

INVESTOR GRIEVANCES STATISTICS
MARCH 2009

A) Complaints Against Companies listed on BSE:

TYPE OF COMPLAINTS	COMPLAINTS RECEIVED			COMPLAINTS RESOLVED		
	Against Active Companies	Against Suspended Companies	Total	Against Active Companies	Against Suspended Companies	Total
I	14	0	14	18	0	18
II	55	14	69	70	7	77
III	59	26	85	108	14	122
IV	68	19	87	107	12	119
V	4	5	9	11	5	16
TOTAL	200	64	264	314	38	352

During the month, the Exchange. received 264 complaints against 204 listed companies. In the same period 352 complaints were resolved against 211 listed companies. These resolved complaints include complaints brought forward from the previous periods.

The complaints are categorized as under:

- Type I : Non-receipt of refund orders/allotment letters/stock invest.
- Type II : Non-receipt of dividend/interest
- Type III : Non-receipt of share/debenture certificates after transfer/endorsement/conversion and bonus shares.
- Type IV : Non-receipt of Annual Reports, right forms/interest on delayed receipt of refund order/dividend, etc.
- Type V : Non-receipt of credit with Depository Participants.

**COMPANIES WITH HIGHEST NUMBER OF COMPLAINTS
PENDING AT THE END OF THE MONTH.**

Sr. No.	Company Name	Category	Number of complaints pending at the end of the month
1	Vatsa Corporations Ltd.	Z	1053
2	Pentafour Products Ltd	Z	270
3	Mukerian Papers Ltd.	Z	170
4	Usha (India) Ltd.	Z	152
5	Panchmahal Cement Ltd.,	Z	151
6	Enkay Texfoods Industries Ltd.,	Z	151
7	Montari Industries Ltd.,	Z	131
8	Mesco Pharmaceuticals Ltd.	Z	123
9	Eastern Minning & Allied Ltd.	Z	100
10	Toheal Pharmachem Ltd.	Z	99

Trading in the securities of the aforesaid companies has been suspended.

B) Arbitrations between Trading Members and Non-Trading Members.

Nos.	Particulars	No. of cases
I	No. of Arbitrations pending at the beginning of the month	132
II	No. of Arbitrations received during the month	77
III	No. of Arbitrations decided during the month	57
IV	No. of Arbitrations pending at the end of the month	152
V	Agewise classification of Arbitrations stated in IV above:	
	i) < 3 months	123
	ii) 3 months to 6 months	24
	iii) > 6 months	5
