			Burkina Faso 035
			Burundi 036
			Cambodia 037
			Cameroon 038
			Canada 039

			Cape Verde 040 Cayman Islands 041 Central African Republic 042 Chad 043 Chile 044 China 045 Christmas Island 046 Cocos (Keeling) Islands 047 Colombia 048 Comoros 049 Congo 050 Congo, The Democratic Republic Of The 051 Cook Islands 052 Costa Rica 053 Cote D'Ivoire 054 Croatia 055 Cuba 056 Cyprus 057 Czech Republic 058 Denmark 059 Djibouti 060 Dominica 061 Dominican Republic 062 Ecuador 063
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			Egypt 064 El Salvador 065 Equatorial Guinea 066 Eritrea 067 Estonia 068 Ethiopia 069 Falkland Islands (Malvinas) 070 Faroe Islands 071 Fiji 072 Finland 073 France 074 French Guiana 075 French Polynesia 076 French Southern Territories 077 Gabon 078 Gambia 079 Georgia 080 Germany 081 Ghana 082 Gibraltar 083 Greece 084 Greenland 085 Grenada 086 Guadeloupe 087
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			Guam 088
			Guatemala 089
			Guernsey 090
			Guinea 091
			Guinea-Bissau 092
			Guyana 093
			Haiti 094
			Heard Island And Mcdonald Islands 095
			Holy See (Vatican City State) 096
			Honduras 097
			Hong Kong 098
			Hungary 099
			Iceland 100
			India 101
			Indonesia 102
			Iran, Islamic Republic Of 103
			Iraq 104
			Ireland 105
			Isle Of Man 106
			Israel 107
			Italy 108
			Jamaica 109
			Japan 110
			Jersey 111

			Jordan 112 Kazakhstan 113 Kenya 114 Kiribati 115 Korea, Democratic Peoples Republic Of 116 Korea, Republic Of 117 Kuwait 118 Kyrgyzstan 119 Lao Peoples Democratic Republic 120 Latvia 121 Lebanon 122 Lesotho 123 Liberia 124 Libyan Arab Jamahiriya 125 Liechtenstein 126 Lithuania 127 Luxembourg 128 Macao 129 Macedonia, The Former Yugoslav Republic Of 130 Madagascar 131 Malawi 132 Malaysia 133 Maldives 134 Mali 135
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			Malta 136
			Marshall Islands 137
			Martinique 138
			Mauritania 139
			Mauritius 140
			Mayotte 141
			Mexico 142
			Micronesia, Federated States Of 143
			Moldova, Republic Of 144
			Monaco 145
			Mongolia 146
			Montserrat 147
			Morocco 148
			Mozambique 149
			Myanmar 150
			Namibia 151
			Nauru 152
			Nepal 153
			Netherlands 154
			Netherlands Antilles 155
			New Caledonia 156
			New Zealand 157
			Nicaragua 158
			Niger 159

			Nigeria 160 Niue 161 Norfolk Island 162 Northern Mariana Islands 163 Norway 164 Oman 165 Pakistan 166 Palau 167 Palestinian Territory, Occupied 168 Panama 169 Papua New Guinea 170 Paraguay 171 Peru 172 Philippines 173 Pitcairn 174 Poland 175 Portugal 176 Puerto Rico 177 Qatar 178 Reunion 179 Romania 180 Russian Federation 181 Rwanda 182 Saint Helena 183
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			Saint Kitts And Nevis 184 Saint Lucia 185 Saint Pierre And Miquelon 186 Saint Vincent And The Grenadines 187 Samoa 188 San Marino 189 Sao Tome And Principe 190 Saudi Arabia 191 Senegal 192 Serbia And Montenegro 193 Seychelles 194 Sierra Leone 195 Singapore 196 Slovakia 197 Slovenia 198 Solomon Islands 199 Somalia 200 South Africa 201 South Georgia And The South Sandwich Islands 202 Spain 203 Sri Lanka 204 Sudan 205 Suriname 206 Svalbard And Jan Mayen 207
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			Swaziland 208
			Sweden 209
			Switzerland 210
			Syrian Arab Republic 211
			Taiwan, Province Of China 212
			Tajikistan 213
			Tanzania, United Republic Of 214
			Thailand 215
			Timor-Leste 216
			Togo 217
			Tokelau 218
			Tonga 219
			Trinidad And Tobago 220
			Tunisia 221
			Turkey 222
			Turkmenistan 223
			Turks And Caicos Islands 224
			Tuvalu 225
			Uganda 226
			Ukraine 227
			United Arab Emirates 228
			United Kingdom 229
			United States of America 230
			United States Minor Outlying Islands 231

				Uruguay 232 Uzbekistan 233 Vanuatu 234 Venezuela 235 Viet Nam 236 Virgin Islands, British 237 Virgin Islands, U.S. 238 Wallis And Futuna 239 Western Sahara 240 Yemen 241 Zambia 242 Zimbabwe 243
54	CM_FORRESIPHONE	Char	15	MANDATORY
55	CM_FORRESIFAX	Char	15	OPTIONAL
56	CM_FOROFFPHONE	Char	15	OPTIONAL
57	CM_FOROFFFAX	Char	15	OPTIONAL

ALLOTMENT STATEMENT (EUIN)

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMECODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMECODE	VARCHAR	10	
15	RTATTRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	ALLOTTED NAV	NUMBER	4 DECIMALS	
20	ALLOTTED UNIT	NUMBER	3 DECIMALS	
21	ALLOTMENT AMT	NUMBER	2 DECIMALS	
22	VALIDFLAG	VARCHAR	1	Y - VALID N - INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	INTERNAL REF NO	VARCHAR	10	
26	Order Type	VARCHAR	3	NRM/SIP
27	SIP REGN NO	BIGINT		
28	SIP REGN DATE	DATE		DD/MM/YYYY
29	SUBBRCODE	VARCHAR	15	
30	EUIN	VARCHAR	10	
31	EIN DECL	VARCHAR	1	

ALLOTMENT STATEMENT (DPC)

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMECTCODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMECTCODE	VARCHAR	10	
15	RTATRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	ALLOTTED NAV	NUMBER	4 DECIMALS	
20	ALLOTTED UNIT	NUMBER	3 DECIMALS	
21	ALLOTMENT AMT	NUMBER	2 DECIMALS	

SIP REGISTRATION - UPLOAD FORMAT

Note: Should be a Comma separated file

SR NO	FIELD DESCRIPTION	Field Type	DESCRIPTION
1	AMC Code	Varchar(50)	
2	Sch Code	Varchar(20)	
3	Client Code	Varchar(20)	
4	Internal Ref No.	Varchar(10)	
5	Trans Mode	Varchar(1)	D/P
6	DP TXN Mode	Varchar(1)	C/N/P
7	Start Date	Varchar(10)	DD/MM/YYYY
8	Frequency Type	Varchar(20)	WEEKLY/MONTHLY/QUARTERLY/SEMI-ANNUALLY/ANNUALLY.
9	Frequency Allowed	Int	Rolling
10	Installment Amount	Int	
11	Status	Int	1=Active, 0=Inactive
12	Member Code	Varchar (20)	
13	Folio No.	Varchar (20)	
14	SIP Remarks	Varchar (100)	
15	Installment No.	Int	
16	First Order Today	Varchar(1)	Y/N
17	Sub Broker Code	Char(15)	
18	EUIN Number	Char(10)	Mandatory field
19	EUIN Declaration	Char(1)	Y or N. Mandatory field
20	DPC Flag	VARCHAR (1)	Y/N
21	Sub- broker ARN	VARCHAR (20)	Non - Mandatory field, it should start with ARN

SIP REGISTRATION CANCELLATION BULK UPLOAD

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	STATUS	VARCHAR(10)	ACTIVE /CXL
2	MEMBERCODE	VARCHAR(10)	
3	CLIENT CODE	VARCHAR(10)	
4	CLIENT NAME	VARCHAR(70)	
5	INTERNAL REF NO	VARCHAR(10)	
6	SIP REGN NO	VARCHAR(7)	Auto generated by System
7	SIP REGN DATE	VARCHAR(11)	Format : dd mmm yyyy
8	AMC NAME	VARCHAR(50)	
9	RTA SCHEME CODE	VARCHAR(5)	
10	SCHEME NAME	VARCHAR(200)	
11	FREQUENCY TYPE	VARCHAR(20)	
12	START DATE	VARCHAR(11)	
13	END DATE	VARCHAR(11)	
14	INSTALLMENT AMOUNT	MONEY	
15	ENTRYBY	VARCHAR(15)	
16	DPC Flag	VARCHAR (1)	Y/N
17	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
18	First Order Today	VARCHAR (1)	Y/N
16	SUBBRCODE	VARCHAR(15)	
17	EUIN	VARCHAR(10)	
18	EUIN DECL	VARCHAR(1)	

SIP REGISTRATION REPORT

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	STATUS	VARCHAR(10)	ACTIVE /CXL
2	MEMBERCODE	VARCHAR(10)	
3	CLIENT CODE	VARCHAR(10)	
4	CLIENT NAME	VARCHAR(70)	
5	INTERNAL REF NO	VARCHAR(10)	
6	SIP REGN NO	VARCHAR(7)	Auto generated by System
7	SIP REGN DATE	VARCHAR(11)	Format : dd mmm yyyy
8	AMC NAME	VARCHAR(50)	
9	RTA SCHEME CODE	VARCHAR(5)	
10	SCHEME NAME	VARCHAR(200)	
11	FREQUENCY TYPE	VARCHAR(20)	
12	START DATE	VARCHAR(11)	
13	END DATE	VARCHAR(11)	
14	INSTALLMENT AMOUNT	MONEY	
15	ENTRYBY	VARCHAR(15)	
16	DPC Flag	VARCHAR (1)	Y/N
17	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
18	First Order Today	VARCHAR (1)	Y/N
19	SUBBRCODE	VARCHAR(15)	
20	EUIN	VARCHAR(10)	
21	EUIN DECL	VARCHAR(1)	
22	Folio Number	VARCHAR (20)	
23	Remarks	VARCHAR (100)	
24	Sub broker ARN Code	VARCHAR (20)	Non-Mandatory field, it will start with ARN
25	No. of instalments	INT	

SIP INSTALLMENT DUE REPORT (NEW)

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	Mem Cd	VARCHAR(10)	
2	Client Code	VARCHAR(10)	
3	Client Name	VARCHAR(70)	
4	Internal Ref No	VARCHAR(10)	
5	SIP Regn Number	VARCHAR(7)	Auto generated by System
6	Regn Date	VARCHAR(11)	Format : dd mmm yyyy
7	AMC NAME	VARCHAR(50)	
8	Scheme Code	VARCHAR(5)	
9	Scheme Name	VARCHAR(200)	
10	Frequency Type	VARCHAR(20)	
11	Installment Amt	MONEY	
12	Due Date	VARCHAR(11)	
13	Prev Paid date	VARCHAR(11)	
14	No. Of Installments Paid	VARCHAR(2)	
15	Total Installment Amt Paid	MONEY	
16	Entry By	VARCHAR(15)	
17	Sch Type	VARCHAR(20)	
18	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
19	First Order Today	VARCHAR (1)	Y/N

SIP CANCELLATION REPORT

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	Member Code	VARCHAR(10)	
2	Client Code	VARCHAR(10)	
3	Client Name	VARCHAR(70)	
4	Internal Ref Num	VARCHAR(10)	
5	SIP Regn No	VARCHAR(7)	Auto generated by System
6	SIP Regn Date	VARCHAR(11)	Format : dd mmm yyyy
7	SIP Cancellation Date	VARCHAR(11)	Format : dd mmm yyyy
8	AMC Name	VARCHAR(50)	
9	Scheme Code	VARCHAR(5)	
10	Scheme Name	VARCHAR(200)	
11	Frequency Type	VARCHAR(20)	
12	Start Date	VARCHAR(11)	
13	End Date	VARCHAR(11)	
14	Next Due Date	VARCHAR(11)	
15	No. Of Installments Paid	VARCHAR(2)	
16	Installments Amt	MONEY	
17	Total Installment Amt Paid	MONEY	
18	Cancelled By	VARCHAR(10)	

XSIP/ISIP REGISTRATION - UPLOAD FORMAT

Note: Should be a Pipe separated file

SR NO	FIELD DESCRIPTION	Field Type	DESCRIPTION
1	AMC Code	Varchar(50)	
2	Sch Code	Varchar(20)	
3	Client Code	Varchar(20)	
4	Internal Ref No.	Varchar(10)	
5	Trans Mode	Varchar(1)	D/P
6	DP TXN Mode	Varchar(1)	C/N/P
7	Start Date	Varchar(10)	DD/MM/YYYY
8	Frequency Type	Varchar(20)	WEEKLY/MONTHLY/QUARTERLY/SEMI-ANNUALLY/ANNUALLY.
9	Frequency Allowed	Int	Rolling
10	Installment Amount	Int	
11	Status	Int	1=Active, 0=Inactive
12	Member Code	Varchar (20)	
13	Folio No.	Varchar (20)	
14	SIP Remarks	Varchar (100)	
15	Installment No.	Int	
16	Brokerage	money	
17	XSIP Mandate ID	bigint	
18	Sub Broker Code	Char(15)	
19	EUIN Number	Char(10)	Mandatory field

