

INVESTOR GRIEVANCES STATISTICS
FEBRUARY 2009

A) Complaints Against Companies listed on BSE:

TYPE OF COMPLAINTS	COMPLAINTS RECEIVED			COMPLAINTS RESOLVED		
	Against Active Companies	Against Suspended Companies	Total	Against Active Companies	Against Suspended Companies	Total
I	11	1	12	16	0	16
II	37	4	41	62	4	66
III	57	11	68	55	17	72
IV	76	12	88	68	28	96
V	6	2	8	8	6	14
TOTAL	187	30	217	209	55	264

During the month, the Exchange. received 217 complaints against 172 listed companies. In the same period 264 complaints were resolved against 167 listed companies. These resolved complaints include complaints brought forward from the previous periods.

The complaints are categorized as under:

- Type I : Non-receipt of refund orders/allotment letters/stock invest.
- Type II : Non-receipt of dividend/interest
- Type III : Non-receipt of share/debenture certificates after transfer/endorsement/conversion and bonus shares.
- Type IV : Non-receipt of Annual Reports, right forms/interest on delayed receipt of refund order/dividend, etc.
- Type V : Non-receipt of credit with Depository Participants.

**COMPANIES WITH HIGHEST NUMBER OF COMPLAINTS
PENDING AT THE END OF THE MONTH.**

Sr. No.	Company Name	Category	Number of complaints pending at the end of the month
1	Vatsa Corporations Ltd.	Z	1050
2	Pentafour Products Ltd	Z	269
3	Pal-Peugot Ltd.	Z	262
4	Mukerian Papers Ltd.	Z	170
5	Usha (India) Ltd.	Z	152
6	Panchmahal Cement Ltd.,	Z	151
7	Enkay Texfoods Industries Ltd.,	Z	150
8	Montari Industries Ltd.,	Z	130
9	Mesco Pharmaceuticals Ltd.	Z	123
10	Eastern Mining & Allied Ltd.	Z	100

Trading in the securities of the aforesaid companies has been suspended.

B) Arbitrations between Trading Members and Non-Trading Members.

Nos.	Particulars	No. of cases
I	No. of Arbitrations pending at the beginning of the month	125
II	No. of Arbitrations received during the month	54
III	No. of Arbitrations decided during the month	47
IV	No. of Arbitrations pending at the end of the month	132
V	Agewise classification of Arbitrations stated in IV above:	
	i) < 3 months	100
	ii) 3 months to 6 months	28
	iii) > 6 months	5
