

**INVESTOR GRIEVANCES STATISTICS**  
**APRIL 2009**

**A) Complaints Against Companies listed on BSE:**

TYPE OF COMPLAINTS	COMPLAINTS RECEIVED			COMPLAINTS RESOLVED		
	Against Active Companies	Against Suspended Companies	Total	Against Active Companies	Against Suspended Companies	Total
<b>I</b>	<b>13</b>	<b>0</b>	<b>13</b>	<b>6</b>	<b>0</b>	<b>6</b>
<b>II</b>	<b>50</b>	<b>0</b>	<b>50</b>	<b>31</b>	<b>1</b>	<b>32</b>
<b>III</b>	<b>26</b>	<b>3</b>	<b>29</b>	<b>19</b>	<b>1</b>	<b>20</b>
<b>IV</b>	<b>113</b>	<b>16</b>	<b>129</b>	<b>53</b>	<b>2</b>	<b>55</b>
<b>V</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL</b>	<b>205</b>	<b>21</b>	<b>226</b>	<b>109</b>	<b>4</b>	<b>113</b>

During the month, the Exchange. received 226 complaints against 188 listed companies. In the same period 113 complaints were resolved against 95 listed companies. These resolved complaints include complaints brought forward from the previous periods.

The complaints are categorized as under:

- Type I : Non-receipt of refund orders/allotment letters/stock invest.
- Type II : Non-receipt of dividend/interest
- Type III : Non-receipt of share/debenture certificates after transfer/endorsement/conversion and bonus shares.
- Type IV : Non-receipt of Annual Reports, right forms/interest on delayed receipt of refund order/dividend, etc.
- Type V : Non-receipt of credit with Depository Participants.

**COMPANIES WITH HIGHEST NUMBER OF COMPLAINTS  
PENDING AT THE END OF THE MONTH.**

<b>Sr. No.</b>	<b>Company Name</b>	<b>Category</b>	<b>Number of complaints pending at the end of the month</b>
1	Vatsa Corporations Ltd.	Z	1053
2	Pentafour Products Ltd	Z	270
3	Mukerian Papers Ltd.	Z	170
4	Usha (India) Ltd.	Z	152
5	Panchmahal Cement Ltd.,	Z	151
6	Enkay Texfoods Industries Ltd.,	Z	151
7	Montari Industries Ltd.,	Z	131
8	Mesco Pharmaceuticals Ltd.	Z	123
9	Eastern Minning & Allied Ltd.	Z	100
10	Toheal Pharmachem Ltd.	Z	99

**Trading in the securities of the aforesaid companies has been suspended.**

**B) Arbitrations between Trading Members and Non-Trading Members.**

<b>Nos.</b>	<b>Particulars</b>	<b>No. of cases</b>
I	No. of Arbitrations pending at the beginning of the month	152
II	No. of Arbitrations received during the month	59
III	No. of Arbitrations decided during the month	74
IV	No. of Arbitrations pending at the end of the month	137
V	Age-wise classification of Arbitrations stated in IV above:	
	i) < 3 months	108
	ii) 3 months to 6 months	17
	iii) > 6 months	12

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