20	EUIN Declaration	Char(1)	Y or N. Mandatory field).
21	DPC Flag	VARCHAR (1)	Y/N
22	First Order Today	VARCHAR (1)	Y/N
23	ISIP mandate	VARCHAR (15)	
24	Sub- broker ARN	VARCHAR (1)	non - mandatory field, it should start with ARN

X-SIP REGISTRATION REPORT

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	STATUS	VARCHAR(10)	ACTIVE /CXL
2	MEMBERCODE	VARCHAR(10)	
3	CLIENT CODE	VARCHAR(10)	
4	CLIENT NAME	VARCHAR(70)	
5	INTERNAL REF NO	VARCHAR(10)	
6	XSIP REGN NO	VARCHAR(7)	Auto generated by System
7	XSIP REGN DATE	VARCHAR(11)	Format : dd mmm yyyy
8	AMC NAME	VARCHAR(50)	
9	RTA SCHEME CODE	VARCHAR(5)	
10	SCHEME NAME	VARCHAR(200)	
11	FREQUENCY TYPE	VARCHAR(20)	
12	START DATE	VARCHAR(11)	
13	END DATE	VARCHAR(11)	
14	INSTALLMENT AMOUNT	MONEY	
16	BROKERAGE	MONEY	
17	ENTRYBY	VARCHAR(15)	
18	BSE MANDATE ID	Varchar(7)	
19	DPC Flag	VARCHAR (1)	Y/N

20	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
21	SUBBR CODE	VARCHAR(15)	
22	EUIN No.	VARCHAR(10)	Mandatory field
23	EUIN DECL	VARCHAR(1)	Y or N (Mandatory field)
24	First Order Today	VARCHAR (1)	Y/N
25	Folio Number	Varchar (20)	
26	Remarks	Varchar (100)	
27	Sub broker ARN	VARCHAR (20)	Non - Mandatory field, it will start with ARN
28	No. of instalments	Int	

X-SIP REGISTRATION CANCELLATION BULK UPLOAD

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	STATUS	VARCHAR(10)	ACTIVE /CXL
2	MEMBERCODE	VARCHAR(10)	
3	CLIENT CODE	VARCHAR(10)	
4	CLIENT NAME	VARCHAR(70)	
5	INTERNAL REF NO	VARCHAR(10)	
6	XSIP REGN NO	VARCHAR(7)	Auto generated by System
7	XSIP REGN DATE	VARCHAR(11)	Format : dd mmm yyyy
8	AMC NAME	VARCHAR(50)	
9	RTA SCHEME CODE	VARCHAR(5)	
10	SCHEME NAME	VARCHAR(200)	
11	FREQUENCY TYPE	VARCHAR(20)	
12	START DATE	VARCHAR(11)	
13	END DATE	VARCHAR(11)	
14	INSTALLMENT AMOUNT	MONEY	
16	BROKERAGE	MONEY	
17	ENTRYBY	VARCHAR(15)	
18	BSE MANDATE ID	Varchar(7)	
19	DPC Flag	VARCHAR (1)	Y/N

20	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
21	SUBBR CODE	VARCHAR(15)	
22	EUIN No.	VARCHAR(10)	Mandatory field
23	EUIN DECL	VARCHAR(1)	Y or N (Mandatory field)
24	First Order Today	VARCHAR (1)	Y/N

X-SIP INSTALLMENT DUE REPORT

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	Mem Cd	VARCHAR(10)	
2	Client Code	VARCHAR(10)	
3	Client Name	VARCHAR(70)	
4	Internal Ref No	VARCHAR(10)	
5	SIP Regn Number	VARCHAR(7)	Auto generated by System
6	Regn Date	VARCHAR(11)	Format : dd mmm yyyy
7	AMC NAME	VARCHAR(50)	
8	Scheme Code	VARCHAR(5)	
9	Scheme Name	VARCHAR(200)	
10	Frequency Type	VARCHAR(20)	
11	Installment Amt	MONEY	
12	Due Date	VARCHAR(11)	
13	Prev Paid date	VARCHAR(11)	
14	No. Of Installments Paid	VARCHAR(2)	
15	Total Installment Amt Paid	MONEY	
16	Entry By	VARCHAR(15)	
17	Mandate ID	VARCHAR(15)	

17	Sch Type	VARCHAR(15)	
18	DP Trans.	VARCHAR (10)	PHYSICAL / DEMAT
19	First Order Today	VARCHAR (1)	Y/N

X-SIP CANCELLATION REPORT

SR NO	FIELD DESCRIPTION	Field Type	REMARKS
1	STATUS	VARCHAR(10)	ACTIVE /CXL
2	MEMBERCODE	VARCHAR(10)	
3	CLIENT CODE	VARCHAR(10)	
4	CLIENT NAME	VARCHAR(70)	
5	INTERNAL REF NO	VARCHAR(10)	
6	XSIP REGN NO	VARCHAR(7)	Auto generated by System
7	XSIP REGN DATE	VARCHAR(11)	Format : dd mmm yyyy
8	XSIP CANCELLATION DATE	VARCHAR(11)	Format : dd mmm yyyy
9	AMC NAME	VARCHAR(50)	
10	SCHEME CODE	VARCHAR(5)	
11	SCHEME NAME	VARCHAR(200)	
12	FREQUENCY TYPE	VARCHAR(20)	
13	START DATE	VARCHAR(11)	
14	END DATE	VARCHAR(11)	
15	Next Due Date	VARCHAR(11)	
16	No. Of Installments Paid	VARCHAR(2)	
17	Installments Amt	MONEY	
18	BROKERAGE	MONEY	

19	Total Installment Amt Paid	MONEY	
20	CANCELLED BY	VARCHAR(10)	
21	BSE MANDATE ID	Varchar(7)	

XSIP/ISIP Mandate Registration Upload-MFD

Sr. No	FIELD DESCRIPTION	FILED TYPE	DESCRIPTION
1	Member Code	VARCHAR(10)	
2	Client Code	VARCHAR(10)	
3	Amount	MONEY	
4	IFSC Code	VARCHAR(11)	
5	Account Number	VARCHAR(20)	
6	Mandate Type	CHAR(1)	X/I

XSIP Mandate Registration Upload-MFI

SR NO	FIELD DESCRIPTION	Field Type	DESCRIPTION
1	Client Code	VARCHAR(10)	
2	Client Name	VARCHAR(70)	
3	Amount	MONEY	
4	Bank Name	CHAR(40)	
5	Branch	CHAR(40)	
6	Mandate Type	CHAR(1)	X

ISIP Mandate Registration Upload-MFI

SR NO	FIELD DESCRIPTION	Field Type	DESCRIPTION
1	Client Code	VARCHAR(10)	
2	Client Name	VARCHAR(70)	
3	Amount	MONEY	
4	Bank Name	CHAR(40)	
5	Branch	CHAR(40)	
6	Mandate Type	CHAR(1)	I
7	Bank Account Number	VARCHAR(20)	

Mandate Registration Response

For XSIP Mandate ID Mandate ID Length is less than 15 digits

For ISIP Mandate ID length = 15 digit and First **THREE** Char starts with 'BSE' like '**BSE521824730118**'

ALLOTMENT STATEMENT (NEW)

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N - Sr No
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMECODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMECODE	VARCHAR	10	
15	RTATRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	ALLOTTED NAV	NUMBER	4 DECIMALS	
20	ALLOTTED UNIT	NUMBER	3 DECIMALS	
21	ALLOTMENT AMT	NUMBER	2 DECIMALS	
22	VALIDFLAG	VARCHAR	1	Y - VALID N - INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	INTERNAL REF NO	VARCHAR	10	
26	Order Type	VARCHAR	3	NRM/SIP/XSP
27	SIP REGN NO	BIGINT		
28	SIP REGN DATE	DATE		DD/MM/YYYY
29	SUBBRCODE	VARCHAR	15	
30	EUIN	VARCHAR	10	

31	EUIN DECL	VARCHAR	1	
32	DPC Flag	VARCHAR	1	Y/N
33	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
34	Order Sub Type	VARCHAR	6	NRM/SWITCH/SPREAD

REDEMPTION STATEMENT (NEW)

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORTDATE	VARCHAR	8	YYYY-MM-DD
2	ORDERNO	NUMBER	16	
3	SETTTYPE	VARCHAR	2	MF
4	SETTNO	VARCHAR	7	0910 - FINANCIAL YEAR 00N - Sr No
5	ORDERDATE	VARCHAR	8	YYYY-MM-DD
6	SCHEMEOCODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBERID	VARCHAR	10	
11	BRANCHCODE	VARCHAR	10	
12	USERID	NUMBER		
13	FOLIONO	VARCHAR	16	
14	RTASCHEMEOCODE	VARCHAR	10	
15	RTATRANSNO	VARCHAR	20	
16	CLIENTCODE	VARCHAR	10	
17	CLIENTNAME	VARCHAR	70	
18	BENEFICIARYID	VARCHAR	16	
19	NAV	NUMBER	4 DECIMALS	
20	UNIT	NUMBER	3 DECIMALS	
21	AMT	NUMBER	2 DECIMALS	
22	VALIDFLAG	VARCHAR	1	Y - VALID N - INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	DPC Flag	VARCHAR	1	Y/N
26	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
27	Order Type	VARCHAR	6	NRM/SWITCH/SPREAD
28	Order Sub Type	VARCHAR	6	NRM/SWITCH/SPREAD

OVERNIGHT/ SPREAD ORDER (BULK UPLOAD)

S.No.	Field Name	Data Type	Size	Remarks
1	SCHEME CODE	CHAR	20	Valid Scheme Code (L0)
2	Purchase / Redeem	CHAR	1	P – Purchase (by default)
3	Buy Sell Type	CHAR	10	FRESH ADDITIONAL
4	Client Code (10 Chars)	CHAR	10	Mandatory. As mentioned in the UCC Registration
5	Demat / Physical	CHAR	1	P – PHYSICAL
6	Order Val AMOUNT	NUMERIC	16,2	Amount for Purchase
7	Folio No (10 digits)	CHAR	10	Mandatory in case of Additional Purchase in Physical Form or Redemption in Physical form
8	Remarks	CHAR	200	Max 200 chars
9	KYC Flag	CHAR	1	Y or N. Mandatory in case of any purchases above Rs.50000/-
10	Sub Broker Code	CHAR	15	
11	EUIN Number	CHAR	10	
12	EUIN Declaration	CHAR	1	Y or N. Mandatory field.
13	MIN redemption flag	CHAR	1	Y or N. Mandatory field. In case of purchases it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.
14	DPC Flag	CHAR	1	Y or N. Mandatory Field for both physical and demat
15	All Units	CHAR	1	Y or N. Mandatory field in case of physical redemption transactions. If the flag is “Y”, then the amount and units fields will be blank. In case of demat, keep it as blank.
16	Redemption Amount	NUMERIC	25,3	Amount for Redemption
17	Redemption Date	DATE		MM/DD/YYYY
18	Sub- broker ARN	varchar	20	Non - Mandatory field, it should start with ARN

SPREAD ORDER REPORT

S No	Field Name	Data Type	Field Size	Remarks
1	Transaction Date	DATE	MM/DD/YYYY	
2	Member Code	VARCHAR	10	
3	Client Code	VARCHAR	10	
4	Purchase Order Number	NUMBER	10	
5	Purchase Folio Number	VARCHAR	16	
6	Purchase Amount	NUMERIC		3 DECIMALS
7	Redemption Date	DATE	MM/DD/YYYY	
8	Redemption Folio Number	VARCHAR	16	
9	Redemption Amount	NUMERIC	25,3	3 DECIMALS
10	All Units Flag	VARCHAR	1	Y or N.
11	Redemption Order Flag	VARCHAR	1	Y or N.
12	Redemption Order Number	NUMBER	10	

SWITCH TRANSACTIONS (BULK UPLOAD)

S.No.	Field Name	Type	Size	Remarks
1	From SCHEME CODE	Char	20	BSE Scheme code
2	To SCHEME CODE	Char	20	BSE Scheme code
3	Buy / Sell Type	Char	10	Fresh/Additional
4	Client Code (10 Chars)	Char	10	Mandatory (to be mentioned as per UCC Registration)
5	Demat / Physical	Char	1	C – CDSL/N-NSDL/P-PHYSICAL
6	Amount	Numeric	16,2	Amount for Switch
7	Units	Numeric	25,3	
8	All Units	Char	1	Y or N - Mandatory field
9	Folio No (10 digits)	Char	10	Mandatory
10	Remarks	Char	200	Max 200 chars
11	KYC Flag	Char	1	Y or N. Mandatory in case of any purchases above Rs.50000/-
12	Sub Broker Code	Char	15	
13	EUIN Number	Char	7	Mandatory
14	EUIN Declaration	Char	1	Y or N - Mandatory field.
15	Sub- broker ARN	Varchar	20	Non - Mandatory field, it should start with ARN

