Annexure-4

*<On the letter head of the MFD>*

APPLICATION BY EXISTING MFD FOR ACTIVATION AS RFD

To,

Membership Operations Department

BSE Limited

Mumbai.

**Sub: Request for Activation as Registered Investment Adviser (RIA) on BSE StAR MF**

**Platform**

I/We am/are an existing Mutual Fund Distributor (MFD) on BSE StAR MF Platform. Our details are as mentioned below:

|  |  |
| --- | --- |
| MFD Code (allotted by Exchange) |  |
| MFD Name |  |
| ARN No. |  |
| SEBI Registration No. |  |

I/We hereby request the Exchange to activate me/ us as a Registered Investment Adviser -RFD on BSE StAR MF Platform.

I/We hereby request the Exchange to activate me/ us for direct plans on BSE StAR MF Platform.

I/We have read, understood and agree to abide by the Rules / Regulations /Directives of SEBI / Exchange that may be issued /amended from time to time in this regard.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Authorized Signatory & Stamp

Name of Signatory:

Designation:

Place:

## Date: