

INVESTOR GRIEVANCES STATISTICS
SEPTEMBER 2008

A) Complaints Against Companies listed on BSE:

TYPE OF COMPLAINTS	COMPLAINTS RECEIVED			COMPLAINTS RESOLVED		
	Against Active Companies	Against Suspended Companies	Total	Against Active Companies	Against Suspended Companies	Total
I	49	0	49	50	0	50
II	63	0	63	56	0	56
III	70	9	79	72	2	74
IV	134	38	172	115	15	130
V	22	14	36	20	6	26
TOTAL	338	61	399	313	17	330

During the month, the Exchange received 399 complaints against 268 listed companies. In the same period 330 complaints were resolved against 197 listed companies. These resolved complaints include complaints brought forward from the previous periods.

The complaints are categorized as under:

- Type I : Non-receipt of refund orders/allotment letters/stock invest.
- Type II : Non-receipt of dividend/interest
- Type III : Non-receipt of share/debenture certificates after transfer/endorsement/conversion and bonus shares.
- Type IV : Non-receipt of Annual Reports, right forms/interest on delayed receipt of refund order/dividend, etc.
- Type V : Non-receipt of credit with Depository Participants.

**COMPANIES WITH HIGHEST NUMBER OF COMPLAINTS
PENDING AT THE END OF THE MONTH.**

Sr. No.	Company Name	Category	Number of complaints pending at the end of the month
1	Vatsa Corporations Ltd.	Z	1049
2	Pentafour Products Ltd	Z	265
3	Pal-Peugeot Ltd.	Z	259
4	Mukerian papers Ltd.	Z	168
5	Panchmahal Cement Ltd.,	Z	151
6	Ushs (India) Ltd.	Z	150
7	Enkay Texfoods Industries Ltd.	Z	148
8	Montari Industries Ltd.	Z	128
9	Mesco Pharmaceuticals Ltd.	Z	123
10	Eastern Mining & Allied Ltd.	Z	100

Trading in the securities of the aforesaid companies has been suspended.

B) Arbitrations between Trading Members and Non-Trading Members.

Nos.	Particulars	No. of cases
I	No. of Arbitrations pending at the beginning of the month	42
II	No. of Arbitrations received during the month	37
III	No. of Arbitrations decided during the month	13
IV	No. of Arbitrations pending at the end of the month	65
V	Agewise classification of Arbitrations stated in IV above:	
	i) < 3 months	59
	ii) 3 months to 6 months	1
	iii) > 6 months	5