PAYMENT REJECTION (BULK UPLOAD)

S.No.	Field Name	Data Type	Size	Remarks
1	Member Code	VARCHAR	10	
2	Client Code	VARCHAR	10	Mandatory. As mentioned in the UCC Registration
3	Order No	VARCHAR	16	
4	Settlement No	VARCHAR	7	0910 - FINANCIAL YEAR 0001 - SR NO

Eg:

Member ID|Client Code|Order No|Settlement No

STP Bulk Upload Format

S.No.	Field Name	Data Type	Size	Remarks
1	Client Code	varchar	10	
2	From BSE Scheme Code	varchar	20	
3	To BSE Scheme Code	varchar	20	
4	Buy / Sell Type	varchar	10	Fresh/Additional
5	Transaction Mode	varchar	1	P- physical
6	Folio Number	varchar	15	
7	Internal Ref Number	varchar	10	
8	Start Date	date	10	
9	Frequency Type	varchar	20	Weekly / Monthly / Quarterly
10	No Of Transfers	int	8	
11	Installment Amount	numeric	25,3	
12	First Order Today	varchar	1	Y/N
13	Sub Broker Code	varchar	15	
14	EUIN Declaration	varchar	1	Y/N
15	EUIN Number	varchar	7	
16	Remarks	varchar	100	

STP Cancellation Upload Format

S.No.	Field Name	Data Type	Size
1	Client Code	varchar	10
2	STP Regn. NO.	varchar	8
3	Remarks	varchar	100

STP Registration Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Status	VARCHAR	10	
2	Member Code	VARCHAR	20	
3	Client Code	VARCHAR	10	
4	STP Registration No.	BIGINT		
5	Folio No	VARCHAR	15	
6	Internal Ref. No	VARCHAR	20	
7	From AMC Name	VARCHAR	255	
8	To AMC Name	VARCHAR	255	
9	From Scheme Name	VARCHAR	255	
10	To Scheme Name	VARCHAR	255	
11	STP Registration Date	VARCHAR	11	DD MMM YYYY
12	STP Start Date	VARCHAR	11	DD MMM YYYY
13	STP End Date	VARCHAR	11	DD MMM YYYY
14	Frequency Type	VARCHAR	20	
15	Trxn. Mode (Physical/Demat)	VARCHAR	10	
16	Transfer Amount	MONEY		
17	No. of Transfers	INT		
18	First Order Today	VARCHAR	1	Y/N
19	EUIN Declaration	VARCHAR	1	Y/N
20	EUIN Number	VARCHAR	10	
21	Sub Br Code	VARCHAR	15	
22	Remarks	VARCHAR	100	
23	SUB BROKER - ARN	VARCHAR	20	non - mandatory
24	Buy / Sell Type	VARCHAR	10	Fresh/Additional
25	From BSE Scheme Code	VARCHAR	20	
26	To BSE Scheme Code	VARCHAR	20	

STP Installment Due Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Member Code	VARCHAR	20	
2	Client Code	VARCHAR	10	
3	Client Name	VARCHAR	70	
4	STP Registration No.	BIGINT		
5	Folio No	VARCHAR	50	
6	Internal Ref. No	VARCHAR	20	
7	STP Registration Date	VARCHAR	11	DD MMM YYYY
8	From AMC Name	VARCHAR	255	
9	To AMC Name	VARCHAR	255	
10	Scheme Code	VARCHAR	20	
11	From Scheme Name	VARCHAR	255	
12	To Scheme Name	VARCHAR	255	
13	Frequency Type	VARCHAR	20	
14	Installment Amount	MONEY		
15	Due Date	VARCHAR	11	DD MMM YYYY
16	Prev Paid date	VARCHAR	11	DD MMM YYYY
17	No. Of Installments Paid	INT		
18	Total Installment Amount Paid	MONEY		
19	Entry By	VARCHAR	20	
20	DP Trans	VARCHAR	10	
21	First Order Today	VARCHAR	1	Y/N
22	EUIN Declaration	VARCHAR	1	Y/N
23	EUIN Number	VARCHAR	10	
24	Sub Br Code	VARCHAR	15	
25	Remarks	VARCHAR	100	

SWP Bulk Upload Format

S.No.	Field Name	Data Type	Size	Remarks
1	client code	varchar	10	
2	BSE scheme code	varchar	20	
3	transaction mode	varchar	1	D- Demat / P - Physical
4	folio number	varchar	15	
5	internal ref number	varchar	10	
6	start date	date	10	
7	number of withdrawals	int	8	
8	frequency type	varchar	20	Weekly / Monthly / Quarterly
9	installment amount	numeric	25,3	
10	installment units	numeric	25,3	
11	First order today	varchar	1	Y/N
12	sub broker code	varchar	15	
13	EUIN declaration	varchar	1	Y/N
14	EUIN number	varchar	7	
15	remarks	varchar	100	
16	SUB BROKER - ARN	varchar	20	Non- Mandatory

SWP Cancellation Upload Format

S.No.	Field Name	Data Type	Size
1	Client Code	varchar	10
2	SWP Regn. NO.	varchar	8
3	Remarks	varchar	100

SWP Registration Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Status	VARCHAR	10	
2	Member Code	VARCHAR	20	
3	Client Code	VARCHAR	10	
4	SWP Registration No.	BIGINT		
5	Folio No.	VARCHAR	15	
6	AMC	VARCHAR	255	
7	Scheme Name	VARCHAR	255	
8	Frequency Type	VARCHAR	10	
9	SWP Registration Date	VARCHAR	11	DD MMM YYYY
10	SWP Start Date	VARCHAR	11	DD MMM YYYY
11	SWP End Date	VARCHAR	11	DD MMM YYYY
12	Withdrawal Amount	MONEY		
13	Withdrawal Units	MONEY		
14	No. of Withdrawals	INT		
15	EUIN Declaration	VARCHAR	1	Y/N
16	EUIN Number	VARCHAR	10	
17	SubBr.Code	VARCHAR	15	
18	First Order Today	VARCHAR	1	Y/N
19	Int Ref No	VARCHAR	20	
20	Remark	VARCHAR	100	
21	SUB BROKER - ARN	VARCHAR	20	Non- Mandatory
22	BSE Scheme Code	VARCHAR	20	
23	Transaction Mode	VARCHAR	1	D- Demat / P - Physical

SWP Installment Due Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Member Code	VARCHAR	20	
2	Client Code	VARCHAR	10	
3	Client Name	VARCHAR	50	
4	SWP Regn No.	BIGINT		
5	Folio No.	VARCHAR	15	
6	Internal Ref. No	VARCHAR	20	
7	Regn Date	VARCHAR	11	DD MMM YYYY
8	AMC NAME	VARCHAR	255	
9	Scheme Code	VARCHAR	20	
10	Scheme Name	VARCHAR	255	
11	Frequency Type	VARCHAR	20	
12	Installment Amt.	MONEY		
13	installment units	MONEY		
14	Due Date	VARCHAR	11	DD MMM YYYY
15	Prev Paid date	VARCHAR	11	DD MMM YYYY
16	No. Of Installments Paid	INT		
17	Total Installment Amt Sold	MONEY		
18	Unit Sold	MONEY		
19	Entry By	VARCHAR	20	
20	DP Trans	VARCHAR	10	
21	EUIN Declaration	VARCHAR	1	Y/N
22	EUIN Number	VARCHAR	10	
23	SubBr.Code	VARCHAR	15	
24	First Order Flag	VARCHAR	1	Y/N
25	Remark	VARCHAR	100	

SWP Cancellation Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Member Code	varchar	20	
2	Client Code	varchar	10	
3	SWP Registration No.	bigint		
4	Folio No.	varchar	15	
5	AMC	varchar	255	
6	Scheme Name	varchar	255	
7	SWP Registration Date	varchar	11	DD MMM YYYY
8	SWP Start Date	varchar	11	DD MMM YYYY
9	SWP End Date	varchar	11	DD MMM YYYY
10	Withdrawal Amount	money		
11	No. of withdrawals	int		
12	EUIN Declaration	varchar	1	Y/N
13	EUIN Number	varchar	10	
14	SubBr.Code	varchar	15	
15	Remark	varchar	100	
16	Total Installment Amt Paid	int		
17	SWP cancelled by	varchar	20	

Switch Report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Transaction Date	varchar	10	DD/MM/YYYY
2	Order No	BIGINT		
3	Member Code	varchar	20	
4	Client Code	varchar	10	
5	From AMC	varchar	255	
6	To AMC	varchar	255	
7	Transaction Type(Demat/Physical)	varchar	10	
8	Buy/Sell Type	varchar	10	
9	Switch Out Scheme	varchar	255	
10	Switch In Scheme	varchar	255	
11	Switch Amount	MONEY		
12	Switch Units	MONEY		
13	ALLUnits	varchar	1	Y/N
14	FolioNo	varchar	15	
15	Remark	varchar	100	
16	Sub-Broker ARN Code	varchar	15	
17	EUINFlag	varchar	1	Y/N
18	EUINNo	varchar	10	

Redemption Order SMS and Email log details

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	SrNo	bigint		
2	OrderNo	bigint		
3	RefNo	VARCHAR	10	
4	MemberName	VARCHAR	100	
5	MemberCode	VARCHAR	20	
6	ClientName	VARCHAR	70	
7	ClientCode	VARCHAR	10	
8	SchemeName	VARCHAR	255	
9	SchemeCode	VARCHAR	20	
10	MobileNumber	VARCHAR	15	
11	ToEmailID	VARCHAR	50	
12	Amount	money		
13	Qty	money		
14	AllUnitFlag	VARCHAR	1	Y/N
15	Entry Date	VARCHAR	11	DD MMM YYYY
16	Reply Status	VARCHAR	1	Y
17	Reply Received From	VARCHAR	10	
18	Created On	VARCHAR	11	DD MMM YYYY
19	Modified On	VARCHAR	11	DD MMM YYYY
20	Time Of Reply	VARCHAR	20	DD MMM YYYY HH:MM:SS:NNN

Payment gateway email log

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Member Code	VARCHAR	20	
2	Member Name	VARCHAR	100	
3	Client Code	VARCHAR	10	
4	Client Name	VARCHAR	70	
5	Order No	BIGINT		
6	ToEmailAddr	VARCHAR	50	
7	MailSentFlag	VARCHAR	1	Y/N
8	MailSentRemarks	VARCHAR	250	
9	Created On	VARCHAR	18	DD/MM/YYYY HH:MM:SS AM/PM

Image upload report

SR NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Date	DATE	10	DD/MM/YYYY
2	MemberCode	VARCHAR	20	
3	ClientCode	VARCHAR	10	
4	ApplicantName	VARCHAR	70	
5	Taxstatus	VARCHAR	2	
6	ModeOfHolding	VARCHAR	2	
7	PANNo	VARCHAR	10	
8	PaNNo2	VARCHAR	10	
9	PaNNo3	VARCHAR	10	
10	GuardianPan	VARCHAR	10	
11	TimeOfUpload	VARCHAR	HH:MM	

CLIENT FATCA REPORT UPLOAD

SR NO	FIELD DESCRIPTION	Field Type	M/O M- Mandatory O- Optional	Description
1	PAN_RP	Varchar(10)	M	PAN of the Reporting Person - Investor / Guardian - Mandatory
2	PEKRN	Varchar(10)	M [If PAN column in Null]	PAN Exempt KYC Ref No. of the Investor/Guardian - Mandatory if PAN is not provided
3	INV_NAME	Varchar(70)	M	Name of the Investor / Guardian - Mandatory
4	DOB	Date	M [if PAN is not provided]	Date of Birth
5	FR_NAME	Varchar(70)	M [if PAN is not provided]	
6	SP_NAME	Varchar(70)	M [if PAN is not provided]	
7	TAX_STATUS	Varchar(3)	M	Tax Status of the investor - Refer Tax Status Master
8	DATA_SRC	Varchar(3)	M	This is to indicated data sources whether it is obtained Electronically or through physical request; Values - 'E' / 'P'
9	ADDR_TYPE	Varchar(1)	M	1 - Residential or Business; 2 - Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified
10	PO_BIR_INC	Varchar(60)	M	Applicable to Individuals/Non Individuals
11	CO_BIR_INC	Varchar(50)	M	Refer Country/Nationality master enclosed and provide values accordingly

			M	Refer Country/Nationality master enclosed and provide values accordingly
12	TAX_RES1	Varchar(50)		
13	TPIN1	Varchar(20)	M	Tax Payer Identification Number / other Equivalent Number
14	ID1_TYPE	Varchar(1)	M	Type of Identification document to be provided - Refer Identification Type master
15	TAX_RES2	Varchar(50)	M	Refer Country/Nationality master enclosed and provide values accordingly
16	TPIN2	Varchar(20)	M	Tax Payer Identification Number / other Equivalent Number
17	ID2_TYPE	Varchar(1)	M	Type of Identification document to be provided - Refer Identification Type master
18	TAX_RES3	Varchar(50)	M	Refer Country/Nationality master enclosed and provide values accordingly
19	TPIN3	Varchar(20)	M	Tax Payer Identification Number / other Equivalent Number
20	ID3_TYPE	Varchar(1)	M	Type of Identification document to be provided - Refer Identification Type master
21	TAX_RES4	Varchar(50)	M	Refer Country/Nationality master enclosed and provide values accordingly
22	TPIN4	Varchar(20)	M	Tax Payer Identification Number / other Equivalent Number

			M	Type of Identification document to be provided - Refer Identification Type master
23	ID4_TYPE	Varchar(1)		
24	SRCE_WEALT	Varchar(3)	M	Refer Source of wealth Sheet for values to be populated
25	CORP_SERVS	Varchar(2)	M for Non-Individuals	01 - Foreign Exchange / Money Changer Services 02 - Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates] 03 - Money laundering / Pawning 04 – to be blank if the same is not applicable
26	INC_SLAB	Varchar(5)	M	App_Income_code as indicated in the app_income master to be provided
27	NET_WORTH	Numeric (19,2)	M for Non-Individuals	Provide the net worth of the investor only if the same is Corporate Category [INR. In Lacs]
28	NW_DATE	Date	M for Non-Individuals	Provide the net worth of the investor
29	PEP_FLAG	Varchar(2)	M for Individuals	Values to be 'Y' if the investor is politically exposed person; 'N' if the investor is not; 'R' if the investor is a relative of the politically exposed person
30	OCC_CODE	Varchar(2)	M	Values to be provided as per the Occupation Master enclosed
31	OCC_TYPE	Varchar(1)	M	S - Service; B - Business, O - Others; X - Not Categorized
32	EXEMP_CODE	Varchar(2)	M for Non-Individuals	Provide the values as specified under 'Exemption Code' sheet
33	FFI_DRNFE	Varchar(20)	M for Non-Individuals	Values - FFI, DRNFE, NA

34	GIIN_NO	Varchar(19)	M for Non-Individuals	Applicable to FFI, DRNFE, NA
35	SPR_ENTITY	Varchar(60)	O	Name of the Sponsoring Entity if GIIN_NO of Sponsoring Entity is given
36	GIIN_NA	Varchar(3)	O	Value to be provided: AF - Applied for; NR - Not required to apply for; NO - Not obtained - Non-participating FI
37	GIIN_EXEMC	Varchar(2)	O	Refer GIIN_EXEMPT sheet and applicable codes to be populated
38	NFFE_CATG	Varchar(3)	O	L - Listed entity; RL - Related to listed entity; A-Active NFFE, P - Passive NFFE, NA - Not Applicable - for Non NFFE
39	ACT_NFE_SC	Varchar(3)	O	Refer sheet 'ACTIVE_NFE_SUB_CATG' and populate suitable values
40	NATURE_BUS	Varchar(30)	M if NFFE_CATG is 'A' or 'P'	Applicable for Active NFE & Passive NFE
41	REL_LISTED	Varchar(70)	O	Name of the Listed Company where Entity is its associate / related company
42	EXCH_NAME	Varchar(2)	M	B - BSE, N - NSE, O - Others
43	UBO_APPL	Varchar(2)	M	Values should be 'Y' / 'N'. Default - 'Y' for Entities for other than Listed Company / Group / Associate Company of the Listed Company / Company controlled by Listed Company

			M [if UBO_APPL is 'Y']	Provide the count of UBO, if the value is more than '1', then multiple rows to be provided as per the count i.e. if the count is 2, additional one row with same investor PAN and only UBO details will be different
44	UBO_COUNT	Varchar(3)		
45	UBO_NAME	Varchar(70)	M [if UBO_APPL is 'Y']	Name of the UBO
46	UBO_PAN	Varchar(10)	M / O [if UBO_TIN is not provided, this field is mandatory]	PAN of UBO to be provided
47	UBO_NATION	Varchar(3)	M [if UBO_APPL is 'Y']	Refer Country/Nationality master enclosed and provide values accordingly
48	UBO_ADD1	Varchar(50)	M [if UBO_APPL is 'Y']	
49	UBO_ADD2	Varchar(50)	M [if UBO_APPL is 'Y']	
50	UBO_ADD3	Varchar(50)	M [if UBO_APPL is 'Y']	
51	UBO_CITY	Varchar(50)	M [if UBO_APPL is 'Y']	
52	UBO_PIN	Varchar(6)	M [if UBO_APPL is 'Y']	
53	UBO_STATE	Varchar(3)	M [if UBO_APPL is 'Y']	State Code to be provided as per the existing practice
54	UBO_CNTRY	Varchar(4)	M [if UBO_APPL is 'Y']	Refer Country/Nationality master enclosed and provide values accordingly

			M [if UBO_APPL is 'Y']	1 - Residential or Business; 2 - Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified
55	UBO_ADD_TY	Varchar(2)		
56	UBO_CTR	Varchar(4)	M [if UBO_APPL is 'Y']	UBO's Country of Tax Residency - Refer Country/Nationality master enclosed and provide values accordingly
57	UBO_TIN	Varchar(20)	M / O [if UBO_PAN is not provided, this field is mandatory]	UBO's Tax Payer Identification Number or any other relevant reference number
58	UBO_ID_TY	Varchar(2)	M / O [if UBO_APPL is 'Y']	Refer Identification type master given
59	UBO_COB	Varchar(30)	M [if UBO_APPL is 'Y']	UBO Country of Birth
60	UBO_DOB	Date	O	UBO Date of Birth
61	UBO_GENDER	Varchar(1)	O	Value to be provided as 'M' or 'F' or 'O'
62	UBO_FR_NAM	Varchar(50)	O	UBO Father's name to be provided
63	UBO_OCC	Varchar(2)	O	As per Occupation List
64	UBO_OCC_TY	Varchar(2)	O	S - Service; B - Business, O - Others; X - Not Categorized - Refer Applicable Occupation Type for the relevant Occupation Codes under 'Occupation' sheet
65	UBO_TEL	Varchar(12)	O	UBO Telephone Number
66	UBO_MOBILE	Varchar(12)	O	UBO Mobile Number
67	UBO_CODE	Varchar(3)	M	Code as per master given in UBO_CODE sheet
68	UBO_HOL_PC	Varchar(3)	O	Should not be greater than 100 and less than 0

			M / O	This flag is to indicate whether Values - 'Y', 'N' - Default 'Y' for Entities; Optional for Individuals,
69	SDF_FLAG	Varchar(2)		
			M	Values - 'Y', 'N' - Default 'Y' for Entities and 'N' for Individuals
70	UBO_DF	Varchar(2)		
			O	Aadhaar of the Reporting Person shall be provided
71	AADHAAR_RP	Varchar(30)		
			M / O	N- New - This value should be updated for first time update by the channel distributors for the given PAN C- Change - This Value should be provided for change in already provided information if CP is aware of that this is change in the existing information In case of 'C', Channel should provide PAN_RP or PERKN, Status and applicable fields where change is required
72	NEW_CHANGE	Varchar(2)		
			M / O	Mandatory if DATA_SRC is 'E' Eg. 196.15.16.107#23-Nov-15;16:4
73	LOG_NAME	Varchar(30)		
			O	These columns are added to meet future requirements but CP may provide any other related information in these columns
74	FILLER1	Varchar(30)		
			O	These columns are added to meet future requirements but CP may provide any other related information in these columns
75	FILLER2	Varchar(30)		

Country Nationality

S No	Country Code	Country
1	AD	Andorra
2	AE	United Arab Emirates
3	AF	Afghanistan
4	AG	Antigua And Barbuda
5	AI	Anguilla
6	AL	Albania
7	AM	Armenia
8	AN	Netherlands Antilles
9	AO	Angola
10	AQ	Antarctica
11	AR	Argentina
12	AS	American Samoa
13	AT	Austria
14	AU	Australia
15	AW	Aruba
16	AX	Aland Islands
17	AZ	Azerbaijan
18	BA	Bosnia And Herzegovina
19	BB	Barbados
20	BD	Bangladesh
21	BE	Belgium
22	BF	Burkina Faso
23	BG	Bulgaria
24	BH	Bahrain
25	BI	Burundi
26	BJ	Benin
27	BL	Saint Barthelemy
28	BM	Bermuda
29	BN	Brunei Darussalam
30	BO	Bolivia
31	BQ	Bonaire, Sint Eustatius And Saba
32	BR	Brazil
33	BS	Bahamas
34	BT	Bhutan
35	BV	Bouvet Island

36	BW	Botswana
37	BY	Belarus
38	BZ	Belize
39	CA	Canada
40	CC	Cocos (Keeling) Islands
41	CD	Congo, The Democratic Republic Of The
42	CF	Central African Republic
43	CG	Congo
44	CH	Switzerland
45	CI	Côte D'ivoire
46	CK	Cook Islands
47	CL	Chile
48	CM	Cameroon
49	CN	China
50	CO	Colombia
51	CR	Costa Rica
52	CU	Cuba
53	CV	Cape Verde
54	CW	Curacao
55	CX	Christmas Island
56	CY	Cyprus
57	CZ	Czech Republic
58	DE	Germany
59	DJ	Djibouti
60	DK	Denmark
61	DM	Dominica
62	DO	Dominican Republic
63	DZ	Algeria
64	EC	Ecuador
65	EE	Estonia
66	EG	Egypt
67	EH	Western Sahara
68	ER	Eritrea
69	ES	Spain
70	ET	Ethiopia
71	FI	Finland
72	FJ	Fiji
73	FK	Falkland Islands (Malvinas)
74	FM	Micronesia, Federated States Of

75	FO	Faroe Islands
76	FR	France
77	GA	Gabon
78	GB	United Kingdom
79	GD	Grenada
80	GE	Georgia
81	GF	French Guiana
82	GG	Guernsey
83	GH	Ghana
84	GI	Gibraltar
85	GL	Greenland
86	GM	Gambia
87	GN	Guinea
88	GP	Guadeloupe
89	GQ	Equatorial Guinea
90	GR	Greece
91	GS	South Georgia And The South Sandwich Islands
92	GT	Guatemala
93	GU	Guam
94	GW	Guinea-Bissau
95	GY	Guyana
96	HK	Hong Kong
97	HM	Heard Island And McDonald Islands
98	HN	Honduras
99	HR	Croatia
100	HT	Haiti
101	HU	Hungary
102	ID	Indonesia
103	IE	Ireland
104	IL	Israel
105	IM	Isle Of Man
106	IN	India
107	IO	British Indian Ocean Territory
108	IQ	Iraq
109	IR	Iran, Islamic Republic Of
110	IS	Iceland
111	IT	Italy
112	JE	Jersey

113	JM	Jamaica
114	JO	Jordan
115	JP	Japan
116	KE	Kenya
117	KG	Kyrgyzstan
118	KH	Cambodia
119	KI	Kiribati
120	KM	Comoros
121	KN	Saint Kitts And Nevis
122	KP	Korea, Democratic People's Republic Of
123	KR	Korea, Republic Of
124	KW	Kuwait
125	KY	Cayman Islands
126	KZ	Kazakhstan
127	LA	Lao People's Democratic Republic
128	LB	Lebanon
129	LC	Saint Lucia
130	LI	Liechtenstein
131	LK	Sri Lanka
132	LR	Liberia
133	LS	Lesotho
134	LT	Lithuania
135	LU	Luxembourg
136	LV	Latvia
137	LY	Libyan Arab Jamahiriya
138	MA	Morocco
139	MC	Monaco
140	MD	Moldova, Republic Of
141	ME	Montenegro
142	MF	Saint Martin
143	MG	Madagascar
144	MH	Marshall Islands
145	MK	Macedonia, The Former Yugoslav Republic Of
146	ML	Mali
147	MM	Myanmar
148	MN	Mongolia
149	MO	Macao
150	MP	Northern Mariana Islands

151	MQ	Martinique
152	MR	Mauritania
153	MS	Montserrat
154	MT	Malta
155	MU	Mauritius
156	MV	Maldives
157	MW	Malawi
158	MX	Mexico
159	MY	Malaysia
160	MZ	Mozambique
161	NA	Namibia
162	NC	New Caledonia
163	NE	Niger
164	NF	Norfolk Island
165	NG	Nigeria
166	NI	Nicaragua
167	NL	Netherlands
168	NO	Norway
169	NP	Nepal
170	NR	Nauru
171	NU	Niue
172	NZ	New Zealand
173	OM	Oman
174	PA	Panama
175	PE	Peru
176	PF	French Polynesia
177	PG	Papua New Guinea
178	PH	Philippines
179	PK	Pakistan
180	PL	Poland
181	PM	Saint Pierre And Miquelon
182	PN	Pitcairn
183	PR	Puerto Rico
184	PS	Palestinian Territory, Occupied
185	PT	Portugal
186	PW	Palau
187	PY	Paraguay
188	QA	Qatar
189	RE	Reunion Island

190	RO	Romania
191	RS	Serbia
192	RU	Russian Federation
193	RW	Rwanda
194	SA	Saudi Arabia
195	SB	Solomon Islands
196	SC	Seychelles
197	SD	Sudan
198	SE	Sweden
199	SG	Singapore
200	SH	Saint Helena, Ascension And Tristan da Cunha
201	SI	Slovenia
202	SJ	Svalbard And Jan Mayen Islands
203	SK	Slovakia
204	SL	Sierra Leone
205	SM	San Marino
206	SN	Senegal
207	SO	Somalia
208	SR	Suriname
209	SS	South Sudan
210	ST	Sao Tome And Principe
211	SV	El Salvador
212	SX	Sint Maarten (Dutch Part)
213	SY	Syrian Arab Republic
214	SZ	Swaziland
215	TC	Turks And Caicos Islands
216	TD	Chad
217	TF	French Southern Territories
218	TG	Togo
219	TH	Thailand
220	TJ	Tajikistan
221	TK	Tokelau
222	TL	Timor-Leste
223	TM	Turkmenistan
224	TN	Tunisia
225	TO	Tonga
226	TR	Turkey
227	TT	Trinidad And Tobago

228	TV	Tuvalu
229	TW	Taiwan, Province Of China
230	TZ	Tanzania, United Republic Of
231	UA	Ukraine
232	UG	Uganda
233	UM	United States Minor Outlying Islands
234	US	United States
235	UY	Uruguay
236	UZ	Uzbekistan
237	VA	Vatican City State
238	VC	Saint Vincent And The Grenadines
239	VE	Venezuela, Bolivarian Republic Of
240	VG	Virgin Islands, British
241	VI	Virgin Islands, U.S.
242	VN	Viet Nam
243	VU	Vanuatu
244	WF	Wallis And Futuna
245	WS	Samoa
246	XX	Not categorised
247	YE	Yemen
248	YT	Mayotte
249	ZA	South Africa
250	ZM	Zambia
251	ZW	Zimbabwe
252	ZZ	Others

Source of Wealth

S No	Source	Source Code
1	Salary	01
2	Business Income	02
3	Gift	03
4	Ancestral Property	04
5	Rental Income	05
6	Prize Money	06
7	Royalty	07
8	Others	08

Tax Status

S No	Tax Code	Tax Status	Category
1	01	Individual	R - Retail
2	02	On Behalf Of Minor	R - Retail
3	03	HUF	R - Retail
4	04	Company	C - Corporate
5	05	AOP/BOI	C - Corporate
6	06	Partnership Firm	C - Corporate
7	07	Body Corporate	C - Corporate
8	08	Trust	C - Corporate
9	09	Society	C - Corporate
10	10	Others	C - Corporate
11	11	NRI-Others	R - Retail
12	12	Banks / Financial Institutions	C - Corporate
13	13	Sole Proprietorship	C - Corporate
14	14	Banks	C - Corporate
15	15	Association of Persons	C - Corporate
16	21	NRI - NRE (Repatriation)	R - Retail
17	22	Overseas Corporate Body	C - Corporate
18	23	Foreign Institutional Investor	C - Corporate
19	24	NRI - NRO [Non Repatriation]	R - Retail
20	25	Overseas Corporate Body-Others	C - Corporate
21	26	NRI - Minor (NRE)	R - Retail
22	27	NRI-HUF(NRO)	R - Retail
23	28	NRI - Minor (NRO)	R - Retail
24	29	NRI-HUF(NRE)	R - Retail
25	31	Providend Fund / EPF / PF Trust	C - Corporate
26	32	Superannuation	C - Corporate
27	33	Gratuity Fund	C - Corporate
28	34	Pension and Retirement Fund	C - Corporate
29	36	Mutual Funds FOF Schemes	C - Corporate
30	37	NPS Trust	C - Corporate
31	38	Global Development Network	C - Corporate
32	39	FCRA	C - Corporate
33	41	Qualified Foreign Investor-Individual	R - Retail
34	42	Qualified Foreign Investor-Minors	R - Retail
35	43	Qualified Foreign Investor-Corporate	C - Corporate

36	44	Qualified Foreign Investor-Pension Fund	C - Corporate
37	45	Qualified Foreign Investor-Hedge Funds	C - Corporate
38	46	Qualified Foreign Investor-Mutual Funds	C - Corporate
39	47	Limited Liability Partnership	C - Corporate
40	48	Non-Profit organization [NPO]	C - Corporate
41	51	Public Limited Company	C - Corporate
42	52	Private Limited Company	C - Corporate
43	53	Unlisted Company	C - Corporate
44	54	Mutual Funds	C - Corporate
45	55	FPI - Category I	C - Corporate
46	56	FPI - Category II	C - Corporate
47	57	FPI - Category III	C - Corporate
48	58	Financial Institutions	C - Corporate
49	59	Body of Individuals	C - Corporate
50	60	Insurance Company	C - Corporate
51	61	OCI - Repatriation	R - Retail
52	62	OCI - Non Repatriation	R - Retail
53	70	Person of Indian Origin [PIO]	R - Retail
54	72	Government Body	C - Corporate
55	73	Defence Establishment	C - Corporate
56	74	Non-Government Organisation [NGO]	C - Corporate
57	75	Artificial Juridical Person	C - Corporate
58	76	Trust - Liquidator	C - Corporate

Occupation

S No	Occupation	Occupation Code	Type
1	Business	01	Business
2	Service	02	Service
3	Professional	03	Service
4	Agriculturist	04	Service
5	Retired	05	Others
6	Housewife	06	Others
7	Student	07	Others
8	Others	08	Others
9	Doctor	09	Service

10	Private Sector Service	41	Service
11	Public Sector Service	42	Service
12	Forex Dealer	43	Business
13	Government Service	44	Service
14	Unknown / Not Applicable	99	Others

Applicant Income

S No	Income Code	Income
1	31	Below 1 Lakh
2	32	> 1 <=5 Lacs
3	33	>5 <=10 Lacs
4	34	>10 <= 25 Lacs
5	35	> 25 Lacs < = 1 Crore
6	36	Above 1 Crore

UBO Code

S No	UBO Code	UBO Detail
1	C01	CP of legal person-ownership
2	C02	CP of legal person-other means
3	C03	CP of legal person-senior managing official
4	C04	CP of legal arrangement-trust-settlor
5	C05	CP of legal arrangement-trust-trustee
6	C06	CP of legal arrangement-trust-protector
7	C07	CP of legal arrangement-trust-beneficiary
8	C08	CP of legal arrangement-trust-other
9	C09	CP of legal arrangement-trust-other-settlor equivalent
10	C10	CP of legal arrangement-trust-other-trustee-equivalent
11	C11	CP of legal arrangement-trust-other-protector equivalent
12	C12	CP of legal arrangement-trust-other-beneficiary-equivalent
13	C13	CP of legal arrangement-trust-other-other-equivalent
14	C14	Unknown

Identification Type

S No	Identification Code	Identification Type
1	A	Passport
2	B	Election ID Card
3	C	PAN Card
4	D	ID Card
5	E	Driving License
6	G	UIDIA / Aadhar letter
7	H	NREGA Job Card
8	O	Others
9	X	Not categorized
10	T	TIN [Tax Payer Identification Number]
11	C1	Company Identification Number
12	G1	US GIIN
13	E1	Global Entity Identification Number

State Code

S No	State Code	State Name
1	AN	Andaman & Nicobar
2	AP	Andhra Pradesh
3	AR	Arunachal Pradesh
4	AS	Assam
5	BR	Bihar
6	CH	Chandigarh
7	CG	Chattisgarh
8	DN	Dadra and Nagar Haveli
9	DD	Daman & Diu
10	DL	Delhi
11	GA	Goa
12	GJ	Gujarat
13	HR	Haryana
14	HP	Himachal Pradesh
15	JK	Jammu & Kashmir
16	JH	Jharkhand
17	KA	Karnataka
18	KL	Kerala
19	LD	Lakshadweep
20	MP	Madhya Pradesh
21	MH	Maharashtra

22	MN	Manipur
23	ML	Meghalaya
24	MZ	Mizoram
25	NL	Nagaland
26	OR	Orissa
27	PY	Pondicherry
28	PB	Punjab
29	RJ	Rajasthan
30	SK	Sikkim
31	TN	Tamil Nadu
32	TR	Tripura
33	UP	Uttar Pradesh
34	UA	Uttarakhand
35	WB	West Bengal
36	XX	Others

Exemption Code

S No	Exemption Code	Exemption Reason
1	A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
2	B	The United States or any of its agencies or instrumentalities
3	C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
4	D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
5	E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
6	F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
7	G	A real estate investment trust
8	H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
9	I	A common trust fund as defined in section 584(a)
10	J	A bank as defined in section 581
11	K	A broker
12	L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
13	M	A tax exempt trust under a section 403(b) plan or section 457(g) plan
14	N	Not Applicable

GIIN Exempt

S No	GIIN Exempt Code	GIIN Exempt Detail
1	1	Governmental Entity, International Organization or Central Bank
2	2	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
3	3	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
4	4	Entity is an Indian FI solely because it is an investment entity
5	5	Qualified credit card issuer
6	6	Investment Advisors, Investment Managers& Executing Brokers
7	7	Exempt collective investment vehicle
8	8	Trustee of an Indian Trust
9	9	FI with a local client base
10	10	Non-registering local banks
11	11	FFI with only Low-Value Accounts
12	12	Sponsored investment entity and controlled foreign corporation
13	13	Sponsored, Closely Held Investment Vehicle
14	14	Owner Documented FFI

Active NFE Sub Category

S No	Active NFE Sub Category Code	Active NFE Sub Category Detail
1	01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
2	02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
3	03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
4	04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
5	05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
6	06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

7	07	Any NFE that fulfills all of the following requirements: * It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; * It is exempt from income tax in India; * It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;
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Mandatory Fields for Individual:-

- When PAN is available :-

Sr. Nos.	Name
1	PAN_RP
2	INV_NAME
3	TAX_STATUS
4	DATA_SRC
5	ADDR_TYPE
6	PO_BIR_INC
7	CO_BIR_INC
8	TAX_RES1
9	TPIN1
10	ID1_TYPE
11	SRCE_WEALT
12	INC_SLAB
13	PEP_FLAG
14	OCC_CODE
15	OCC_TYPE
16	EXCH_NAME
17	UBO_APPL
18	SDF_FLAG
19	UBO_DF
20	Log Name

- When PAN is not available:-

21	DOB
22	FR_NAME
23	SP_NAME

Mandatory Fields for Non-Individuals:-

Sr. No.	Name
1	PAN_RP
2	INV_NAME

3	TAX_STATUS
4	DATA_SRC
5	ADDR_TYPE
6	PO_BIR_INC
7	CO_BIR_INC
8	TAX_RES1
9	TPIN1
10	ID1_TYPE
11	SRCE_WEALT
12	CORP_SERVS
13	INC_SLAB
14	NET_WORTH
15	NW_DATE
16	PEP_FLAG
17	OCC_CODE
18	OCC_TYPE
19	EXEMP_CODE
20	FFI_DRNFE
21	NFFE_CATG
22	EXCH_NAME
23	UBO_APPL
24	SDF_FLAG
25	UBO_DF
26	NEW_CHANGE
27	LOG_NAME

CLIENT MASTER UPLOAD (MFI)

Sr No	Field Name	Data Type	Size	Remarks
1	CLIENT CODE	char	10	Client Code
2	CLIENT HOLDING	char	2	SI - Single JO - Joint AS - Anyone or Survivor
3	CLIENT TAXSTATUS	char	2	Client Tax Status
4	CLIENT OCCUPATIONCODE	char	2	01 - Business 02 - Services 03 - Professional 04 - Agriculture 05 - Retired 06 - Housewife 07 - Student 08 - Others
5	CLIENT APPNAME1	char	70	Name 1
6	CLIENT APPNAME2	char	35	Name 2
7	CLIENT APPNAME3	char	35	Name 3
8	CLIENT DOB	char	10	Date of Birth - Mandatory if tax status is 'On behalf of minor' (dd/mm/yyyy)
9	CLIENT GENDER	char	1	M - Male F - Female
				Father's / Husband's/ Guardian's Name - Applicable if tax status is 'On behalf of minor'
10	CLIENT FATHERHUSBAND	char	35	
11	CLIENT PAN	char	10	PAN number
12	CLIENT NOMINEE	char	35	Nominee Name (OPTIONAL)
13	CLIENT NOMINEE RELATION	char	20	Relation with Nominee (OPTIONAL)

14	CLIENT GUARDIANPAN	char	10	Guardian PAN number - Applicable if tax status is 'On behalf of minor'
15	CLIENT DEFAULTDP	char	4	Default DP Id (CDSL / NSDL), PHYS in case of Physical
16	CLIENT CDSLDPID	char	8	CDSL DP Id
17	CLIENT CDSLCLTID	char	16	CDSL Client DP Id
18	CLIENT NSDLDPID	char	8	NSDL DP Id
19	CLIENT NSDLCLTID	char	8	NSDL Client DP Id
20	CLIENT BANKNAME	char	40	Bank Name - mandatory
21	CLIENT BANKBRANCH	char	40	Bank Branch - mandatory
22	CLIENT BANKCITY	char	35	Bank City - mandatory
23	CLIENT ACCTYPE	char	2	SB - Saving Bank CB - Current Bank NE - NRE Account NO- NRO Account
24	CLIENT ACCNO	char	20	Bank account number
25	CLIENT MICRNO	char	9	Code commensurate to the preferred dividend/ redemption payment mechanism - mandatory Not applicable for cheque and direct credit
26	CLIENT NEFTCODE	char	11	
27	CLIENT CHEQUENAME	char	35	Cheque Name (OPTIONAL)
28	CLIENT ADD1	char	40	Client Address 1
29	CLIENT ADD2	char	40	Client Address 2
30	CLIENT ADD3	char	40	Client Address 3 (OPTIONAL)
31	CLIENT CITY	char	35	City
32	CLIENT STATE	char	2	State Code

33	CLIENT PINCODE	char	6	Pin code
34	CLIENT COUNTRY	char	35	Country
35	CLIENT RESIPHONE	char	15	Residence Phone No. (OPTIONAL)
36	CLIENT RESIFAX	char	15	Residence FAX No. (OPTIONAL)
37	CLIENT OFFICEPHONE	char	15	Office Phone No. (OPTIONAL)
38	CLIENT OFFICEFAX	char	15	Office FAX No. (OPTIONAL)
39	CLIENT EMAIL	char	50	Email
40	CLIENT COMMMODE	char	1	P - Physical E – Electronic M-MOBILE(Only for MFD Client)
41	CLIENT DIVPAYMODE	char	2	01-Cheque 02-Direct Credit 03-ECS 04-NEFT 05-RTGS
42	CLIENT PAN2	char	10	PAN number
43	CLIENT PAN3	char	10	PAN number
44	MAPIN NO	char	16	(OPTIONAL)
45	CM_FORADD1	Char	40	MANDATORY
46	CM_FORADD2	Char	40	MANDATORY
47	CM_FORADD3	Char	40	OPTIONAL
48	CM_FORCITY	Char	35	MANDATORY
49	CM_FORPINCODE	Char	10	MANDATORY
50	CM_FORSTATE	Char	35	MANDATORY
51	CM_FORCOUNTRY	Char	3	Country Code

52	CM_FORRESIPHONE	Char	15	MANDATORY
53	CM_FORRESIFAX	Char	15	OPTIONAL
54	CM_FOROFFPHONE	Char	15	OPTIONAL
55	CM_FOROFFFAX	Char	15	OPTIONAL

CLIENT MASTER UPLOAD (MFD)

Sr No	FIELD NAME	Modification Allowed	FIELD VALIDATIONS	FIELD TYPE	FIELD LENGTH	FIELD VALUE	FIELD MASTER
1	CLIENT CODE	N	mandatory for all the cases	varchar	10		
2	CLIENT HOLDING	Y	mandatory for all the cases	varchar	2	SI/ JO/ AS	Client Holding
3	CLIENT TAXSTATUS	Y	mandatory for all the cases	varchar	2		Client Tax Status
4	CLIENT OCCUPATIONCODE	Y	mandatory for all the cases	varchar	2	01/02/03/04/05/06/07/08	Client Occupation Code
5	CLIENT APPNAME1	N	mandatory for all the cases	varchar	70		
6	CLIENT APPNAME2	Y	non-mandatory. Mandatory if the holding is JO or AS		35		
7	CLIENT APPNAME3	Y	non-mandatory		35		
8	CLIENT DOB	N	mandatory for all the cases	date	10	DD/MM/YYYY	
9	CLIENT GENDER	N	mandatory for all the cases	varchar	2	M/F	
10	CLIENT FATHER/HUSBAND/ GUARDIAN	Y	if tax status is 02 i.e. on behalf of minor then mandatory or	varchar	35		

			else non mandatory				
11	CLIENT PAN	N	non mandatory if the tax status is 02 i.e. on behalf of minor, else mandatory	varchar	10		
12	CLIENT NOMINEE	Y		varchar	35		
13	CLIENT NOMINEE RELATION	Y	mandatory if client nominee is given else non mandatory	varchar	20		
14	CLIENT GUARDIANPAN	Y	if tax status is 02 i.e. on behalf of minor then mandatory or else non mandatory	varchar	10		
15	CLIENT TYPE	Y	mandatory for all the cases	varchar	1	P/D	P- Physical /D-Demat
16	CLIENT DEFAULTDP	Y	mandatory if value is "D" in client type	varchar	4	CDSL/NSDL	
17	CLIENT CDSLDPID	Y	mandatory if value is "C" in client default DP	varchar	8		
18	CLIENT CDSLCLTID	Y	mandatory if value is "C" in client default DP	varchar	16		

19	CLIENT NSDLDPID	Y	mandatory if value is "N" in client default DP	varchar	8		
20	CLIENT NSDLCLTID	Y	mandatory if value is "N" in client default DP	varchar	8		
21	CLIENT ACCTYPE 1	Y	mandatory for all the cases	varchar	2	SB/CB/NE/NO	Account Type
22	CLIENT ACCNO 1	Y	mandatory for all the cases	varchar	16		
23	CLIENT MICRNO 1	Y	mandatory for all the cases	varchar	9		
24	CLIENT NEFT/IFSCCODE 1	Y	mandatory for all the cases	varchar	11		
25	default bank flag	Y	mandatory for all the cases - only one bank can be default bank	varchar	1	Y/N	
26	CLIENT ACCTYPE 2	Y	non-mandatory	varchar	2	SB/CB/NE/NO	Account Type
27	CLIENT ACCNO 2	Y	mandatory of client account type 2 is given	varchar	16		
28	CLIENT MICRNO 2	Y	mandatory of client account type 2 is given	varchar	9		
29	CLIENT NEFT/IFSCCODE 2	Y	mandatory of client account type 2 is given	varchar	11		

30	default bank flag	Y	mandatory for all the cases - only one bank can be default bank	varchar	1	Y/N	
31	CLIENT ACCTYPE 3	Y	non-mandatory	varchar	2	SB/CB/NE/NO	Account Type
32	CLIENT ACCNO 3	Y	mandatory of client account type 3 is given	varchar	16		
33	CLIENT MICRNO 3	Y	mandatory of client account type 3 is given	varchar	9		
34	CLIENT NEFT/IFSCCODE 3	Y	mandatory of client account type 3 is given	varchar	11		
35	default bank flag	Y	mandatory for all the cases - only one bank can be default bank	varchar	1	Y/N	
36	CLIENT ACCTYPE 4	Y	non-mandatory	varchar	2	SB/CB/NE/NO	Account Type
37	CLIENT ACCNO 4	Y	mandatory of client account type 4 is given	varchar	16		
38	CLIENT MICRNO 4	Y	mandatory of client account type 4 is given	varchar	9		
39	CLIENT NEFT/IFSCCODE 4	Y	mandatory of client account type 4 is given	varchar	11		

40	default bank flag	Y	mandatory for all the cases - only one bank can be default bank	varchar	1	Y/N	
41	CLIENT ACCTYPE 5	Y	non-mandatory	varchar	2	SB/CB/NE/NO	
42	CLIENT ACCNO 5	Y	mandatory of client account type 5 is given	varchar	16		
43	CLIENT MICRNO 5	Y	mandatory of client account type 5 is given	varchar	9		
44	CLIENT NEFT/IFSCCODE 5	Y	mandatory of client account type 5 is given	varchar	11		
45	default bank flag	Y	mandatory for all the cases - only one bank can be default bank	varchar	1	Y/N	
46	CLIENT CHEQUENAME 5	Y		varchar	35		
47	CLIENT ADD1	Y	mandatory for all the cases	varchar	40		
48	CLIENT ADD2	Y	non-mandatory	varchar	40		
49	CLIENT ADD3	Y	non-mandatory	varchar	40		
50	CLIENT CITY	Y	mandatory for all the cases	varchar	35		

51	CLIENT STATE	Y	mandatory for all the cases	varchar	2		State Code
52	CLIENT PINCODE	Y	mandatory for all the cases	varchar	6		
53	CLIENT COUNTRY	Y	mandatory for all the cases	varchar	35		Eg. India
54	CLIENT RESIPHONE	Y	non-mandatory	varchar	15		
55	CLIENT RESIFAX	Y	non-mandatory	varchar	15		
56	CLIENT OFFICEPHONE	Y	non-mandatory	varchar	15		
57	CLIENT OFFICEFAX	Y	non-mandatory	varchar	15		
58	CLIENT EMAIL	Y	mandatory for MFD clients	varchar	50		
59	CLIENT COMMMODE	Y	mandatory for all the cases	varchar	1	P/E/M	Communication Mode
60	CLIENT DIVPAYMODE	Y	mandatory for all the cases	varchar	25	01/02/03/04/0	
61	CLIENT PAN2	Y	mandatory if client app name 2 is given	varchar	10		
62	CLIENT PAN3	Y	mandatory if client app name 3 is given	varchar	10		
63	MAPIN NO	Y		varchar	16		
64	CM_FORADD1	Y	mandatory if tax code is 21 or 24	varchar	40		

65	CM_FORADD2	Y	non-mandatory	varchar	40		
66	CM_FORADD3	Y	non-mandatory	varchar	40		
67	CM_FORCITY	Y	mandatory if tax code is 21 or 24	varchar	35		
68	CM_FORPINCODE	Y	mandatory if tax code is 21 or 24	varchar	10		
69	CM_FORSTATE	Y	non-mandatory	varchar	35		
70	CM_FORCOUNTRY	Y	mandatory if tax code is 21 or 24	varchar	3		Country Code
71	CM_FORRESIPHONE	Y	non-mandatory	varchar	15		
72	CM_FORRESIFAX	Y	non-mandatory	varchar	15		
73	CM_FOROFFPHONE	Y	non-mandatory	varchar	15		
74	CM_FOROFFFAX	Y	non-mandatory	varchar	15		
75	CM_MOBILE	Y	mandatory for MFD clients	varchar	10		

CLIENT HOLDING

CODE	DETAILS
SI	Single
JO	Joint
AS	Anyone or Survivor

CLIENT TAX STATUS

CODE	TAX STATUS
1	Individual
2	On behalf of minor
3	HUF
4	Company
5	AOP
6	Partnership Firm
7	Body Corporate
8	Trust
9	Society
10	Others
11	NRI-Others
12	DIF
13	Sole Proprietorship
21	NRE
22	OCB
23	FII
24	NRO
25	Overseas Corp. Body - Others
26	NRI Child
27	NRI - HUF (NRO)
28	NRI - Minor (NRO)
29	NRI - HUF (NRE)
31	Provident Fund

32	Super Annuation Fund
33	Gratuity Fund
34	Pension Fund
36	Mutual Funds FOF Schemes
37	NPS Trust
38	Global Development Network
39	FCRA
41	QFI - Individual
42	QFI - Minors
43	QFI - Corporate
44	QFI - Pension Funds
45	QFI - Hedge Funds
46	QFI - Mutual Funds
47	LLP
48	Non-Profit organization [NPO]
51	Public Limited Company
52	Private Limited Company
53	Unlisted Company
54	Mutual Funds
55	FPI - Category I
56	FPI - Category II
57	FPI - Category III
58	Financial Institutions
59	Body of Individuals
60	Insurance Company
61	OCI - Repatriation
62	OCI - Non Repatriation
70	Person of Indian Origin
72	Government Body
73	Defense Establishment
74	Non - Government Organisation

CLIENT OCCUPATION CODE

CODE	DETAILS
01	Business
02	Services
03	Professional
04	Agriculture
05	Retired
06	Housewife
07	Student
08	Others

ACCOUNT TYPE

CODE	DETAILS
SB	Saving Bank
CB	Current Bank
NE	NRE Account
NO	NRO Account

COMMUNICATION MODE

CODE	DETAILS
P	Physical
E	Electronic
M	MOBILE

STATE CODE

CODE	STATE
AN	Andaman & Nicobar
AR	Arunachal Pradesh
AP	Andhra Pradesh
AS	Assam
BH	Bihar
CH	Chandigarh
CG	Chhattisgarh
GO	GOA
GU	Gujarat
HA	Haryana
HP	Himachal Pradesh
JM	Jammu & Kashmir
JK	Jharkhand
KA	Karnataka
KE	Kerala
MP	Madhya Pradesh
MA	Maharashtra
MN	Manipur
ME	Meghalaya
MI	Mizoram
NA	Nagaland
ND	New Delhi
OR	Orissa
PO	Pondicherry
PU	Punjab
RA	Rajasthan
SI	Sikkim
TG	Telengana
TN	Tamil Nadu
TR	Tripura
UP	Uttar Pradesh
UC	Uttaranchal
WB	West Bengal
DN	Dadra and Nagar Haveli
DD	Daman and Diu
LD	Lakshadweep
OH	Others

COUNTRY CODE

code	country name
001	Afghanistan
002	Aland Islands
003	Albania
004	Algeria
005	American Samoa
006	Andorra
007	Angola
008	Anguilla
009	Antarctica
010	Antigua And Barbuda
011	Argentina
012	Armenia
013	Aruba
014	Australia
015	Austria
016	Azerbaijan
017	Bahamas
018	Bahrain
019	Bangladesh
020	Barbados
021	Belarus
022	Belgium
023	Belize
024	Benin
025	Bermuda
026	Bhutan
027	Bolivia
028	Bosnia And Herzegovina
029	Botswana
030	Bouvet Island
031	Brazil
032	British Indian Ocean Territory
033	Brunei Darussalam
034	Bulgaria
035	Burkina Faso
036	Burundi

037	Cambodia
038	Cameroon
039	Canada
040	Cape Verde
041	Cayman Islands
042	Central African Republic
043	Chad
044	Chile
045	China
046	Christmas Island
047	Cocos (Keeling) Islands
048	Colombia
049	Comoros
050	Congo
051	Congo, The Democratic Republic Of The
052	Cook Islands
053	Costa Rica
054	Cote D'Ivoire
055	Croatia
056	Cuba
057	Cyprus
058	Czech Republic
059	Denmark
060	Djibouti
061	Dominica
062	Dominican Republic
063	Ecuador
064	Egypt
065	El Salvador
066	Equatorial Guinea
067	Eritrea
068	Estonia
069	Ethiopia
070	Falkland Islands (Malvinas)
071	Faroe Islands
072	Fiji
073	Finland
074	France
075	French Guiana

076	French Polynesia
077	French Southern Territories
078	Gabon
079	Gambia
080	Georgia
081	Germany
082	Ghana
083	Gibraltar
084	Greece
085	Greenland
086	Grenada
087	Guadeloupe
088	Guam
089	Guatemala
090	Guernsey
091	Guinea
092	Guinea-Bissau
093	Guyana
094	Haiti
095	Heard Island And Mcdonald Islands
096	Holy See (Vatican City State)
097	Honduras
098	Hong Kong
099	Hungary
100	Iceland
101	India
102	Indonesia
103	Iran, Islamic Republic Of
104	Iraq
105	Ireland
106	Isle Of Man
107	Israel
108	Italy
109	Jamaica
110	Japan
111	Jersey
112	Jordan
113	Kazakhstan
114	Kenya

115	Kiribati
116	Korea, Democratic Peoples Republic Of
117	Korea, Republic Of
118	Kuwait
119	Kyrgyzstan
120	Lao Peoples Democratic Republic
121	Latvia
122	Lebanon
123	Lesotho
124	Liberia
125	Libyan Arab Jamahiriya
126	Liechtenstein
127	Lithuania
128	Luxembourg
129	Macao
130	Macedonia, The Former Yugoslav Republic Of
131	Madagascar
132	Malawi
133	Malaysia
134	Maldives
135	Mali
136	Malta
137	Marshall Islands
138	Martinique
139	Mauritania
140	Mauritius
141	Mayotte
142	Mexico
143	Micronesia, Federated States Of
144	Moldova, Republic Of
145	Monaco
146	Mongolia
147	Montserrat
148	Morocco
149	Mozambique
150	Myanmar
151	Namibia
152	Nauru

153	Nepal
154	Netherlands
155	Netherlands Antilles
156	New Caledonia
157	New Zealand
158	Nicaragua
159	Niger
160	Nigeria
161	Niue
162	Norfolk Island
163	Northern Mariana Islands
164	Norway
165	Oman
166	Pakistan
167	Palau
168	Palestinian Territory, Occupied
169	Panama
170	Papua New Guinea
171	Paraguay
172	Peru
173	Philippines
174	Pitcairn
175	Poland
176	Portugal
177	Puerto Rico
178	Qatar
179	Reunion
180	Romania
181	Russian Federation
182	Rwanda
183	Saint Helena
184	Saint Kitts And Nevis
185	Saint Lucia
186	Saint Pierre And Miquelon
187	Saint Vincent And The Grenadines
188	Samoa
189	San Marino
190	Sao Tome And Principe
191	Saudi Arabia

192	Senegal
193	Serbia And Montenegro
194	Seychelles
195	Sierra Leone
196	Singapore
197	Slovakia
198	Slovenia
199	Solomon Islands
200	Somalia
201	South Africa
202	South Georgia And The South Sandwich Islands
203	Spain
204	Sri Lanka
205	Sudan
206	Suriname
207	Svalbard And Jan Mayen
208	Swaziland
209	Sweden
210	Switzerland
211	Syrian Arab Republic
212	Taiwan, Province Of China
213	Tajikistan
214	Tanzania, United Republic Of
215	Thailand
216	Timor-Leste
217	Togo
218	Tokelau
219	Tonga
220	Trinidad And Tobago
221	Tunisia
222	Turkey
223	Turkmenistan
224	Turks And Caicos Islands
225	Tuvalu
226	Uganda
227	Ukraine
228	United Arab Emirates
229	United Kingdom

230	United States of America
231	United States Minor Outlying Islands
232	Uruguay
233	Uzbekistan
234	Vanuatu
235	Venezuela
236	Viet Nam
237	Virgin Islands, British
238	Virgin Islands, U.S.
239	Wallis And Futuna
240	Western Sahara
241	Yemen
242	Zambia
243	Zimbabwe

CLIENT CKYC UPLOAD

Sr No	FIELD NAME	FIELD TYPE	FIELD LENGTH	REMARKS
1	Client Code	Varchar	10	
2	Client PAN	Varchar	10	Mandatory if Tax Status is not 02 (Should Match with Existing PAN Given for Client Code)
3	First Holder CKYC Number	Numeric	14	
4	Second holder CKYC Number	Numeric	14	
5	Third holder CKYC Number	Numeric	14	
6	Guardian CKYC Number	Numeric	14	
7	Joint Holder 1 DOB	DATE	10	DD/MM/YYYY
8	Joint Holder 2 DOB	DATE	10	DD/MM/YYYY
9	Guardian CKYC DOB	DATE	10	DD/MM/YYYY
10	KYC_Type_First Holder	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
11	KYC_Type_Second Holder	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
12	KYC_Type_Third Holder	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
13	KYC_Type_Guardian	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)

USER UPLOAD

Sr No	FIELD NAME	MANDATORY/ NON-MANDATORY	FIELD TYPE	FIELD LENGTH	DESCRIPTION	FIELD MASTER
1	Terminal Id	M	Varchar	50	Type of the user that has to be created	Terminal id master
2	Login id	M	Varchar	20	Login id	
3	Branch id	M	Varchar	10	Branch admin to be given by user	
4	Password	M	Varchar	20		
5	Name	M	Varchar	100	Name	
6	Subbroker code	NM	Varchar	15	Sub broker code, this code will be sent to rta for all the transactions	
7	Sub broker ARN code	NM	Varchar	20	Sub broker ARN code, this code will be sent to rta for all the transactions. Here the users has to only enter the code, 'ARN' should not be given the	
8	Subbroker EUIN	NM	Varchar	7	EUIN, this code will be sent to rta for all the transactions	
9	Address1	M	Varchar	100	Address	
10	Address2	NM	Varchar	100	Address	
11	Address3	NM	Varchar	100	Address	
12	City	NM	Varchar	65	City	
13	State	M	Varchar	2	State	State master
14	Pin	NM	Varchar	6	Pin code	
15	Country	M	Varchar	65	Country	
16	Phone	NM	Varchar	15	Phone	
17	Mobile	NM	Varchar	15	Mobile	
18	Fax	NM	Varchar	15	Fax	
19	Email	NM	Varchar	50	Email	
20	Access level	M	Varchar	1	Full access/ limited access	Access level master

TERMINAL ID MASTER

TERMINAL ID VALUE	REMARKS
BRANCHADMIN	Branch admin
DEALER	Dealer
BOADMIN	BO Admin
CLIENT	Client

ACCESS LEVEL MASTER

ACCESS LEVEL VALUE	REMARKS
F	FULL ACCESS
L	LIMITED ACCESS

STATE MASTER

STATE CODE VALUE	STATE NAME
AN	Andaman & Nicobar
AR	Arunachal Pradesh
AP	Andhra Pradesh
AS	Assam
BH	Bihar
CH	Chandigarh
CG	Chhattisgarh
GO	GOA
GU	Gujarat
HA	Haryana
HP	Himachal Pradesh
JM	Jammu & Kashmir
JK	Jharkhand
KA	Karnataka
KE	Kerala
MP	Madhya Pradesh
MA	Maharashtra
MN	Manipur
ME	Meghalaya
MI	Mizoram

NA	Nagaland
ND	New Delhi
OR	Orissa
OH	Others
PO	Pondicherry
PU	Punjab
RA	Rajasthan
SI	Sikkim
TG	Telengana
TN	Tamil Nadu
TR	Tripura
UP	Uttar Pradesh
UL	Uttarakhand
WB	West Bengal
DN	Dadra and Nagar Haveli
DD	Daman and Diu
LD	Lakshadweep

USER REPORT – MFI /MFI

Sr No	FIELD NAME	FIELD TYPE	FIELD LENGTH	DESCRIPTION
1	LOGIN ID	Varchar	20	Login id
2	TERMINAL ID	Varchar	50	Type of the user that has to be created
3	NAME	Varchar	100	Name
4	BRANCH CODE	Varchar	10	Branch admin to be given by user
5	MEMBER ARNCODE	Varchar	10	Member ARN Code
6	SUBBR CODE	Varchar	15	Sub broker code, this code will be sent to rta for all the transactions
7	ARN CODE	Varchar	10	ARN Code
8	EUIN	Varchar	10	EUIN Number
9	ADD 1	Varchar	100	Address
10	ADD 2	Varchar	100	Address
11	ADD 3	Varchar	100	Address
12	CITY	Varchar	65	City
13	STATE	Varchar	2	State
14	PINCODE	Varchar	6	Pin code
15	COUNTRY	Varchar	65	Country
16	MOBILE	Varchar	15	Mobile
17	PHONE	Varchar	12	Telephone Number
18	FAX	Varchar	15	Fax
19	EMAIL ID	Varchar	50	Email
20	ACCESS LEVEL	Varchar	1	Full access/ limited access
21	CREATED AT	Varchar	10	DD-MM-YYYY

CLIENT MASTER REPORT (MFI)

Sr No	Field Name	Data Type	Size	Remarks
1	CLIENT CODE	char	10	Client Code
2	CLIENT HOLDING	char	2	SI - Single JO - Joint AS - Anyone or Survivor
3	TAX STATUS	char	2	01 - Individual 02 - On behalf of minor 03 – HUF 04-Company 05 – AOP 06 – Partnership Firm 08 - Trust 10 - Others 12 – DFI 21-NRE 23 – FII 24- NRO 47 - LLP
4	OCCUPATION	char	2	01 - Business 02 - Services 03 - Professional 04 - Agriculture 05 - Retired 06 - Housewife 07 - Student 08 - Others
5	FIRST APPLICANT NAME	char	70	Name 1
6	SECOND APPLICANT NAME	char	35	Name 2
7	THIRD APPLICANT NAME	char	35	Name 3
8	FIRST APPLICANT DOB	char	10	Date of Birth

9	FIRST APP GENDER	char	1	M - Male F - Female
10	CLIENT GUARDIAN	char	35	Father's / Husband's/ Guardian's Name - Applicable if tax status is 'On behalf of minor'
11	FIRST APPLICANT PAN	char	10	PAN number
12	CLIENT NOMINEE	char	35	Nominee Name
13	CLIENT NOMINEE RELATION	char	20	Relation with Nominee
14	GUARDIAN PAN	char	10	Guardian PAN number - Applicable if tax status is 'On behalf of minor'
15	CLIENT TYPE			
16	CLIENT DEFAULT DP	char	4	Default DP Id (CDSL / NSDL), PHYS in case of Physical
17	CDSLDPID	char	8	CDSL DP Id
18	CDSLCLTID	char	16	CDSL Client DP Id
19	NSDLDPID	char	8	NSDL DP Id
20	NSDLCLTID	char	8	NSDL Client DP Id
21	ACCTYPE 1	char	40	Bank Name -
22	ACC NO 1	char	40	Bank Branch -
23	CLIENT MICR NO 1	char	35	Bank City -
24	NEFT IFSC CODE1	char	2	SB - Saving Bank CB - Current Bank NE - NRE Account NO- NRO Account
25	BANK NAME 1	char	20	Bank account number
26	BANK BRANCH 1	char	9	

27	DEFAULT BANK FLAG1	char	11	Code commensurate to the preferred dividend/ redemption payment mechanism - Not applicable for cheque and direct credit
28	CLIENT CHEQUE NAME	char	35	Cheque Name
29	ADD1	char	40	Client Address 1
30	ADD2	char	40	Client Address 2
31	ADD3	char	40	Client Address 3
32	CITY	char	35	City
33	STATE	char	2	State Code
34	PIN CODE	char	6	Pin code
35	COUNTRY	char	35	Country
36	RESIPHONE	char	15	Residence Phone No.
37	RESIFAX	char	15	Residence FAX No.
38	OFFICE PHONE	char	15	Office Phone No.
39	CLIENT OFFICE FAX	char	15	Office FAX No.
40	CLIENT EMAIL	char	50	Email
41	COMMMODE	char	1	P - Physical E – Electronic M-MOBILE(Only for MFD Client)
42	DIVPAYMODE	char	2	01-Cheque 02-Direct Credit 03-ECS 04-NEFT 05-RTGS
43	SECOND APP PAN	char	10	PAN number

44	THIRD APP PAN	char	10	PAN number
45	MAPIN NO	char	16	
46	FOR ADD1	Char	40	
47	FOR ADD2	Char	40	
48	FOR ADD3	Char	40	
49	FOR CITY	Char	35	
50	FOR PINCODE	Char	10	
51	FOR STATE	Char	35	
52	FOR COUNTRY	Char	3	Country Code
53	FOR RESIPHONE	Char	15	
54	FOR RESIFAX	Char	15	
55	FOR OFFPHONE	Char	15	
56	FOR OFFFAX	Char	15	
57	MOBILE	Char	10	
58	CKYC	Numeric	14	
59	KYC TYPE 1st HOLDER	Char	15	CKYC COMPLIANT/ KRA COMPLIANT
60	KYC TYPE 2nd HOLDER	Char	15	CKYC COMPLIANT/ KRA COMPLIANT
61	KYC TYPE 3rd HOLDER	Char	15	CKYC COMPLIANT/ KRA COMPLIANT
62	KYC TYPE GUARDIAN	Char	15	CKYC COMPLIANT/ KRA COMPLIANT
63	First Holder CKYC Number	Numeric	14	
64	Second Holder CKYC Number	Numeric	14	
65	Third Holder CKYC Number	Numeric	14	
66	Guardian CKYC Number	Numeric	14	

67	Joint Holder 1 DOB	DATE	10	DD/MM/YYYY
68	Joint Holder 2 DOB	DATE	10	DD/MM/YYYY
69	Guardian CKYC DOB	DATE	10	DD/MM/YYYY
70	DEALER	Char	10	Dealer
71	BRANCH	Char	10	Branch
72	CREATED BY	Char	20	
73	CREATED AT	Char	18	DD/MM/YYYY HH:MM:SS AM/PM
74	LAST MODIFIED BY	Char	20	
75	LAST MODIFIED AT	Char	18	DD/MM/YYYY HH:MM:SS AM/PM

CLIENT MASTER REPORT (MFD)

Sr No	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD VALUE
1	CLIENT CODE	varchar	10	
2	CLIENT HOLDING	varchar	2	SI/ JO/ AS
3	TAX STATUS	varchar	2	01/02/03/04/ 05/06/07/08 /10/12/21 /23/24/47
4	OCCUPATION	varchar	2	01/02/03/04 /05/06/07/08
5	FIRST APPLICANT NAME	varchar	70	
6	SECOND APPLICANT NAME		35	
7	THIRD APPLICANT NAME		35	
8	FIRST APPLICANT DOB	date	10	DD/MM/YYYY
9	FIRST APP GENDER	varchar	2	M/F

10	CLIENT GUARDIAN	varchar	35	
11	FIRST APPLICANT PAN	varchar	10	
12	CLIENT NOMINEE	varchar	35	
13	CLIENT NOMINEE RELATION	varchar	20	
14	GUARDIAN PAN	varchar	10	
15	CLIENT TYPE	varchar	1	P/D
16	CLIENT DEFAULT DP	varchar	4	CDSL/NSDL
17	CDSLDPID	varchar	8	
18	CDSLCLTID	varchar	16	
19	NSDLDPID	varchar	8	
20	NSDLCLTID	varchar	8	

21	ACCTYPE 1	varchar	2	SB/CB/NE/NO
22	ACC NO 1	varchar	16	
23	CLIENT MICR NO 1	varchar	9	
24	NEFT IFSC CODE1	varchar	11	
25	BANK NAME 1	varchar	20	Bank account number
26	BANK BRANCH 1	varchar	9	
27	DEFAULT BANK FLAG1	varchar	1	Y/N
28	ACC TYPE 2	varchar	2	SB/CB/NE/NO
29	ACC NO 2	varchar	16	
30	CLIENT MICR NO 2	varchar	9	
31	NEFT IFSC CODE2	varchar	11	
32	DEFAULT BANK FLAG 2	varchar	1	Y/N
33	BANK NAME 2	varchar	20	Bank account number

34	BANK BRANCH 2	varchar	9	
35	ACC TYPE 3	varchar	2	SB/CB/NE/NO
36	ACCNO 3	varchar	16	
37	CLIENT MICR NO3	varchar	9	
38	NEFT IFSC CODE3	varchar	11	
39	DefaultBankFlag3	varchar	1	Y/N
40	BANK NAME 3	varchar	20	Bank account number
41	Bank Branch 3	varchar	9	
42	ACC TYPE 4	varchar	2	SB/CB/NE/NO
43	ACCNO 4	varchar	16	
44	CLIENT MICRNO 4	varchar	9	
45	NEFT IFSC CODE4	varchar	11	
46	DEFAULT BANK FLAG 4	varchar	1	Y/N
47	BANK NAME 4	varchar	20	Bank account number

48	BANK BRANCH 4	varchar	9	
49	ACC TYPE 5	varchar	2	SB/CB/NE/NO
50	ACCNO5	varchar	16	
51	CLIENT MICRNO 5	varchar	9	
52	NEFT IFSC CODE5	varchar	11	
53	BANK NAME 5	varchar	20	Bank account number
54	BANK BRANCH 5	varchar	9	
55	DEFAULT BANK FLAG 5	varchar	1	Y/N
56	CLIENT CHEQUE NAME 5	varchar	35	
57	ADD1	varchar	40	
58	ADD2	varchar	40	
59	ADD3	varchar	40	
60	CITY	varchar	35	
61	CLIENT STATE	varchar	2	
62	PIN CODE	varchar	6	

63	COUNTRY	varchar	35	
64	RESIPHONE	varchar	15	
65	RESIFAX	varchar	15	
66	OFFICE PHONE	varchar	15	
67	CLIENT OFFICE FAX	varchar	15	
68	CLIENT EMAIL	varchar	50	
69	COMMMODE	varchar	1	P/E/M
70	DIVPAYMODE	varchar	2	01/02/03/04/05
71	SECOND APP PAN	varchar	10	
72	THIRD APP PAN	varchar	10	
73	MAPIN NO	varchar	16	
74	FOR ADD1	varchar	40	
75	FOR ADD2	varchar	40	
76	FOR ADD3	varchar	40	
77	FOR CITY	varchar	35	
78	FOR PINCODE	varchar	10	

79	FOR STATE	varchar	35	
80	FOR COUNTRY	varchar	3	
81	FOR RESIPHONE	varchar	15	
82	FOR RESIFAX	varchar	15	
83	FOR OFFPHONE	varchar	15	
84	FOR OFFFAX	varchar	15	
85	MOBILE	varchar	10	
86	CKYC	varchar	1	Y/N
87	KYC TYPE 1st HOLDER	Numeric	14	
88	KYC TYPE 2nd HOLDER	Numeric	14	
89	KYC TYPE 3rd HOLDER	Numeric	14	
90	KYC TYPE GUARDIAN	Numeric	14	
91	First Holder CKYC Number	DATE	10	DD/MM/YYYY

92	Second Holder CKYC Number	DATE	10	DD/MM/YYYY
93	Third Holder CKYC Number	DATE	10	DD/MM/YYYY
94	Guardian CKYC Number	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
95	Joint Holder 1 DOB	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
96	Joint Holder 2 DOB	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
97	Guardian CKYC DOB	CHAR	1	(K/C) (K - KRA Compliant C- CKYC Compliant)
98	DEALER	Char	10	Dealer
99	BRANCH	Char	10	Branch

100	CREATED BY	Char	20	
101	CREATED AT	Char	18	DD/MM/YYYY HH:MM:SS AM/PM
102	LAST MODIFIED BY	Char	20	
103	LAST MODIFIED AT	Char	18	DD/MM/YYYY HH:MM:SS AM/PM

REVISION HISTORY

Sr.No.	Date	Description
1	Dec 19 2009	Base Version
2	Dec 28 2009	Revision 1.0
3	Feb 10 2010	Revision 1.1
4	Mar 27 2010	Revision 1.2
5	Jul 12 2010	Revision 1.3
6	Nov 30 2010	Revision 1.4
7	Mar 11 2011	Revision 1.5
8	Apr 21 2011	Revision 1.6
9	Oct 18 2011	Revision 1.7
10	Oct 03 2012	Revision 1.8
11	Mar 15 2013	Revision 1.9
12	Mar 22 2013	Revision 2.0
13	Apr 05 2013	Revision 2.1
14	Jul 05 2013	Revision 2.2
15	Jul 15 2013	Revision 2.3
16	Jan 29 2014	Revision 2.4
17	July 9, 2014	Revision 2.5
18	Aug 19, 2014	Revision 2.6
19	February 11, 2015	Revision 2.7
20	June 4, 2015	Revision 2.8
21	July 14, 2015	Revision 2.9
22	August 7, 2015	Revision 3.0
23	October 12, 2015	Revision 3.1
24	February 26, 2016	Revision 3.2
25	March 03, 2016	Revision 3.3
26	August 01, 2016	Revision 3.4
27	August 10, 2016	Revision 3.5
28	November 18, 2016	Revision 3.6
29	February 10, 2017	Revision 3.7
30	June 20, 2017	Revision 3.8

Revision 3.8

Additional Formats added for

1. User Upload
2. User Report- MFI / MFD
3. Client Master Report (MFI)
4. Client Master Report (MFD)
5. Tax Status Added for Body Corporate, Society, NRI-Others, Bank/FI, Sole Proprietorship, OCB, Overseas Corp. Body - Others, NRI Child, NRI - HUF (NRO), NRI - Minor (NRO), NRI - HUF (NRE), Provident Fund, Super Annuation Fund, Gratuity Fund, Pension Fund, Mutual Funds FOF Schemes, NPS Trust, Global Development Network, FCRA, QFI - Individual, QFI - Minors, QFI - Corporate, QFI - Pension Funds, QFI - Hedge Funds, QFI - Mutual Funds, Non-Profit organization [NPO], Public Limited Company, Private Limited Company, Unlisted Company, Mutual Funds, FPI - Category I, FPI - Category II, FPI - Category III, Financial Institutions, Body of Individuals, Insurance Company, OCI - Repatriation, OCI - Non Repatriation, Person of Indian Origin, Government Body, Defense Establishment, Non - Government Organisation

Reports Changed

1. Provisional Order/ Order Status – *(Field 31 First Order Today, 32 Purchase / Redeem(Fresh /Additional), 33 Member Remarks, 34 KYC Flag, 35 MIN redemption flag, 36 Sub Broker ARN Added)*
2. SIP Registration – *(Field 22 Folio Number, 23 Remarks, 24 Sub broker ARN Code, 25 No. of instalments Added)*
3. XSIP/ISIP Registration –*Format Field 24 Folio Number, 25 Remarks, 26 Sub broker ARN Code, 27 No. of instalments Added)*
4. STP Bulk Registration Format *(Field 24 Buy / Sell Type, 25 From BSE Scheme Code, 26 To BSE Scheme Code Added)*
5. SWP Bulk Registration Format *(Field 21 Sub Broker ARN, 22 Scheme Code, 23 Transaction Mode Added)*

Revision 3.6

Additional Formats added for

1. CKYC Upload
2. User Upload

Revision 3.6

Additional Formats added for

3. ISIP Mandate Registration Upload-MFI

Reports Changed

1. Bulk Order Entry (*Field 17 Sub Broker ARN Added*)
2. SIP Registration – Upload Format (*Field 21 Sub Broker ARN Added*)
3. XSIP/ISIP Registration – Upload Format (*Field 17 Changed to XSIP Mandate, Field 23 ISIP Mandate Added, 24 Sub Broker ARN Added*)
4. XSIP/ISIP Mandate Registration Upload-MFD (*Field 6 Mandate Type Added*)
5. XSIP Mandate Registration Upload-MFI (*Field 6 Mandate Type Added*)
6. OVERNIGHT/SPREAD Order Bulk Upload (*Field 18 Sub Broker ARN Added*)
7. SWITCH Order Bulk Upload (*Field 15 Sub Broker ARN Added*)
8. STP Bulk Upload Format (*Field 17 Sub Broker ARN Added*)
9. SWP Bulk Upload Format (*Field 16 Sub Broker ARN Added*)

Revision 3.5

Additional Formats added for

1. Switch Report
2. Redemption Order SMS and Email log details
3. Payment gateway email log
4. Image upload report
5. XSIP Mandate Registration Upload-MFD
6. XSIP Mandate Registration Upload-MFI

Revision 3.4

Report Changed

1. Scheme Master Details

Additional Formats added for

1. STP Bulk Upload Format
2. STP Cancellation Upload Format
3. STP Registration Report
4. STP Installment Due Report
5. SWP Bulk Upload Format
6. SWP Cancellation Upload Format
7. SWP Registration Report
8. SWP Installment Due Report
9. SWP Cancellation Report
10. CLIENT MASTER UPLOAD (MFI)
11. CLIENT MASTER UPLOAD (MFI)

Reports Removed

1. Redundant Provisional Order Reports
2. Old Client Master
3. XSIP Mandate Registration Upload Format

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